

GREGORY B. WOOD

Curriculum Vitae

Professional Experience

- **Securities Litigation Support, LLC** • Henderson, NV 2004 –
Securities Litigation Consultant - President
- **Wells Fargo Private Client Services**¹ • Salt Lake City, UT 2001 - 2004
Regional Manager / Senior Regional Sales Manager - Senior Vice President
- **George K. Baum & Company** • Kansas City, MO 1999 - 2001
Retail Head - Executive Vice President
- **Prudential Securities & Insurance** • Various Positions 1978 - 1999
 - Pilot Programs** • Prudential Insurance • Ft. Worth, TX 1998 - 1999
 - Executive Director** • Prudential Insurance Test Markets • VA & AZ 1997 - 1998
 - Director of Regional Training** • Prudential Securities • Dallas, TX 1994 - 1997
 - Branch Manager** • Prudential Securities • Fort Worth, TX 1982 - 1994
 - Stock and Commodities Broker** • Prudential Securities • Bellevue, WA 1978 - 1982
- **Shearson, Hammill & Co.** • Seattle, WA 1969 - 1978
Stock and Commodities Broker

*Securities Licenses*²

Greg Wood has passed the following examinations and holds or has held the following licenses or their equivalents:

- Series 3 National Commodities Futures
- Series 7 General Securities Representative
- Series 8 General Securities Sales Supervisor (Same as 9 and 10)
- Series 24 General Securities Principal
- Series 63 Uniform Securities Agent State Law Examination
- Series 65 Uniform Investment Advisor Examination
- Insurance Examination

¹ Registration – Wells Fargo Investments, LLC

² Most licenses are now inactive.

GREGORY B. WOOD

Curriculum Vitae

Education and Training

- Oregon State University • Business Administration
- Securities Industry Institute • 1990 Graduate • University of Pennsylvania
- NASD Arbitrator Training • 2003
- Mediator Training, Utah Alternative Dispute Resolution • 2004 – 2005
- NASD Additional Training: Annuities II • 2004
- NASD Arbitrator Chairperson Training • 2004
- NASD Mini-Courses #1, #2, #3 • 2004
- NASD Mini-Courses #4, #5 • 2006
- NASD Additional Training: Revised Code of Arbitration • 2007
- NFA Online Arbitrator Training • 2008
- NASD Additional Training: Expungement • 2008
- FINRA Expungement Refresher • 2009

Other

- FINRA (NASD, NYSE) & NFA Arbitrator
- FINRA (NASD) Mediator
- Mediator • Utah Court-Annexed ADR Roster (2005-2006)
- Member • Securities Industry and Financial Markets Association (SIFMA), CL Division
- Founding Board Member (Past) • Mountain Mediation Center, Park City, UT
- Past Member • Securities Industry Association (SIA), Sales and Marketing Committee
- Member • Securities Experts' Roundtable
- Associate Member • Association of Certified Fraud Examiners
- Past Member • Chicago Mercantile Exchange • IOM Seat

Gregory B. Wood
Securities Litigation Support, LLC
44 Anthem Creek Circle
Henderson, NV 89052

greg@expertsecurities.com • www.expertsecurities.com
Office: (702) 253-9663 • Cell: (817) 939-4543 • Fax: (702) 459-9663