

Trades, Trade Dates, Transaction Marking and Phone Calls

Securities Issue	S/U/ R/D*	Trade Date / Type	Calls Between the Client and Stockbroker	Securities Issue	S/U/ R/D*	Trade Date / Type	Calls Between the Client and Stockbroker
		06/10/04	YES (2)	AMERICAN COMMERCIAL LINES INC	U	10/13/05	NO
COHEN & STEERS REIT & UTIL INCOME FUND	R	06/22/04	NO	ITURAN LOCATION & CONTROL LTD	U	10/13/05	NO
PIONEER TAX ADVANTAGED BALANCED TRUST	R	06/22/04	NO	WORLDSpace INC CL A	U	10/13/05	NO
DREMAN/CLAYMORE DIVID & INCOME FUND	R	06/22/04	NO	DEERFIELD TRIARC CAPITAL CORP REIT	U	10/13/05	NO
EATON VANCE LTD DURATION INCOME FUND	R	06/22/04	NO	ARES CAPITAL CORP	U	10/24/05	NO
EATON VANCE LTD DURATION INCOME FUND	R	06/22/04	NO	EAGLE BULK SHIPPING INC	S	10/28/05	Yes
COHEN & STEERS REIT & UTIL INCOME FUND	S	07/09/04	NO	HYTHIAM INC	S	11/03/05	NO
PIONEER TAX ADVANTAGED BALANCED TRUST	U	07/09/04	NO	ENBRIDGE ENERGY PARTNERS LP MLP	S	11/16/05	NO
CAMPBELL STRATEGIC ALLOCATION FUND	U	08/03/04	NO	EAGLE BULK SHIPPING INC	U	11/17/05	NO
UBS AG EAS LKD TO S&P 500 INDEX DUE 02/28/2006	S	08/23/04	NO	ENBRIDGE ENERGY PARTNERS LP MLP	S	11/22/05	NO
OREGON STEEL MILLS INC	S	09/30/04	NO	HYTHIAM INC	U	11/22/05	NO
BE AEROSPACE INC	S	10/01/04	NO	DOUBLE HULL TANKERS INC	U	11/22/05	NO
PAIN THERAPEUTICS INC	S	10/07/04	NO	MCG CAPITAL CORP	S	11/30/05	NO
COHEN & STEERS REIT & UTIL INCOME FUND	U	10/15/04	NO	INTL COAL GROUP INC	S	12/07/05	NO
COHEN & STEERS REIT & UTIL INCOME FUND	U	10/15/04	NO	INTL COAL GROUP INC	U	12/13/05	NO
CALAMOS ASSET MGMT INC CL A	S	10/27/04	NO	MCG CAPITAL CORP	U	12/13/05	NO
BE AEROSPACE INC	S	10/28/04	NO	ENERGY TRANSFER PARTNERS LP	S	02/03/06	NO
US SHIPPING PARTNERS LP MLP	S	10/28/04	NO	NFJ DIVIDEND INTEREST & PREMIUM STRATEGY FUND	S	02/21/06	YES (1)
PAIN THERAPEUTICS INC	U	10/29/04	NO	UBS AG EAS LKD TO S&P 500 INDEX DUE 08/31/2007	S	02/21/06	YES (1)
MECHEL STEEL GROUP OAO ADR	S	10/29/04	NO	CAMPBELL STRATEGIC FUNDS IN ESCROW LP	S	02/23/06	NO
MECHEL STEEL GROUP OAO ADR	U	11/01/04	NO	CAMPBELL STRATEGIC FUNDS IN ESCROW LP	S	02/23/06	NO
PIONEER TAX ADVANTAGED BALANCED TRUST	U	11/02/04	NO	ENERGY TRANSFER PARTNERS LP	U	02/24/06	NO
PIONEER TAX ADVANTAGED BALANCED TRUST	U	11/02/04	NO	NICHOLAS APPLGATE INTL & PREM STRATEGY FUND	U	02/24/06	NO
ARLINGTON TANKERS LTD	S	11/05/04	NO	AMER CAPITAL STRATEGIES LTD	S	03/29/06	NO
DREMAN/CLAYMORE DIVID & INCOME FUND	U	11/05/04	NO	HERSHA HOSPITALITY TR SBI	S	04/25/06	NO
US SHIPPING PARTNERS LP MLP	U	11/08/04	NO	DYNACORP INTL INC CL A	S	05/03/06	NO
OREGON STEEL MILLS INC	U	11/08/04	NO	HERSHA HOSPITALITY TR SBI	U	05/18/06	NO
ZIPREALTY INC	S	11/10/04	NO	EATON VANCE CREDIT OPPORTUNITIES FUND	S	05/25/06	NO
NALCO HLDG CO	S	11/10/04	NO	EATON VANCE CREDIT OPPORTUNITIES FUND	S	05/25/06	NO
ARLINGTON TANKERS LTD	U	11/11/04	NO	EATON VANCE CREDIT OPPORTUNITIES FUND	S	05/25/06	NO
ARLINGTON TANKERS LTD	U	11/15/04	NO	AMER CAPITAL STRATEGIES LTD	S	07/08/06	NO
NORDIC AMERICAN TANKER SHIPPING LTD	S	11/17/04	NO	DYNACORP INTL INC CL A	U	08/31/06	NO
TEMPUR-PEDIC INTL INC	S	11/17/04	NO			11/18/06	YES
ESTERLINE TECHNOLOGIES CORP	S	11/19/04	NO	VIACOM INC 6.85% PFD	S	12/07/06	NO
NORDIC AMERICAN TANKER SHIPPING LTD	U	11/23/04	NO	MERRILL LYNCH CAP 6.45% PFD	S	12/08/06	NO
TEMPUR-PEDIC INTL INC	U	11/23/04	NO	KNOX CO TN HLTH EDL & HSG ARCS TUE SER C2 RV 3.42% 01/01/2033	S	01/24/07	NO
FIVE STAR QUALITY CARE INC	S	12/08/04	NO	KNOX CO TN HLTH EDL & HSG ARCS TUE SER C2 RV 3.42% 01/01/2033	S	01/24/07	NO
OTTER TAIL CORP	S	12/09/04	NO	KNOX CO TN HLTH EDL & HSG ARCS TUE SER C2 RV 3.42% 01/01/2033	S	01/30/07	NO
ARRAY BIOPHARMA INC	S	12/09/04	NO	GE CAPITAL 6.05% PFD	S	01/30/07	NO
LECG CORP	S	12/10/04	NO	KNOX CO TN HLTH EDL & HSG ARCS TUE SER C2 RV 3.42% 01/01/2033	S	02/06/07	NO
LECG CORP	U	12/14/04	NO	AT&T INC 6.375% PFD	S	02/07/07	NO
NALCO HLDG CO	U	12/14/04	NO	WACHOVIA CAP TR IV 6.375%	S	02/09/07	NO
CALAMOS ASSET MGMT INC CL A	U	12/14/04	NO	HOSPITALITY PROP 7.0% PFD	S	02/16/07	NO
OTELCO INDS INC	S	12/16/04	NO			02/21/07	YES (2)
ESTERLINE TECHNOLOGIES CORP	U	01/04/05	NO	EATON VANCE TAX MANAGED GLOBAL DIVERSIFIED EQUITY INCOME FUND	S	02/22/07	NO
CELANESE CORP NEW SER A	S	01/20/05	NO	CITIGROUP 6.35% PFD	S	02/28/07	NO
EDUCATION REALTY TRUST INC REIT	S	01/25/05	NO	UBS 100% PPN-ABS RTN BAR S&P 500 INDEX 042508	S	03/19/07	NO
OTELCO INDS INC	U	02/02/05	NO	WESTAR ENERGY 6.10% PFD	S	05/11/07	NO
FIVE STAR QUALITY CARE INC	S	02/02/05	NO	LB 100% PPN-ABS RTN BAR EUROSTOXX 50	S	05/11/07	NO
OTTER TAIL CORP	U	02/02/05	NO			05/31/07	YES
		02/08/05	Yes	EATON VANCE CREDIT OPPORTUNITIES FUND	U	06/27/07	NO
ARRAY BIOPHARMA INC	U	02/14/05	NO	UBS AG EAS LKD TO S&P 500 INDEX DUE 08/31/2007	X	08/31/07	NO
ALPA NATURAL RESOURCES INC	S	02/14/05	NO	ENCORE ENERGY PARTNERS LP	S	09/11/07	NO
CELANESE CORP NEW SER A	U	02/16/05	NO	ENCORE ENERGY PARTNERS LP	U	09/12/07	NO
NFJ DIVIDEND INTEREST & PREMIUM STRATEGY FUND	S	02/23/05	NO	ROYAL BANK OF SCOTLAND 7.25% PFD	S	09/24/07	NO
EDUCATION REALTY TRUST INC REIT	S	02/24/05	NO	DEUTSCHE BK CAP FUNDING X 7.35%	S	11/07/07	NO
ALPA NATURAL RESOURCES INC	U	03/17/05	NO	BANK OF AMERICA 7.25% PFD	S	11/14/07	NO
HALLIBURTON CO	S	03/17/05	NO	WACHOVIA CAP TR X 7.85% PFD	S	11/15/07	NO
GENWORTH FINANCIAL INC CL A	S	03/23/05	NO	CITIGROUP CAPITAL XX 7.875%	S	11/21/07	NO
HALLIBURTON CO	U	03/24/05	NO	BARCLAYS BANK PLC 7.75% PFD	S	12/03/07	NO
GENWORTH FINANCIAL INC CL A	U	03/28/05	NO	EATON VANCE CREDIT OPPORTUNITIES FUND	U	12/26/07	NO
		03/31/05	Yes			01/10/08	YES
SHAW GROUP INC	S	04/12/05	NO	LB 100% PPN-ABS RTN BAR EUROSTOXX 50	S	01/25/08	NO
SHAW GROUP INC	U	04/19/05	NO	LB 100% PPN-ABS RTN BAR S&P 500	S	01/28/08	NO
NICHOLAS APPLGATE INTL & PREM STRATEGY FUND	S	04/26/05	Yes	EATON VANCE CREDIT OPPORTUNITIES FUND	U	02/07/08	NO
		04/29/05	Yes	CREDIT SUISSE 7.90% PFD	S	03/26/08	NO
NAVTEQ CORP	S	05/04/05	NO	UBS 100% PPN-ABS RTN BAR S&P 500 INDEX 042508	X	04/25/08	NO
TEPPCO PARTNERS LP	S	05/05/05	NO	UBS RTN OPTMZ SE-PP S&P 500 103009	S	04/25/08	NO
NAVTEQ CORP	U	05/09/05	NO	UBS 100% PPN-ABS RTN BAR S&P 500 INDEX 10/30/2009	S	04/28/08	NO
SOUTHERN PERU COPPER CORP	S	06/06/05	NO	WESTAR ENERGY 6.10% PFD	S	04/28/08	NO
BUILDERS FIRSTSOURCE INC	S	06/22/05	NO	AT&T INC 6.375% PFD	S	04/29/08	NO
EAGLE BULK SHIPPING INC	S	06/23/05	NO	FIFTH THIRD CAP TR VII 8.875%	S	04/30/08	NO
SOUTHERN PERU COPPER CORP	S	06/24/05	NO			10/03/08	YES
TEPPCO PARTNERS LP	U	06/28/05	NO			10/13/08	YES
DEERFIELD TRIARC CAPITAL CORP REIT	S	06/28/05	NO			10/15/08	YES
BUILDERS FIRSTSOURCE INC	U	06/30/05	NO	CAMPBELL STRATEGIC FUNDS IN ESCROW LP	S	10/31/08	NO
EATON VANCE LTD DURATION INCOME FUND	S	07/18/05	Yes	CAMPBELL STRATEGIC FUNDS IN ESCROW LP	S	10/31/08	NO
W R BERKLEY CAP TRUST II 6.75% TR PFD	S	07/20/05	NO	CAMPBELL STRATEGIC ALLOCATION FUND	S	10/31/08	NO
LG PHILIPS LCD CO LTD ADS	S	07/21/05	NO			12/30/08	YES
LG PHILIPS LCD CO LTD ADS	U	07/25/05	NO			02/09/09	YES
WORLDSpace INC CL A	S	08/04/05	NO			02/10/09	YES
NALCO HLDG CO	S	08/11/05	NO			04/13/09	YES
ROCKWOOD HLDGS INC	S	08/16/05	NO	FIFTH THIRD CAP TR VII 8.875%	D	04/16/09	NO
NALCO HLDG CO	U	08/22/05	NO	UBS 100% PPN-ABS RTN BAR S&P 500 INDEX 10/30/2009	D	04/16/09	NO
EATON VANCE LTD DURATION INCOME FUND	U	08/22/05	NO	UBS RTN OPTMZ SE-PP S&P 500 103009	D	04/16/09	NO
FIVE STAR QUALITY CARE INC	S	08/24/05	NO	CREDIT SUISSE 7.90% PFD	D	04/16/09	NO
FIVE STAR QUALITY CARE INC	U	09/09/05	NO	LB 100% PPN-ABS RTN BAR S&P 500	D	04/16/09	NO
W R BERKLEY CAP TRUST II 6.75% TR PFD	U	09/09/05	NO	BARCLAYS BANK PLC 7.75% PFD	D	04/16/09	NO
ROCKWOOD HLDGS INC	S	09/12/05	NO	CITIGROUP CAPITAL XX 7.875%	D	04/16/09	NO
EAGLE BULK SHIPPING INC	U	09/12/05	NO	WACHOVIA CAP TR X 7.85% PFD	D	04/16/09	NO
AMER CAPITAL STRATEGIES LTD	S	09/15/05	NO	BANK OF AMERICA 7.25% PFD	D	04/16/09	NO
AMER CAPITAL STRATEGIES LTD	S	09/20/05	NO	DEUTSCHE BK CAP FUNDING X 7.35%	D	04/16/09	NO
GENWORTH FINANCIAL INC CL A	S	09/21/05	Yes	ROYAL BANK OF SCOTLAND 7.25% PFD	D	04/16/09	NO
GENWORTH FINANCIAL INC CL A	U	09/23/05	NO	CITIGROUP 6.35% PFD	D	04/16/09	NO
PLAINS ALL AMER PIPELINE LP	S	09/23/05	NO	COLORADO HSG FIN AUTH REV MBIA 6.55% 10/01/2016	D	04/16/09	NO
PLAINS ALL AMER PIPELINE LP	U	09/27/05	NO	EATON VANCE TAX MANAGED GLOBAL DIVERSIFIED EQUITY INCOME FUND	D	04/16/09	NO
ITURAN LOCATION & CONTROL LTD	S	09/28/05	NO	HOSPITALITY PROP 7.0% PFD	D	04/16/09	NO
AMERICAN COMMERCIAL LINES INC	S	10/06/05	NO	WACHOVIA CAP TR IV 6.375%	D	04/16/09	NO
ARES CAPITAL CORP	S	10/12/05	NO	GE CAPITAL 6.05% PFD	D	04/16/09	NO
DOUBLE HULL TANKERS INC	S	10/12/05	NO	MERRILL LYNCH CAP 6.45% PFD	D	04/16/09	NO
RECEIVE SECURITIES				VIACOM INC 6.85% PFD	D	04/16/09	NO
BUY SECURITIES							
CALLS BETWEEN THE CLIENT AND STOCKBROKER				DELIVER SECURITIES TO A NEW SECURITIES FIRM			
				SELL SECURITIES			

S = Solicited, U = Unsolicited, R = Received, D = Deliver, X = Redemption - Confirmations not marked as unsolicited are considered solicited.