

CUSTOMER V SECURITIES BROKERAGE

ABC-12345 - JOHN D CUSTOMER

01/2008 Thru 01/2009

Privileged / Confidential Attorney Work Product

Annualized Rate of Return

Account Name: JOHN D CUSTOMER

Case Name: CUSTOMER V SECURITIES BROKERAGE

Account Number: ABC-12345

Date Range: 01/2008 Thru 01/2009

Account		Date Range	Annualized Rate of Return	Profit & (Loss)
1 ABC-12345	JOHN D CUSTOMER	01/2008 - 01/2009	-79.71%	(\$136,727.25)
2 ABC-12345	JOHN D CUSTOMER	01/2008 - 12/2008	-88.61%	(\$144,153.83)
3 ABC-12345	JOHN D CUSTOMER	01/2009 - 01/2009	216.98%	\$7,426.58

Total Investment Summary

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CREDITS: **\$721,353.26**

BEGINNING CASH BALANCE	(\$31,121.24)
SECURITIES OPEN IN ACCOUNT	\$224,468.75
SECURITIES RECEIVED IN	\$446,373.07
CASH DEPOSITS	\$53,498.29
CASH TRANSFERRED IN	\$26,049.66
DEBITS TRANSFERRED OUT	\$2,084.73
CREDIT ADJUSTMENT	\$0.00

DEBITS: **\$584,626.01**

ENDING CASH BALANCE	\$0.00
SECURITIES DELIVERED OUT	\$178,240.70
CASH WITHDRAWN	\$156,383.47
CASH TRANSFERRED OUT	\$250,000.00
DEBITS TRANSFERRED IN	\$0.00
TAXES WITHHELD	\$1.84
SECURITIES IN ACCOUNT	\$0.00
DEBIT ADJUSTMENT	\$0.00

RETURN ON INVESTMENT: **(\$133,519.91)**

NET TRADING RESULT	(\$145,897.02)
DIVIDEND INCOME	\$5,671.22
INTEREST INCOME	\$6,705.89

MISC. INCOME & EXPENSE: **(\$3,207.34)**

MONEY MARKET FUND INCOME	\$220.82
MISCELLANEOUS CREDITS	\$0.00
INTEREST CHARGES	(\$2,816.83)
MISCELLANEOUS CHARGES	(\$611.33)

TOTAL COMMISSIONS:	\$21,358.78
COMMISSIONS to Market Value:	10.81%
COMMISSIONS to Equity Value:	13.06%
TURNOVER (Annualized) by Market Value:	3.08
by Equity Value:	3.72
CMF (Annualized) by Market Value:	12.55%
by Equity Value:	15.15%
AVERAGE MONTHLY EQUITY:	\$151,013.51

Net Loss **(\$136,727.25)**

% Simple Return = -18.95%
% Return on Average Equity = -90.54%

Net Loss **(\$136,727.25)**

Trade Transactions ROI - Summary

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Subtotals On:

	Trading Gain/(Loss)	Income	Net ROI	% ROI
EQUITIES	(\$109,591.75)	\$3,474.16	(\$106,117.59)	-21.40%
BONDS	(\$8,355.65)	\$2,458.13	(\$5,897.52)	-3.28%
MUTUAL FUNDS	(\$18,426.33)	\$1,817.31	(\$16,609.02)	-4.11%
C M O's	(\$2,075.45)	\$4,202.92	\$2,127.47	1.66%
UNIT INVESTMENT TRUSTS	(\$7,447.84)	\$424.59	(\$7,023.25)	-9.27%

Net Trading Result	(\$145,897.02)		
Total Income		\$12,377.11	
Net Return on Investments			(\$133,519.91)
	Money Market Funds		\$220.82
	Miscellaneous Credits		\$0.00
	Interest Charges		(\$2,816.83)
	Miscellaneous Charges		(\$611.33)
Net Loss			(\$136,727.25)

Trade Transactions ROI - Detail Summary

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Description	Trading Gain/Loss	Income	Net ROI	% ROI
EQUITIES				
1 ALLIANCE HOLDINGS GP LP	(\$1,703.50)	\$52.88	(\$1,650.62)	-35.7%
2 ALTRIA GROUP INC	(\$611.36)	\$0.00	(\$611.36)	-28.0%
3 APPLE INC	(\$2,629.94)	\$0.00	(\$2,629.94)	-16.3%
4 APPROACH RESOURCES INC	\$231.40	\$0.00	\$231.40	6.4%
5 ASSURED GUARANTY LTD	(\$299.02)	\$0.00	(\$299.02)	-21.7%
6 AT&T INC	(\$968.92)	\$60.00	(\$908.92)	-14.5%
7 ATLAS PIPELINE PARTNERS M L P UNITS	(\$3,388.04)	\$72.00	(\$3,316.04)	-58.4%
8 BAIDU.COM ADR	(\$1,894.56)	\$0.00	(\$1,894.56)	-49.3%
9 BANK OF AMERICA CORP PFD SUPER-REGIONAL BANKS	(\$543.53)	\$82.81	(\$460.72)	-11.2%
10 BARCLAYS BANK PLC 8.125% NON-CUM PERP PFD 061513	(\$1,437.02)	\$152.34	(\$1,284.68)	-14.1%
11 BB&T CAP TRUST V 8.95% 091563	(\$644.54)	\$0.00	(\$644.54)	-8.6%
12 BRASIL TELECOM PART SA ADR	\$823.93	\$0.00	\$823.93	5.5%
13 BREITBURN ENERGY PRTNRS LP	(\$1,483.49)	\$104.00	(\$1,379.49)	-58.0%
14 BUCKEYE PARTNERS LP	(\$3,569.29)	\$0.00	(\$3,569.29)	-28.9%
15 BUCYRUS INTERNATIONAL INC	(\$1,027.73)	\$0.00	(\$1,027.73)	-18.8%
16 CARLISLE COMPANIES INC	\$211.16	\$14.50	\$225.66	7.5%
17 CHEVRON CORP	\$399.46	\$0.00	\$399.46	6.5%
18 CHICAGO BRIDGE AND IRON - NEW YORK SHARES	(\$539.82)	\$0.00	(\$539.82)	-15.1%
19 CHINA MOBILE LTD SPONS ADR	\$172.47	\$0.00	\$172.47	4.4%
20 CITICORP CAP XVI 6.45% 123166	\$22.06	\$0.00	\$22.06	1.0%
21 CITIGROUP 8.5% SER F PFD NON CUM PERP PFD CALL 061513	(\$1,017.52)	\$132.81	(\$884.71)	-12.2%

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Description	Trading Gain/Loss	Income	Net ROI	% ROI
22 CONSTELLATION ENERGY GROUP INC	(\$766.37)	\$0.00	(\$766.37)	-18.0%
23 CONSTELLATION ENERGY GRP 8.625 JR SUB DEB 061568	(\$544.54)	\$0.00	(\$544.54)	-7.6%
24 CREDIT SUISSE 7.9% NON-COM PERP CAP NOTE CALL 032813	(\$451.52)	\$197.50	(\$254.02)	-3.6%
25 DB CONT CAP TR 6.55% NON-CUM PERP PFD CALL 052317	\$331.50	\$122.81	\$454.31	9.7%
26 DEERFIELD CAPITAL CORP	(\$322.89)	\$126.00	(\$196.89)	-8.2%
27 DIANA SHIPPING INC	\$803.28	\$0.00	\$803.28	13.0%
28 EVERGREEN SOLAR INC	(\$1,006.92)	\$0.00	(\$1,006.92)	-46.4%
29 EXXON MOBIL CORP	(\$380.15)	\$80.00	(\$300.15)	-2.5%
30 FREEPORT-MCMORAN COPPER & GOLD INC CL B	(\$8,567.38)	\$43.75	(\$8,523.63)	-38.4%
31 FUEL SYSTEMS SOLUTIONS INC	(\$5,032.85)	\$0.00	(\$5,032.85)	-28.2%
32 GENENTECH INC	(\$1,178.39)	\$0.00	(\$1,178.39)	-7.0%
33 GENERAL ELECTRIC CO	(\$1,443.94)	\$93.00	(\$1,350.94)	-35.0%
34 GOLDMAN SACHS GROUP INC	(\$6,897.36)	\$35.00	(\$6,862.36)	-54.7%
35 GOOGLE INC CL A	(\$702.32)	\$0.00	(\$702.32)	-23.9%
36 HECKMANN CORP	(\$154.37)	\$0.00	(\$154.37)	-9.9%
37 HEXCEL CORP NEW	(\$265.79)	\$0.00	(\$265.79)	-12.2%
38 ISHARES MSCI BRAZIL INDEX	(\$1,206.11)	\$120.31	(\$1,085.80)	-13.5%
39 ISHARES MSCI SINGAPORE INDEX	(\$628.99)	\$115.22	(\$513.77)	-12.6%
40 JP MORGAN CHASE 8.625% NON-CUM PERP PFD CALL 090113	(\$1,359.53)	\$119.79	(\$1,239.74)	-24.1%
41 LEHMAN BROS HLDG FLOATING RATE PERPETUAL CALLABLE	\$435.93	\$23.27	\$459.20	12.3%
42 MASSEY ENERGY COMPANY	\$788.36	\$5.00	\$793.36	9.7%
43 MECHEL OAO SPONS ADR	\$380.15	\$0.00	\$380.15	4.1%

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44 MERRILL LYNCH 8.625% NON-CUM PERP PFD CALL 052813	(\$180.52)	\$134.78	(\$45.74)	-0.6%
45 MORGAN STANLEY & CO	(\$5,295.80)	\$67.50	(\$5,228.30)	-56.5%
46 NORFOLK SOUTHERN CORP	\$330.00	\$32.00	\$362.00	5.3%
47 NUCOR CORP	\$817.78	\$52.00	\$869.78	5.7%
48 PENN VIRGINIA RESOURCE PARTNERS	(\$1,503.27)	\$93.00	(\$1,410.27)	-48.9%
49 PETROLEO BRASILEIRO SPN ADR - PETROBRAS	(\$9,750.59)	\$0.00	(\$9,750.59)	-48.5%
50 PIONEER SOUTHWEST ENERGY PARTNERS LP	(\$1,290.06)	\$121.50	(\$1,168.56)	-33.8%
51 PLAINS ALL AMERICAN PIPELINE LP	(\$4,633.74)	\$178.50	(\$4,455.24)	-32.2%
52 SCHNITZER STEEL INDUSTRIES INC CL A	(\$2,689.54)	\$2.55	(\$2,686.99)	-21.4%
53 SEMPRA ENERGY	(\$4,764.96)	\$245.00	(\$4,519.96)	-22.8%
54 SHIP FINANCE INTL LTD	\$118.64	\$55.00	\$173.64	6.4%
55 SOHU.COM INC	\$362.48	\$0.00	\$362.48	16.2%
56 SUPERIOR ENERGY SVCS INC	(\$5,216.93)	\$0.00	(\$5,216.93)	-33.9%
57 SWIFT ENERGY CO	(\$10,267.14)	\$0.00	(\$10,267.14)	-63.3%
58 TARGA RESRCES PRTNRS LP	(\$2,771.69)	\$154.75	(\$2,616.94)	-55.8%
59 TEVA PHARMACEUTICAL ADR INDS LTD	(\$619.18)	\$11.15	(\$608.03)	-12.9%
60 URS CORP NEW	(\$1,477.29)	\$0.00	(\$1,477.29)	-20.5%
61 USB CAPITAL VII 5.875% 081535	(\$881.53)	\$73.44	(\$808.09)	-18.5%
62 VANGUARD NATURAL RESOURCES LLC	(\$5,293.91)	\$375.00	(\$4,918.91)	-45.1%
63 WACHOVIA CORP 8.0% NON-CUM PERP PFD 121517	(\$5,448.04)	\$0.00	(\$5,448.04)	-53.2%
64 WELLS FRG CAP XIV 8.625% 091468	(\$387.53)	\$0.00	(\$387.53)	-7.5%
65 WINDSTREAM CORP	(\$874.85)	\$125.00	(\$749.85)	-24.0%

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66 XTO ENERGY INC	\$193.92	\$0.00	\$193.92	2.6%
BONDS				
67 BROWARD CO FL HSG FIN AUTH REV 5.4% 040139	(\$2,806.60)	\$504.00	(\$2,302.60)	-12.8%
68 COURTLAND AL INDL DEV BRD SOLID WASTE DISP 5.7% 100128	\$0.00	\$497.17	\$497.17	2.5%
69 FLORIDA HSG FIN AGY BRITTANY OF ROSEMNT G1 AMBAC 6.25% 070135	(\$683.00)	\$290.80	(\$392.20)	-1.6%
70 FORD MOTOR CO BONDS NON CALL LIFE 7.45% 071631	(\$327.80)	\$215.22	(\$112.58)	-1.1%
71 GENERAL MOTORS DEBENTURE NON CALL LIFE 7.4% 090125	(\$586.75)	\$35.97	(\$550.78)	-4.9%
72 METROPOLITAN PIER & EXPO AUTH ILL TAX REV 5.25% 121528	(\$1,009.50)	\$605.21	(\$404.29)	-0.8%
73 PIEDMONT MUN PWR AGY SC ELEC REV REF SER A 5.25% 010121	(\$807.00)	\$244.27	(\$562.73)	-2.2%
74 WISCONSIN ST HEALTH & EDL FACS AUTH REV 5.125% 081528	(\$2,135.00)	\$65.49	(\$2,069.51)	-10.8%
MUTUAL FUNDS				
75 ALLIANCEBERSTEIN INC FUND	(\$622.85)	\$112.50	(\$510.35)	-12.1%
76 BLACKROCK MUNICIPAL INCOME TRUST FUND	(\$94.12)	\$0.00	(\$94.12)	-0.4%
77 CALAMOS GROWTH FUND CL A	(\$682.72)	\$0.00	(\$682.72)	-22.6%
78 CLAYMORE CHINA SMALL ETF CAP INDEX	(\$632.52)	\$0.00	(\$632.52)	-16.3%
79 CLAYMORE/MAC GLOBAL ETF SOLAR ENERGY INDEX	(\$626.04)	\$0.00	(\$626.04)	-21.2%
80 GOLDMAN SACHS TR BRIC FUND CL A	(\$478.87)	\$0.00	(\$478.87)	-8.3%
81 HARRIS ASSOC INVT TR OAKMARK EQUITY & INCOME FUND CL I	\$177.13	\$0.00	\$177.13	1.2%
82 JENNISON NATURAL RESOURCES FUND CL A	(\$2,331.38)	\$142.01	(\$2,189.37)	-13.6%
83 KEELEY FUNDS SMALL CAP VALUE FUND CL A	(\$3,467.51)	\$0.00	(\$3,467.51)	-34.6%
84 LEGG MASON PARTNERS AGGRESSIVE GROWTH FUND CL I	(\$562.43)	\$0.00	(\$562.43)	-20.1%

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85 NUVEEN DIVIDEND ADV MUNICIPAL FUND 3	(\$146.83)	\$0.00	(\$146.83)	-0.5%
86 NUVEEN INVT TR NWQ MULTI-CAP VALUE FUND CL R	(\$660.63)	\$0.00	(\$660.63)	-15.2%
87 OPPENHEIMER FUNDS ROCHESTER MUN NAT CL B	(\$875.19)	\$243.96	(\$631.23)	-1.1%
88 OPPENHEIMER GLOBAL FUND CL Y	(\$2,241.97)	\$0.00	(\$2,241.97)	-20.6%
89 PIMCO HIGH INCOME FUND	(\$526.54)	\$0.00	(\$526.54)	-0.7%
90 PIMCO MUNI INCOME FUND	\$0.54	\$0.00	\$0.54	0.0%
91 POWERSHARES DB BASE US DOLLAR INDEX BULLISH FUND	(\$213.92)	\$0.00	(\$213.92)	-6.1%
92 POWERSHARES ETF PREFERRED PORTFOLIO	(\$1,083.50)	\$148.57	(\$934.93)	-15.6%
93 PROSHARES ULTRASHORT ETF OIL & GAS	\$1,256.03	\$0.00	\$1,256.03	9.0%
94 PROSHARES ULTRASHORT QQQ	\$425.80	\$0.00	\$425.80	6.6%
95 THIRD AVENUE TR VALUE TR FUND	(\$714.95)	\$0.00	(\$714.95)	-25.4%
96 THORNBURG INVT TR GLOBAL OPPORTUNITIES FUND CL I	(\$1,409.09)	\$39.78	(\$1,369.31)	-26.4%
97 TRANSAMERICA INVS INC PREMIER BALANCED FUND INV SHS	(\$1,808.25)	\$576.96	(\$1,231.29)	-8.2%
98 TWEEDY BROWNE GLOBAL VALUE FUND	(\$1,106.52)	\$553.53	(\$552.99)	-10.1%
CMO's				
99 BANK OF AMERICA 04-3 1A2 MULTICLASS CMO 5.5% 042534	(\$1,228.65)	\$151.85	(\$1,076.80)	-15.3%
100 CITICORP MTG 05-3 1A6 SEC INC MULTICLASS CMO CALLABLE 5.5% 042535	(\$303.41)	\$108.49	(\$194.92)	-4.5%
101 COUNTRYWIDE 04-4 A8 MULTICLASS CMO 5.5% 052534	(\$574.83)	\$92.20	(\$482.63)	-10.5%
102 FHLMC 1505Q SCH MULTICLASS CMO 7.0% 051523	\$199.74	\$95.54	\$295.28	5.7%
103 FHLMC 2036 PD MULTICLASS CMO REMIC 6.5% 031528	\$141.04	\$223.11	\$364.15	2.7%
104 FHLMC 2857 X REMIC MULTICLASS CMO SUPPORT BOND 5.5% 091534	\$273.00	\$34.49	\$307.49	3.8%
105 FHLMC 2873 BB REMIC MULTICLASS CMO 5.5% 041534	\$81.04	\$91.04	\$172.08	4.5%

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106 FHLMC 2881 BB RETAIL LOTTERY BOND REMIC MULTICLASS CMO 5.5% 011534	(\$9.91)	\$108.49	\$98.58	2.1%
107 FHLMC 2928 EE RETAIL LOTTERY REMIC MULTICLASS CMO 5.0% 041534	\$41.66	\$103.46	\$145.12	3.2%
108 FHLMC 2996 HH RETAIL LOTTERY REMIC MULTICLASS CMO 5.0% 101533	\$33.24	\$78.76	\$112.00	3.0%
109 FHLMC 3029 TA REMIC MULTICLASS CMO 5.0% 091535	(\$246.60)	\$122.60	(\$124.00)	-2.0%
110 FHLMC 3075 CB REMIC MULTICLASS CMO 5.5% 051534	(\$426.64)	\$182.08	(\$244.56)	-5.0%
111 FNMA 92-131KB PAC REMIC MULTICLASS CMO 8.0% 082522	\$128.40	\$99.04	\$227.44	4.6%
112 FNMA 92-31M PAC REMIC MULTICLASS CMO 7.75% 032522	\$25.50	\$109.06	\$134.56	8.9%
113 FNMA 93-77L PAC 1 MULTICLASS CMO 7.0% 062523	\$54.12	\$253.46	\$307.58	8.2%
114 FNMA G 92-35E PAC MULTICLASS CMO 7.5% 072522	\$123.16	\$296.41	\$419.57	10.1%
115 FNMA PASS THRU POOL 545882 7.0% 050132	\$74.16	\$900.84	\$975.00	7.2%
116 GNMA 06-56 YD REMIC MULTICLASS CMO 5.5% 042036	(\$288.18)	\$209.68	(\$78.50)	-1.3%
117 GNMA 07-2 BA REMIC MULTICLASS CMO 5.5% 062035	\$129.29	\$90.98	\$220.27	3.7%
118 GNMA II PASS THRU POOL 3081 7.0% 052031	\$78.65	\$517.38	\$596.03	7.6%
119 GNMA II PASS THRU POOL 581490 5.5% 021533	(\$380.23)	\$333.96	(\$46.27)	-0.5%
UNIT INVESTMENT TRUSTS				
120 CLAYMORE SECURITIES CHINA OPPORTUNITIES PORT SER 4 UIT	(\$3,492.68)	\$221.77	(\$3,270.91)	-16.1%
121 CLAYMORE SECURITIES DELTA GLOBAL AGRICULTURE PORT SER 2 UIT	\$1,117.83	\$1.20	\$1,119.03	11.2%
122 CLAYMORE SECURITIES GLOBAL EXCHANGE & ASSET MGMT PORT SER 3 UIT	(\$2,076.60)	\$100.57	(\$1,976.03)	-13.0%
123 CLAYMORE SECURITIES UTILITIES PORT SER 8 UIT	(\$262.35)	\$60.27	(\$202.08)	-4.0%
124 FIRST TRUST GLOBAL COMMODITIES PORT SER 5	(\$262.79)	\$28.28	(\$234.51)	-4.7%

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125 FIRST TRUST GLOBAL SHIPPING SEL 3 UIT	(\$2,018.05)	\$0.00	(\$2,018.05)	-19.9%
126 FIRST TRUST PRECIOUS METALS SERIES 10	(\$453.20)	\$12.50	(\$440.70)	-4.4%

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Date	Bot/Rec	Slid/Del	Description	Price	Debit	Credit	Accr Int	Trading Gain/Loss	Income	Net ROI	% ROI
EQUITIES											
1	06/20/2008	100.000	ALLIANCE HOLDINGS GP LP	\$29.910	\$3,085.17			COMM CALC (Bonds @ 2.5%)			
2	06/20/2008	50.000	ALLIANCE HOLDINGS GP LP	\$29.934	\$1,543.80			COMM CALC (Bonds @ 2.5%)			
3	08/19/2008		ALLIANCE HOLDINGS GP LP			\$52.88		DIVIDEND			
4	09/29/2008	100.000	ALLIANCE HOLDINGS GP LP	\$20.250		\$1,950.81		COMM CALC (Bonds @ 2.5%)			
5	09/29/2008	50.000	ALLIANCE HOLDINGS GP LP	\$20.160		\$974.66		COMM CALC (Bonds @ 2.5%)			
		150.000	150.000					(\$1,703.50)	\$52.88	(\$1,650.62)	-35.7%
6	09/15/2008	100.000	ALTRIA GROUP INC	\$20.900	\$2,185.00			COMM CALC (Bonds @ 2.5%)			
7	11/19/2008	100.000	ALTRIA GROUP INC	\$16.762		\$1,573.64		COMM CALC (Bonds @ 2.5%)			
		100.000	100.000					(\$611.36)	\$0.00	(\$611.36)	-28.0%
8	06/26/2008	25.000	APPLE INC	\$169.540	\$4,348.50			COMM CALC (Bonds @ 2.5%)			
9	09/15/2008	15.000	APPLE INC	\$142.030	\$2,225.45			COMM CALC (Bonds @ 2.5%)			
10	09/18/2008	10.000	APPLE INC	\$129.420	\$1,389.20			COMM CALC (Bonds @ 2.5%)			
11	09/22/2008	50.000	APPLE INC	\$134.820		\$6,633.46		COMM CALC (Bonds @ 2.5%)			
12	10/14/2008	75.000	APPLE INC	\$106.780	\$8,126.00			COMM CALC (Bonds @ 2.5%)			
13	01/07/2009	DELV	75.000 APPLE INC	\$91.010		\$6,825.75		BLOOMBERG CLOSE			
		125.000	125.000					(\$2,629.94)	\$0.00	(\$2,629.94)	-16.3%
14	09/12/2008	250.000	APPROACH RESOURCES INC	\$13.983	\$3,630.06			COMM CALC (Bonds @ 2.5%)			
15	09/22/2008	250.000	APPROACH RESOURCES INC	\$16.049		\$3,861.46		COMM CALC (Bonds @ 2.5%)			
		250.000	250.000					\$231.40	\$0.00	\$231.40	6.4%
16	03/04/2008	50.000	ASSURED GUARANTY LTD	\$25.630	\$1,376.50			COMM CALC (Bonds @ 2.5%)			
17	03/31/2008	50.000	ASSURED GUARANTY LTD	\$23.450		\$1,077.48		COMM CALC (Bonds @ 2.5%)			

Trade Transactions ROI

Account Name: JOHN D CUSTOMER

Case Name: CUSTOMER V SECURITIES BROKERAGE

Account Number: ABC-12345

Date Range: 01/2008 Thru 01/2009

Date	Bot/Rec	Slid/Del	Description	Price	Debit	Credit	Accr Int	Trading Gain/Loss	Income	Net ROI	% ROI
	<u>50.000</u>	<u>50.000</u>						(\$299.02)	\$0.00	(\$299.02)	-21.7%
18 09/15/2008	150.000		AT&T INC	\$30.408	\$4,703.71			COMM CALC (Bonds @ 2.5%)			
19 10/29/2008	50.000		AT&T INC	\$27.629	\$1,483.97			COMM CALC (Bonds @ 2.5%)			
20 11/03/2008			AT&T INC			\$60.00		DIVIDEND			
21 11/03/2008	2.217		AT&T INC	\$27.066	\$60.00			REINVEST			
22 11/24/2008		202.217	AT&T INC	\$26.612		\$5,278.76		COMM CALC (Bonds @ 2.5%)			
	<u>202.217</u>	<u>202.217</u>						(\$968.92)	\$60.00	(\$908.92)	-14.5%
23 06/30/2008	75.000		ATLAS PIPELINE PARTNERS M L P UNITS	\$39.090	\$3,026.75			COMM CALC (Bonds @ 2.5%)			
24 08/14/2008			ATLAS PIPELINE PARTNERS M L P UNITS			\$72.00		DIVIDEND			
25 08/29/2008	75.000		ATLAS PIPELINE PARTNERS M L P UNITS	\$34.070	\$2,650.25			COMM CALC (Bonds @ 2.5%)			
26 10/29/2008		150.000	ATLAS PIPELINE PARTNERS M L P UNITS	\$15.943		\$2,288.96		COMM CALC (Bonds @ 2.5%)			
	<u>150.000</u>	<u>150.000</u>						(\$3,388.04)	\$72.00	(\$3,316.04)	-58.4%
27 10/14/2008	10.000		BAIDU.COM ADR	\$254.699	\$2,649.49			COMM CALC (Bonds @ 2.5%)			
28 10/29/2008	5.000		BAIDU.COM ADR	\$217.599	\$1,190.50			COMM CALC (Bonds @ 2.5%)			
29 11/17/2008		15.000	BAIDU.COM ADR	\$136.530		\$1,945.43		COMM CALC (Bonds @ 2.5%)			
	<u>15.000</u>	<u>15.000</u>						(\$1,894.56)	\$0.00	(\$1,894.56)	-49.3%
30 09/09/2008	200.000		BANK OF AMERICA CORP PFD SUPER-REGIONAL BANKS	\$20.540	\$4,108.00						
31 10/01/2008			BANK OF AMERICA CORP PFD SUPER-REGIONAL BANKS			\$82.81		DIVIDEND			
32 10/27/2008		200.000	BANK OF AMERICA CORP PFD SUPER-REGIONAL BANKS	\$18.360		\$3,564.47		COMM CALC (Bonds @ 2.5%)			
	<u>200.000</u>	<u>200.000</u>						(\$543.53)	\$82.81	(\$460.72)	-11.2%
33 09/03/2008	200.000		BARCLAYS BANK PLC 8.125% NON-CUM PERP PFD 061513	\$24.410	\$5,007.00			COMM CALC (Bonds @ 2.5%)			
34 09/18/2008	100.000		BARCLAYS BANK PLC 8.125% NON-CUM PERP PFD 061513	\$18.490	\$1,944.00			COMM CALC (Bonds @ 2.5%)			

Trade Transactions ROI

Account Name: JOHN D CUSTOMER

Case Name: CUSTOMER V SECURITIES BROKERAGE

Account Number: ABC-12345

Date Range: 01/2008 Thru 01/2009

Date	Bot/Rec	Slid/Del	Description	Price	Debit	Credit	Accr Int	Trading Gain/Loss	Income	Net ROI	% ROI
35	09/19/2008		100.000 BARCLAYS BANK PLC 8.125% NON-CUM PERP PFD 061513	\$21.000		\$1,997.48		COMM CALC (Bonds @ 2.5%)			
36	09/25/2008	100.000	BARCLAYS BANK PLC 8.125% NON-CUM PERP PFD 061513	\$20.780	\$2,180.50			COMM CALC (Bonds @ 2.5%)			
37	12/15/2008		BARCLAYS BANK PLC 8.125% NON-CUM PERP PFD 061513			\$152.34		DIVIDEND			
38	01/07/2009	DELV	300.000 BARCLAYS BANK PLC 8.125% NON-CUM PERP PFD 061513	\$18.990		\$5,697.00		BLOOMBERG CLOSE			
		400.000	400.000					(\$1,437.02)	\$152.34	(\$1,284.68)	-14.1%
39	09/09/2008	300.000	BB&T CAP TRUST V 8.95% 091563	\$24.950	\$7,485.00						
40	10/27/2008	300.000	BB&T CAP TRUST V 8.95% 091563	\$23.160		\$6,840.46		COMM CALC (Bonds @ 2.5%)			
		300.000	300.000					(\$644.54)	\$0.00	(\$644.54)	-8.6%
41	04/30/2008	100.000	BRASIL TELECOM PART SA ADR	\$76.355	\$7,745.50			COMM CALC (Bonds @ 2.5%)			
42	05/06/2008	100.000	BRASIL TELECOM PART SA ADR	\$71.970	\$7,307.00			COMM CALC (Bonds @ 2.5%)			
43	05/28/2008	150.000	BRASIL TELECOM PART SA ADR	\$79.890		\$11,883.43		COMM CALC (Bonds @ 2.5%)			
44	05/30/2008	50.000	BRASIL TELECOM PART SA ADR	\$82.061		\$3,993.00		COMM CALC (Bonds @ 2.5%)			
		200.000	200.000					\$823.93	\$0.00	\$823.93	5.5%
45	06/30/2008	100.000	BREITBURN ENERGY PRTNRS LP	\$22.310	\$2,326.02			COMM CALC (Bonds @ 2.5%)			
46	08/14/2008		BREITBURN ENERGY PRTNRS LP			\$52.00		DIVIDEND			
47	11/14/2008		BREITBURN ENERGY PRTNRS LP			\$52.00		DIVIDEND			
48	11/14/2008	6.836	BREITBURN ENERGY PRTNRS LP	\$7.606	\$52.00			REINVEST			
49	12/31/2008	0.836	BREITBURN ENERGY PRTNRS LP	\$6.220		\$5.19					
50	01/07/2009	DELV	106.000 BREITBURN ENERGY PRTNRS LP	\$8.390		\$889.34		BLOOMBERG CLOSE			
		106.836	106.836					(\$1,483.49)	\$104.00	(\$1,379.49)	-58.0%
51	09/09/2008	150.000	BUCKEYE PARTNERS LP	\$40.848	\$6,227.20			COMM CALC (Bonds @ 2.5%)			
52	09/18/2008	150.000	BUCKEYE PARTNERS LP	\$39.710	\$6,121.50			COMM CALC (Bonds @ 2.5%)			

Trade Transactions ROI

Account Name: JOHN D CUSTOMER

Case Name: CUSTOMER V SECURITIES BROKERAGE

Account Number: ABC-12345

Date Range: 01/2008 Thru 01/2009

Date	Bot/Rec	Slid/Del	Description	Price	Debit	Credit	Accr Int	Trading Gain/Loss	Income	Net ROI	% ROI
53	10/07/2008		300.000 BUCKEYE PARTNERS LP	\$29.623		\$8,779.41		COMM CALC (Bonds @ 2.5%)			
		300.000	300.000					(\$3,569.29)	\$0.00	(\$3,569.29)	-28.9%
54	05/30/2008		75.000 BUCYRUS INTERNATIONAL INC	\$71.280	\$5,456.00			COMM CALC (Bonds @ 2.5%)			
55	07/23/2008		75.000 BUCYRUS INTERNATIONAL INC	\$60.511		\$4,428.27		COMM CALC (Bonds @ 2.5%)			
		75.000	75.000					(\$1,027.73)	\$0.00	(\$1,027.73)	-18.8%
56	04/29/2008		100.000 CARLISLE COMPANIES INC	\$29.148	\$3,009.78			COMM CALC (Bonds @ 2.5%)			
57	05/29/2008		100.000 CARLISLE COMPANIES INC	\$33.224		\$3,220.94		COMM CALC (Bonds @ 2.5%)			
58	06/02/2008		CARLISLE COMPANIES INC			\$14.50		DIVIDEND			
		100.000	100.000					\$211.16	\$14.50	\$225.66	7.5%
59	09/15/2008		75.000 CHEVRON CORP	\$80.610	\$6,155.75			COMM CALC (Bonds @ 2.5%)			
60	09/22/2008		75.000 CHEVRON CORP	\$88.970		\$6,555.21		COMM CALC (Bonds @ 2.5%)			
		75.000	75.000					\$399.46	\$0.00	\$399.46	6.5%
61	01/28/2008		75.000 CHICAGO BRIDGE AND IRON - NEW YORK SHARES	\$46.280	\$3,575.01			COMM CALC (Bonds @ 2.5%)			
62	03/11/2008		75.000 CHICAGO BRIDGE AND IRON - NEW YORK SHARES	\$41.780		\$3,035.19		COMM CALC (Bonds @ 2.5%)			
		75.000	75.000					(\$539.82)	\$0.00	(\$539.82)	-15.1%
63	01/28/2008		50.000 CHINA MOBILE LTD SPONS ADR	\$76.550	\$3,937.50			COMM CALC (Bonds @ 2.5%)			
64	04/16/2008		50.000 CHINA MOBILE LTD SPONS ADR	\$84.400		\$4,109.97		COMM CALC (Bonds @ 2.5%)			
		50.000	50.000					\$172.47	\$0.00	\$172.47	4.4%
65	09/25/2008		150.000 CITICORP CAP XVI 6.45% 123166	\$14.370	\$2,258.27			COMM CALC (Bonds @ 2.5%)			
66	10/21/2008		150.000 CITICORP CAP XVI 6.45% 123166	\$15.920		\$2,280.33		COMM CALC (Bonds @ 2.5%)			
		150.000	150.000					\$22.06	\$0.00	\$22.06	1.0%

Trade Transactions ROI

Account Name: JOHN D CUSTOMER

Case Name: CUSTOMER V SECURITIES BROKERAGE

Account Number: ABC-12345

Date Range: 01/2008 Thru 01/2009

Date	Bot/Rec	Slid/Del	Description	Price	Debit	Credit	Accr Int	Trading Gain/Loss	Income	Net ROI	% ROI
67	09/03/2008	150.000	CITIGROUP 8.5% SER F PFD NON CUM PERP PFD CALL 061513	\$21.940	\$3,366.00			COMM CALC (Bonds @ 2.5%)			
68	09/03/2008	100.000	CITIGROUP 8.5% SER F PFD NON CUM PERP PFD CALL 061513	\$21.930	\$2,243.00			COMM CALC (Bonds @ 2.5%)			
69	09/18/2008	100.000	CITIGROUP 8.5% SER F PFD NON CUM PERP PFD CALL 061513	\$15.360	\$1,631.00			COMM CALC (Bonds @ 2.5%)			
70	10/03/2008	100.000	CITIGROUP 8.5% SER F PFD NON CUM PERP PFD CALL 061513	\$17.950		\$1,692.48		COMM CALC (Bonds @ 2.5%)			
71	12/15/2008		CITIGROUP 8.5% SER F PFD NON CUM PERP PFD CALL 061513			\$132.81		DIVIDEND			
72	01/07/2009	DELV	250.000 CITIGROUP 8.5% SER F PFD NON CUM PERP PFD CALL 061513	\$18.120		\$4,530.00		BLOOMBERG CLOSE			
		350.000	350.000					(\$1,017.52)	\$132.81	(\$884.71)	-12.2%
73	09/22/2008	150.000	CONSTELLATION ENERGY GROUP INC	\$27.465	\$4,262.29			COMM CALC (Bonds @ 2.5%)			
74	11/19/2008	150.000	CONSTELLATION ENERGY GROUP INC	\$24.023		\$3,495.92		COMM CALC (Bonds @ 2.5%)			
		150.000	150.000					(\$766.37)	\$0.00	(\$766.37)	-18.0%
75	09/12/2008	200.000	CONSTELLATION ENERGY GRP 8.625 JR SUB DEB 061568	\$24.400	\$5,005.00			COMM CALC (Bonds @ 2.5%)			
76	09/18/2008	100.000	CONSTELLATION ENERGY GRP 8.625 JR SUB DEB 061568	\$20.900	\$2,185.00			COMM CALC (Bonds @ 2.5%)			
77	10/31/2008	300.000	CONSTELLATION ENERGY GRP 8.625 JR SUB DEB 061568	\$22.510		\$6,645.46		COMM CALC (Bonds @ 2.5%)			
		300.000	300.000					(\$544.54)	\$0.00	(\$544.54)	-7.6%
78	09/03/2008	200.000	CREDIT SUISSE 7.9% NON-COM PERP CAP NOTE CALL 032813	\$25.750	\$5,150.00						
79	09/15/2008		CREDIT SUISSE 7.9% NON-COM PERP CAP NOTE CALL 032813			\$98.75		INTEREST			
80	09/18/2008	100.000	CREDIT SUISSE 7.9% NON-COM PERP CAP NOTE CALL 032813	\$19.100	\$2,005.00			COMM CALC (Bonds @ 2.5%)			
81	09/19/2008	100.000	CREDIT SUISSE 7.9% NON-COM PERP CAP NOTE CALL 032813	\$23.200		\$2,217.48		COMM CALC (Bonds @ 2.5%)			
82	10/13/2008		CREDIT SUISSE 7.9% NON-COM PERP CAP NOTE CALL 032813			\$98.75		DIVIDEND			
83	10/13/2008		CREDIT SUISSE 7.9% NON-COM PERP CAP NOTE CALL 032813		\$98.75			INTEREST ADJUST			
84	12/15/2008		CREDIT SUISSE 7.9% NON-COM PERP CAP NOTE CALL 032813			\$98.75		DIVIDEND			
85	01/07/2009	DELV	200.000 CREDIT SUISSE 7.9% NON-COM PERP CAP NOTE CALL 032813	\$22.430		\$4,486.00		BLOOMBERG CLOSE			

Trade Transactions ROI

Account Name: JOHN D CUSTOMER
 Account Number: ABC-12345

Case Name: CUSTOMER V SECURITIES BROKERAGE
 Date Range: 01/2008 Thru 01/2009

Date	Bot/Rec	Slid/Del	Description	Price	Debit	Credit	Accr Int	Trading Gain/Loss	Income	Net ROI	% ROI
	200.000	200.000						(\$881.53)	\$73.44	(\$808.09)	-18.5%
247	07/31/2008		300.000 VANGUARD NATURAL RESOURCES LLC	\$15.489	\$4,771.70			COMM CALC (Bonds @ 2.5%)			
248	09/03/2008		200.000 VANGUARD NATURAL RESOURCES LLC	\$15.450	\$3,207.53			COMM CALC (Bonds @ 2.5%)			
249	10/20/2008		250.000 VANGUARD NATURAL RESOURCES LLC	\$9.735	\$2,552.26			COMM CALC (Bonds @ 2.5%)			
250	11/14/2008		VANGUARD NATURAL RESOURCES LLC			\$375.00		DIVIDEND			
251	11/14/2008		46.135 VANGUARD NATURAL RESOURCES LLC	\$8.128	\$375.00			REINVEST			
252	12/31/2008		0.135 VANGUARD NATURAL RESOURCES LLC	\$5.840		\$0.78					
253	01/07/2009	DELV	796.000 VANGUARD NATURAL RESOURCES LLC	\$7.050		\$5,611.80		BLOOMBERG CLOSE			
	796.135	796.135						(\$5,293.91)	\$375.00	(\$4,918.91)	-45.1%
254	09/03/2008		250.000 WACHOVIA CORP 8.0% NON-CUM PERP PFD 121517	\$19.250	\$4,912.50			COMM CALC (Bonds @ 2.5%)			
255	09/16/2008		100.000 WACHOVIA CORP 8.0% NON-CUM PERP PFD 121517	\$10.890	\$1,184.00			COMM CALC (Bonds @ 2.5%)			
256	09/24/2008		100.000 WACHOVIA CORP 8.0% NON-CUM PERP PFD 121517	\$13.670		\$1,264.49		COMM CALC (Bonds @ 2.5%)			
257	09/25/2008		300.000 WACHOVIA CORP 8.0% NON-CUM PERP PFD 121517	\$13.770	\$4,138.50						
258	09/29/2008		550.000 WACHOVIA CORP 8.0% NON-CUM PERP PFD 121517	\$6.600		\$3,522.47		COMM CALC (Bonds @ 2.5%)			
	650.000	650.000						(\$5,448.04)	\$0.00	(\$5,448.04)	-53.2%
259	09/03/2008		200.000 WELLS FRG CAP XIV 8.625% 091468	\$25.910	\$5,182.00						
260	10/27/2008		200.000 WELLS FRG CAP XIV 8.625% 091468	\$24.510		\$4,794.47		COMM CALC (Bonds @ 2.5%)			
	200.000	200.000						(\$387.53)	\$0.00	(\$387.53)	-7.5%
261	09/12/2008		200.000 WINDSTREAM CORP	\$12.090	\$2,498.00			COMM CALC (Bonds @ 2.5%)			
262	09/12/2008		50.000 WINDSTREAM CORP	\$12.087	\$624.35			COMM CALC (Bonds @ 2.5%)			
263	10/15/2008		WINDSTREAM CORP			\$62.50		DIVIDEND			
264	01/07/2009	DELV	250.000 WINDSTREAM CORP	\$8.990		\$2,247.50		BLOOMBERG CLOSE			

Trade Transactions ROI

Account Name: JOHN D CUSTOMER
 Account Number: ABC-12345

Case Name: CUSTOMER V SECURITIES BROKERAGE
 Date Range: 01/2008 Thru 01/2009

Date	Bot/Rec	Slid/Del	Description	Price	Debit	Credit	Accr Int	Trading Gain/Loss	Income	Net ROI	% ROI
265	01/15/2009		WINDSTREAM CORP			\$62.50		DIVIDEND			
		<u>250.000</u>	<u>250.000</u>								
								(\$874.85)	\$125.00	(\$749.85)	-24.0%
266	08/22/2008	75.000	XTO ENERGY INC	\$48.050	\$3,710.01			COMM CALC (Bonds @ 2.5%)			
267	09/03/2008	75.000	XTO ENERGY INC	\$47.740	\$3,686.37			COMM CALC (Bonds @ 2.5%)			
268	09/18/2008		150.000 XTO ENERGY INC	\$51.269		\$7,590.30		COMM CALC (Bonds @ 2.5%)			
		<u>150.000</u>	<u>150.000</u>								
								\$193.92	\$0.00	\$193.92	2.6%

Trade Transactions ROI

Account Name: JOHN D CUSTOMER
 Account Number: ABC-12345

Case Name: CUSTOMER V SECURITIES BROKERAGE
 Date Range: 01/2008 Thru 01/2009

Date	Bot/Rec	Slid/Del	Description	Price	Debit	Credit	Accr Int	Trading Gain/Loss	Income	Net ROI	% ROI
BONDS											
269	09/02/2008	20000.000	RECV BROWARD CO FL HSG FIN AUTH REV 5.4% 040139	\$89.712	\$17,942.40			VALUED PER STMT TRANS; FM 1746-6447			
270	09/16/2008		BROWARD CO FL HSG FIN AUTH REV 5.4% 040139			\$504.00		ACCR INT			
271	09/16/2008	20000.000	BROWARD CO FL HSG FIN AUTH REV 5.4% 040139	\$75.679		\$15,639.80	\$504.00				
		20,000.000	20,000.000					(\$2,806.60)	\$504.00	(\$2,302.60)	-12.8%
272	09/02/2008	20000.000	RECV COURTLAND AL INDL DEV BRD SOLID WASTE DISP 5.7% 100128	\$101.000	\$20,200.00			VALUED PER STMT TRANS; FM 1746-6447			
273	09/03/2008		COURTLAND AL INDL DEV BRD SOLID WASTE DISP 5.7% 100128			\$497.17		ACCR INT			
274	09/03/2008	20000.000	COURTLAND AL INDL DEV BRD SOLID WASTE DISP 5.7% 100128	\$101.000		\$20,697.17	\$497.17				
		20,000.000	20,000.000					\$0.00	\$497.17	\$497.17	2.5%
275	09/02/2008	25000.000	RECV FLORIDA HSG FIN AGY BRITTANY OF ROSEMNT G1 AMBAC 6.25% 070135	\$99.999	\$24,999.75			VALUED PER STMT TRANS; FM 1746-6447			
276	09/03/2008		FLORIDA HSG FIN AGY BRITTANY OF ROSEMNT G1 AMBAC 6.25% 070135			\$290.80		ACCR INT			
277	09/03/2008	25000.000	FLORIDA HSG FIN AGY BRITTANY OF ROSEMNT G1 AMBAC 6.25% 070135	\$97.267		\$24,607.55	\$290.80				
		25,000.000	25,000.000					(\$683.00)	\$290.80	(\$392.20)	-1.6%
278	09/02/2008	20000.000	RECV FORD MOTOR CO BONDS NON CALL LIFE 7.45% 071631	\$51.500	\$10,300.00			VALUED PER STMT TRANS; FM 1746-6447			
279	09/03/2008		FORD MOTOR CO BONDS NON CALL LIFE 7.45% 071631			\$215.22		ACCR INT			
280	09/03/2008	20000.000	FORD MOTOR CO BONDS NON CALL LIFE 7.45% 071631	\$49.861		\$10,187.42	\$215.22				
		20,000.000	20,000.000					(\$327.80)	\$215.22	(\$112.58)	-1.1%
281	09/02/2008	25000.000	RECV GENERAL MOTORS DEBENTURE NON CALL LIFE 7.4% 090125	\$44.847	\$11,211.75			VALUED PER STMT TRANS; FM 1746-6447			
282	09/03/2008		GENERAL MOTORS DEBENTURE NON CALL LIFE 7.4% 090125			\$35.97		ACCR INT			
283	09/03/2008	25000.000	GENERAL MOTORS DEBENTURE NON CALL LIFE 7.4% 090125	\$42.500		\$10,660.97	\$35.97				
		25,000.000	25,000.000					(\$586.75)	\$35.97	(\$550.78)	-4.9%
284	09/02/2008	50000.000	RECV METROPOLITAN PIER & EXPO AUTH ILL TAX REV 5.25% 121528	\$101.497	\$50,748.50			VALUED PER STMT TRANS; FM 1746-6447			

Trade Transactions ROI

Account Name: JOHN D CUSTOMER

Case Name: CUSTOMER V SECURITIES BROKERAGE

Account Number: ABC-12345

Date Range: 01/2008 Thru 01/2009

Date	Bot/Rec	Slid/Del	Description	Price	Debit	Credit	Accr Int	Trading Gain/Loss	Income	Net ROI	% ROI
285	09/03/2008		METROPOLITAN PIER & EXPO AUTH ILL TAX REV 5.25% 121528			\$605.21		ACCR INT			
286	09/03/2008	50000.000	METROPOLITAN PIER & EXPO AUTH ILL TAX REV 5.25% 121528	\$99.478		\$50,344.21	\$605.21				
		50,000.000	50,000.000					(\$1,009.50)	\$605.21	(\$404.29)	-0.8%
287	09/02/2008	25000.000	RECV PIEDMONT MUN PWR AGY SC ELEC REV REF SER A 5.25% 010121	\$100.495	\$25,123.75			VALUED PER STMT TRANS; FM 1746-6447			
288	09/03/2008		PIEDMONT MUN PWR AGY SC ELEC REV REF SER A 5.25% 010121			\$244.27		ACCR INT			
289	09/03/2008	25000.000	PIEDMONT MUN PWR AGY SC ELEC REV REF SER A 5.25% 010121	\$97.267		\$24,561.02	\$244.27				
		25,000.000	25,000.000					(\$807.00)	\$244.27	(\$562.73)	-2.2%
290	09/02/2008	20000.000	RECV WISCONSIN ST HEALTH & EDL FACS AUTH REV 5.125% 081528	\$95.464	\$19,092.80			VALUED PER STMT TRANS; FM 1746-6447			
291	09/03/2008		WISCONSIN ST HEALTH & EDL FACS AUTH REV 5.125% 081528			\$65.49		ACCR INT			
292	09/03/2008	20000.000	WISCONSIN ST HEALTH & EDL FACS AUTH REV 5.125% 081528	\$84.789		\$17,023.29	\$65.49				
		20,000.000	20,000.000					(\$2,135.00)	\$65.49	(\$2,069.51)	-10.8%

Trade Transactions ROI

Account Name: JOHN D CUSTOMER
 Account Number: ABC-12345

Case Name: CUSTOMER V SECURITIES BROKERAGE
 Date Range: 01/2008 Thru 01/2009

Date	Bot/Rec	Slid/Del	Description	Price	Debit	Credit	Accr Int	Trading Gain/Loss	Income	Net ROI	% ROI
MUTUAL FUNDS											
293	09/02/2008		350.000	ALLIANCEBERSTEIN INC FUND	\$8.198	\$3,003.01		COMM CALC (Bonds @ 2.5%)			
294	09/18/2008		150.000	ALLIANCEBERSTEIN INC FUND	\$7.466	\$1,214.84		COMM CALC (Bonds @ 2.5%)			
295	10/17/2008			ALLIANCEBERSTEIN INC FUND			\$25.00	DIVIDEND			
296	11/21/2008			ALLIANCEBERSTEIN INC FUND			\$25.00	DIVIDEND			
297	12/19/2008			ALLIANCEBERSTEIN INC FUND			\$25.00	DIVIDEND			
298	01/07/2009	DELV	500.000	ALLIANCEBERSTEIN INC FUND	\$7.190		\$3,595.00	BLOOMBERG CLOSE			
299	01/23/2009			ALLIANCEBERSTEIN INC FUND			\$12.50	DIVIDEND			
300	01/23/2009			ALLIANCEBERSTEIN INC FUND			\$25.00	DIVIDEND			
			500.000	500.000				(\$622.85)	\$112.50	(\$510.35)	-12.1%
301	09/02/2008		1666.000	RECV BLACKROCK MUNICIPAL INCOME TRUST FUND	\$12.640	\$21,058.24		BLOOMBERG CLOSE; FM 1746-6447			
302	09/03/2008		1666.000	BLACKROCK MUNICIPAL INCOME TRUST FUND	\$12.644		\$20,964.12	COMM CALC (Bonds @ 2.5%)			
			1,666.000	1,666.000				(\$94.12)	\$0.00	(\$94.12)	-0.4%
303	12/31/2007		51.577	OPEN CALAMOS GROWTH FUND CL A	\$58.650	\$3,024.98		VALUED PER 12/31/07 PORT			
304	08/13/2008			18.966 CALAMOS GROWTH FUND CL A	\$50.560		\$958.90				
305	09/17/2008			32.611 CALAMOS GROWTH FUND CL A	\$42.420		\$1,383.36				
			51.577	51.577				(\$682.72)	\$0.00	(\$682.72)	-22.6%
306	01/31/2008		150.000	CLAYMORE CHINA SMALL ETF CAP INDEX	\$25.150	\$3,882.50		COMM CALC (Bonds @ 2.5%)			
307	06/10/2008		150.000	CLAYMORE CHINA SMALL ETF CAP INDEX	\$22.300		\$3,249.98	COMM CALC (Bonds @ 2.5%)			
			150.000	150.000				(\$632.52)	\$0.00	(\$632.52)	-16.3%
308	06/03/2008		100.000	CLAYMORE/MAC GLOBAL ETF SOLAR ENERGY INDEX	\$28.552	\$2,950.24		COMM CALC (Bonds @ 2.5%)			
309	07/31/2008		100.000	CLAYMORE/MAC GLOBAL ETF SOLAR ENERGY INDEX	\$24.192		\$2,324.20	COMM CALC (Bonds @ 2.5%)			

Trade Transactions ROI

Account Name: JOHN D CUSTOMER

Case Name: CUSTOMER V SECURITIES BROKERAGE

Account Number: ABC-12345

Date Range: 01/2008 Thru 01/2009

Date	Bot/Rec	Slid/Del	Description	Price	Debit	Credit	Accr Int	Trading Gain/Loss	Income	Net ROI	% ROI
	100.000	100.000						(\$626.04)	\$0.00	(\$626.04)	-21.2%
310	12/31/2007	306.975	OPEN GOLDMAN SACHS TR BRIC FUND CL A	\$18.880	\$5,795.68			VALUED PER 12/31/07 PORT			
311	01/17/2008		306.975 GOLDMAN SACHS TR BRIC FUND CL A	\$17.320		\$5,316.81					
	306.975	306.975						(\$478.87)	\$0.00	(\$478.87)	-8.3%
312	12/31/2007	428.884	OPEN HARRIS ASSOC INVT TR OAKMARK EQUITY & INCOME FUND CL I	\$26.880	\$11,528.39			VALUED PER 12/31/07 PORT			
313	04/11/2008		7.057 HARRIS ASSOC INVT TR OAKMARK EQUITY & INCOME FUND CL I	\$27.360		\$193.07					
314	05/23/2008		221.000 HARRIS ASSOC INVT TR OAKMARK EQUITY & INCOME FUND CL I	\$28.100		\$6,210.10					
315	06/30/2008		71.184 HARRIS ASSOC INVT TR OAKMARK EQUITY & INCOME FUND CL I	\$27.530				CXL			
316	07/01/2008		71.184 HARRIS ASSOC INVT TR OAKMARK EQUITY & INCOME FUND CL I	\$27.520		\$1,958.98					
317	07/01/2008	71.184	CXL HARRIS ASSOC INVT TR OAKMARK EQUITY & INCOME FUND CL I					CXL			
318	08/13/2008	113.644	HARRIS ASSOC INVT TR OAKMARK EQUITY & INCOME FUND CL I	\$26.840	\$3,050.21						
319	09/17/2008		243.287 HARRIS ASSOC INVT TR OAKMARK EQUITY & INCOME FUND CL I	\$26.280		\$6,393.58					
	613.712	613.712						\$177.13	\$0.00	\$177.13	1.2%
320	12/31/2007	265.841	OPEN JENNISON NATURAL RESOURCES FUND CL A	\$59.980	\$15,945.14			VALUED PER 12/31/07 PORT			
321	04/23/2008		165.000 JENNISON NATURAL RESOURCES FUND CL A	\$65.480		\$10,804.20					
322	12/05/2008		JENNISON NATURAL RESOURCES FUND CL A			\$69.95		DIVIDEND LTG			
323	12/05/2008		JENNISON NATURAL RESOURCES FUND CL A			\$53.85		DIVIDEND			
324	12/05/2008		JENNISON NATURAL RESOURCES FUND CL A			\$18.21		DIVIDEND STG			
325	12/05/2008	3.273	JENNISON NATURAL RESOURCES FUND CL A	\$21.370	\$69.95			REINVEST			
326	12/05/2008	2.520	JENNISON NATURAL RESOURCES FUND CL A	\$21.370	\$53.85			REINVEST			
327	12/05/2008	0.852	JENNISON NATURAL RESOURCES FUND CL A	\$21.370	\$18.21			REINVEST			
328	01/07/2009	DELV	107.486 JENNISON NATURAL RESOURCES FUND CL A	\$27.460		\$2,951.57		BLOOMBERG CLOSE			

Trade Transactions ROI

Account Name: JOHN D CUSTOMER
 Account Number: ABC-12345

Case Name: CUSTOMER V SECURITIES BROKERAGE
 Date Range: 01/2008 Thru 01/2009

Date	Bot/Rec	Slid/Del	Description	Price	Debit	Credit	Accr Int	Trading Gain/Loss	Income	Net ROI	% ROI
380	06/30/2008	2.369	THORNBURG INVT TR GLOBAL OPPORTUNITIES FUND CL	\$16.790	\$39.78			REINVEST			
381	08/13/2008		73.413 THORNBURG INVT TR GLOBAL OPPORTUNITIES FUND CL	\$16.000		\$1,174.61					
382	09/17/2008		198.731 THORNBURG INVT TR GLOBAL OPPORTUNITIES FUND CL	\$13.100		\$2,603.38					
		272.144	272.144					(\$1,409.09)	\$39.78	(\$1,369.31)	-26.4%
383	12/31/2007	411.566	OPEN TRANSAMERICA INVS INC PREMIER BALANCED FUND INV SHS	\$27.140	\$11,169.89			VALUED PER 12/31/07 PORT			
384	01/02/2008		TRANSAMERICA INVS INC PREMIER BALANCED FUND INV SHS			\$156.81		DIVIDEND			
385	01/02/2008		TRANSAMERICA INVS INC PREMIER BALANCED FUND INV SHS			\$420.15		DIVIDEND LTG			
386	01/02/2008	15.368	TRANSAMERICA INVS INC PREMIER BALANCED FUND INV SHS	\$27.340	\$420.15			REINVEST			
387	01/02/2008	5.736	TRANSAMERICA INVS INC PREMIER BALANCED FUND INV SHS	\$27.340	\$156.81			REINVEST			
388	06/30/2008		298.619 TRANSAMERICA INVS INC PREMIER BALANCED FUND INV SHS	\$24.220		\$7,232.55					
389	08/13/2008	131.778	TRANSAMERICA INVS INC PREMIER BALANCED FUND INV SHS	\$24.490	\$3,227.25						
390	09/17/2008		265.829 TRANSAMERICA INVS INC PREMIER BALANCED FUND INV SHS	\$22.320		\$5,933.30					
		564.448	564.448					(\$1,808.25)	\$576.96	(\$1,231.29)	-8.2%
391	12/31/2007	165.136	OPEN TWEEDY BROWNE GLOBAL VALUE FUND	\$29.910	\$4,939.21			VALUED PER 12/31/07 PORT			
392	01/02/2008		TWEEDY BROWNE GLOBAL VALUE FUND			\$78.60		DIVIDEND			
393	01/02/2008		TWEEDY BROWNE GLOBAL VALUE FUND			\$474.93		DIVIDEND LTG			
394	01/02/2008	15.879	TWEEDY BROWNE GLOBAL VALUE FUND	\$29.910	\$474.93			REINVEST			
395	01/02/2008	2.628	TWEEDY BROWNE GLOBAL VALUE FUND	\$29.910	\$78.60			REINVEST			
396	08/13/2008		58.488 TWEEDY BROWNE GLOBAL VALUE FUND	\$24.900		\$1,456.34					
397	09/17/2008		125.155 TWEEDY BROWNE GLOBAL VALUE FUND	\$23.410		\$2,929.88					
		183.643	183.643					(\$1,106.52)	\$553.53	(\$552.99)	-10.1%

Trade Transactions ROI

Account Name: JOHN D CUSTOMER

Case Name: CUSTOMER V SECURITIES BROKERAGE

Account Number: ABC-12345

Date Range: 01/2008 Thru 01/2009

Date	Bot/Rec	Slid/Del	Description	Price	Debit	Credit	Accr Int	Trading Gain/Loss	Income	Net ROI	% ROI
C M O's											
398	12/31/2007	7000.000	OPEN BANK OF AMERICA 04-3 1A2 MULTICLASS CMO 5.5% 042534	\$100.216	\$7,015.12			VALUED PER 12/31/07 PORT			
399	01/25/2008		BANK OF AMERICA 04-3 1A2 MULTICLASS CMO 5.5% 042534			\$32.08		INTEREST			
400	02/25/2008		BANK OF AMERICA 04-3 1A2 MULTICLASS CMO 5.5% 042534			\$32.08		INTEREST			
401	03/25/2008		BANK OF AMERICA 04-3 1A2 MULTICLASS CMO 5.5% 042534			\$32.08		INTEREST			
402	04/25/2008		BANK OF AMERICA 04-3 1A2 MULTICLASS CMO 5.5% 042534			\$32.08		INTEREST			
403	04/30/2008		BANK OF AMERICA 04-3 1A2 MULTICLASS CMO 5.5% 042534			\$23.53		ACCR INT			
404	04/30/2008	7000.000	BANK OF AMERICA 04-3 1A2 MULTICLASS CMO 5.5% 042534	\$83.000		\$5,810.00	\$23.53				
		7,000.000	7,000.000					(\$1,228.65)	\$151.85	(\$1,076.80)	-15.3%
405	12/31/2007	5000.000	OPEN CITICORP MTG 05-3 1A6 SEC INC MULTICLASS CMO CALLABLE 5.5% 042535	\$85.732	\$4,286.60			VALUED PER 12/31/07 PORT			
406	01/25/2008		CITICORP MTG 05-3 1A6 SEC INC MULTICLASS CMO CALLABLE 5.5% 042535			\$22.92		INTEREST			
407	02/25/2008		CITICORP MTG 05-3 1A6 SEC INC MULTICLASS CMO CALLABLE 5.5% 042535			\$22.92		INTEREST			
408	03/25/2008		CITICORP MTG 05-3 1A6 SEC INC MULTICLASS CMO CALLABLE 5.5% 042535			\$22.92		INTEREST			
409	04/25/2008		CITICORP MTG 05-3 1A6 SEC INC MULTICLASS CMO CALLABLE 5.5% 042535			\$22.92		INTEREST			
410	04/30/2008		CITICORP MTG 05-3 1A6 SEC INC MULTICLASS CMO CALLABLE 5.5% 042535			\$16.81		ACCR INT			
411	04/30/2008	5000.000	CITICORP MTG 05-3 1A6 SEC INC MULTICLASS CMO CALLABLE 5.5% 042535	\$80.000		\$4,000.00	\$16.81				
		5,000.000	5,000.000					(\$303.41)	\$108.49	(\$194.92)	-4.5%
412	09/26/2008		COUNTRYWIDE 04-4 A8 MULTICLASS CMO 5.5% 052534		\$19.56			ACCR INT			
413	09/26/2008	20000.000	COUNTRYWIDE 04-4 A8 MULTICLASS CMO 5.5% 052534	\$75.000	\$4,591.33		(\$19.56)				
414	10/27/2008		COUNTRYWIDE 04-4 A8 MULTICLASS CMO 5.5% 052534			\$27.94		INTEREST			
415	11/25/2008		COUNTRYWIDE 04-4 A8 MULTICLASS CMO 5.5% 052534			\$27.94		INTEREST			
416	12/26/2008		COUNTRYWIDE 04-4 A8 MULTICLASS CMO 5.5% 052534			\$27.94		INTEREST			
417	01/07/2009	DELV 20000.000	COUNTRYWIDE 04-4 A8 MULTICLASS CMO 5.5% 052534	\$65.570		\$3,996.94		VALUED PER STMT TRANS			

Trade Transactions ROI

Account Name: JOHN D CUSTOMER

Case Name: CUSTOMER V SECURITIES BROKERAGE

Account Number: ABC-12345

Date Range: 01/2008 Thru 01/2009

Date	Bot/Rec	Slid/Del	Description	Price	Debit	Credit	Accr Int	Trading Gain/Loss	Income	Net ROI	% ROI
675	11/20/2008		GNMA II PASS THRU POOL 3081 7.0% 052031			\$37.20		INTEREST			
676	11/20/2008	0.000	GNMA II PASS THRU POOL 3081 7.0% 052031			\$67.89		ROP/ROC			
677	12/22/2008		GNMA II PASS THRU POOL 3081 7.0% 052031			\$36.80		INTEREST			
678	12/22/2008	0.000	GNMA II PASS THRU POOL 3081 7.0% 052031			\$64.28		ROP/ROC			
679	01/07/2009	DELV 250000.000	GNMA II PASS THRU POOL 3081 7.0% 052031	\$104.289		\$6,512.02		VALUED PER STMT TRANS			
680	01/20/2009		GNMA II PASS THRU POOL 3081 7.0% 052031			\$36.42		INTEREST			
681	01/20/2009	0.000	GNMA II PASS THRU POOL 3081 7.0% 052031			\$146.61		ROP/ROC			
		250,000.000	250,000.000					\$78.65	\$517.38	\$596.03	7.6%
682	12/31/2007	25471.000	OPEN GNMA II PASS THRU POOL 581490 5.5% 021533	\$101.122	\$9,274.31			VALUED PER 12/31/07 PORT			
683	01/15/2008		GNMA II PASS THRU POOL 581490 5.5% 021533			\$42.04		INTEREST			
684	01/15/2008	0.000	GNMA II PASS THRU POOL 581490 5.5% 021533			\$17.83		ROP/ROC			
685	02/15/2008		GNMA II PASS THRU POOL 581490 5.5% 021533			\$41.95		INTEREST			
686	02/15/2008	0.000	GNMA II PASS THRU POOL 581490 5.5% 021533			\$18.25		ROP/ROC			
687	03/17/2008		GNMA II PASS THRU POOL 581490 5.5% 021533			\$41.87		INTEREST			
688	03/17/2008	0.000	GNMA II PASS THRU POOL 581490 5.5% 021533			\$18.34		ROP/ROC			
689	04/15/2008		GNMA II PASS THRU POOL 581490 5.5% 021533			\$41.79		INTEREST			
690	04/15/2008	0.000	GNMA II PASS THRU POOL 581490 5.5% 021533			\$18.10		ROP/ROC			
691	05/15/2008		GNMA II PASS THRU POOL 581490 5.5% 021533			\$41.70		INTEREST			
692	05/15/2008	0.000	GNMA II PASS THRU POOL 581490 5.5% 021533			\$18.22		ROP/ROC			
693	06/16/2008		GNMA II PASS THRU POOL 581490 5.5% 021533			\$41.62		INTEREST			
694	06/16/2008	0.000	GNMA II PASS THRU POOL 581490 5.5% 021533			\$18.28		ROP/ROC			
695	07/15/2008		GNMA II PASS THRU POOL 581490 5.5% 021533			\$41.54		INTEREST			
696	07/15/2008	0.000	GNMA II PASS THRU POOL 581490 5.5% 021533			\$18.40		ROP/ROC			

Trade Transactions ROI

Account Name: JOHN D CUSTOMER
 Account Number: ABC-12345

Case Name: CUSTOMER V SECURITIES BROKERAGE
 Date Range: 01/2008 Thru 01/2009

Date	Bot/Rec	Slid/Del	Description	Price	Debit	Credit	Accr Int	Trading Gain/Loss	Income	Net ROI	% ROI
697 07/31/2008			GNMA II PASS THRU POOL 581490 5.5% 021533			\$41.45		ACCR INT			
698 07/31/2008		25471.000	GNMA II PASS THRU POOL 581490 5.5% 021533	\$97.392		\$8,808.11	\$41.45				
	25,471.000	25,471.000						(\$380.23)	\$333.96	(\$46.27)	-0.5%

Trade Transactions ROI

Account Name: JOHN D CUSTOMER

Case Name: CUSTOMER V SECURITIES BROKERAGE

Account Number: ABC-12345

Date Range: 01/2008 Thru 01/2009

Date	Bot/Rec	Slid/Del	Description	Price	Debit	Credit	Accr Int	Trading Gain/Loss	Income	Net ROI	% ROI
UNIT INVESTMENT TRUSTS											
699	12/31/2007	2241.940	OPEN CLAYMORE SECURITIES CHINA OPPORTUNITIES PORT SER 4 UIT	\$8.980	\$20,132.62						VALUED PER 12/31/07 PORT
700	01/03/2008		0.000 CLAYMORE SECURITIES CHINA OPPORTUNITIES PORT SER 4 UIT			\$9.64					ROP/ROC
701	01/03/2008	1.107	CLAYMORE SECURITIES CHINA OPPORTUNITIES PORT SER 4 UIT	\$8.707	\$9.64						REINVEST
702	01/28/2008		CLAYMORE SECURITIES CHINA OPPORTUNITIES PORT SER 4 UIT			\$4.04					DIVIDEND
703	01/28/2008	0.542	CLAYMORE SECURITIES CHINA OPPORTUNITIES PORT SER 4 UIT	\$7.459	\$4.04						REINVEST
704	02/26/2008		CLAYMORE SECURITIES CHINA OPPORTUNITIES PORT SER 4 UIT			\$3.81					DIVIDEND
705	02/26/2008	0.490	CLAYMORE SECURITIES CHINA OPPORTUNITIES PORT SER 4 UIT	\$7.780	\$3.81						REINVEST
706	03/25/2008		CLAYMORE SECURITIES CHINA OPPORTUNITIES PORT SER 4 UIT			\$9.43					DIVIDEND
707	03/25/2008	1.347	CLAYMORE SECURITIES CHINA OPPORTUNITIES PORT SER 4 UIT	\$7.001	\$9.43						REINVEST
708	04/25/2008		CLAYMORE SECURITIES CHINA OPPORTUNITIES PORT SER 4 UIT			\$8.53					DIVIDEND
709	04/25/2008	1.099	CLAYMORE SECURITIES CHINA OPPORTUNITIES PORT SER 4 UIT	\$7.764	\$8.53						REINVEST
710	05/16/2008		746.000 CLAYMORE SECURITIES CHINA OPPORTUNITIES PORT SER 4 UIT	\$8.269		\$6,168.75					
711	05/27/2008		CLAYMORE SECURITIES CHINA OPPORTUNITIES PORT SER 4 UIT			\$67.17					DIVIDEND
712	05/27/2008	8.444	CLAYMORE SECURITIES CHINA OPPORTUNITIES PORT SER 4 UIT	\$7.955	\$67.17						REINVEST
713	06/25/2008		CLAYMORE SECURITIES CHINA OPPORTUNITIES PORT SER 4 UIT			\$66.55					DIVIDEND
714	06/25/2008	9.096	CLAYMORE SECURITIES CHINA OPPORTUNITIES PORT SER 4 UIT	\$7.316	\$66.55						REINVEST
715	07/25/2008		CLAYMORE SECURITIES CHINA OPPORTUNITIES PORT SER 4 UIT			\$62.24					DIVIDEND
716	07/25/2008	8.781	CLAYMORE SECURITIES CHINA OPPORTUNITIES PORT SER 4 UIT	\$7.087	\$62.24						REINVEST
717	07/29/2008		1526.000 CLAYMORE SECURITIES CHINA OPPORTUNITIES PORT SER 4 UIT	\$7.003		\$10,687.04					
718	07/29/2008		0.846 CLAYMORE SECURITIES CHINA OPPORTUNITIES PORT SER 4 UIT	\$7.003		\$5.92					
		2,272.846	2,272.846								(\$3,492.68) \$221.77 (\$3,270.91) -16.1%
719	12/31/2007	0.673	OPEN CLAYMORE SECURITIES DELTA GLOBAL AGRICULTURE PORT SER 2 UIT	\$10.940	\$7.36						VALUED PER 12/31/07 PORT

Trade Transactions ROI

Account Name: JOHN D CUSTOMER

Case Name: CUSTOMER V SECURITIES BROKERAGE

Account Number: ABC-12345

Date Range: 01/2008 Thru 01/2009

Date	Bot/Rec	Slid/Del	Description	Price	Debit	Credit	Accr Int	Trading Gain/Loss	Income	Net ROI	% ROI
720	02/26/2008		0.673 CLAYMORE SECURITIES DELTA GLOBAL AGRICULTURE PORT SER 2 UIT	\$11.492		\$7.73					
721	03/13/2008	480.000	CLAYMORE SECURITIES DELTA GLOBAL AGRICULTURE PORT SER 2 UIT	\$10.407	\$4,995.12						
722	03/14/2008	489.000	CLAYMORE SECURITIES DELTA GLOBAL AGRICULTURE PORT SER 2 UIT	\$10.215	\$4,995.14						
723	04/21/2008	469.000	CLAYMORE SECURITIES DELTA GLOBAL AGRICULTURE PORT SER 2 UIT	\$11.613		\$5,446.26					
724	05/13/2008		CLAYMORE SECURITIES DELTA GLOBAL AGRICULTURE PORT SER 2 UIT			\$1.20		DIVIDEND			
725	05/13/2008	0.106	CLAYMORE SECURITIES DELTA GLOBAL AGRICULTURE PORT SER 2 UIT	\$11.354	\$1.20			REINVEST			
726	05/14/2008	500.000	CLAYMORE SECURITIES DELTA GLOBAL AGRICULTURE PORT SER 2 UIT	\$11.323		\$5,661.65					
727	08/15/2008		0.106 CLAYMORE SECURITIES DELTA GLOBAL AGRICULTURE PORT SER 2 UIT	\$9.516		\$1.01					
		969.779	969.779					\$1,117.83	\$1.20	\$1,119.03	11.2%
728	12/31/2007	1491.974	OPEN CLAYMORE SECURITIES GLOBAL EXCHANGE & ASSET MGMT PORT SER 3 UIT	\$9.980	\$14,889.90			VALUED PER 12/31/07 PORT			
729	01/28/2008		CLAYMORE SECURITIES GLOBAL EXCHANGE & ASSET MGMT PORT SER 3 UIT			\$5.52		DIVIDEND			
730	01/28/2008	0.645	CLAYMORE SECURITIES GLOBAL EXCHANGE & ASSET MGMT PORT SER 3 UIT	\$8.559	\$5.52			REINVEST			
731	02/26/2008		CLAYMORE SECURITIES GLOBAL EXCHANGE & ASSET MGMT PORT SER 3 UIT			\$11.64		DIVIDEND			
732	02/26/2008	1.360	CLAYMORE SECURITIES GLOBAL EXCHANGE & ASSET MGMT PORT SER 3 UIT	\$8.560	\$11.64			REINVEST			
733	03/25/2008		CLAYMORE SECURITIES GLOBAL EXCHANGE & ASSET MGMT PORT SER 3 UIT			\$16.88		DIVIDEND			
734	03/25/2008	2.166	CLAYMORE SECURITIES GLOBAL EXCHANGE & ASSET MGMT PORT SER 3 UIT	\$7.793	\$16.88			REINVEST			
735	04/25/2008		CLAYMORE SECURITIES GLOBAL EXCHANGE & ASSET MGMT PORT SER 3 UIT			\$23.34		DIVIDEND			
736	04/25/2008	2.853	CLAYMORE SECURITIES GLOBAL EXCHANGE & ASSET MGMT PORT SER 3 UIT	\$8.180	\$23.34			REINVEST			
737	05/15/2008		CLAYMORE SECURITIES GLOBAL EXCHANGE & ASSET MGMT PORT SER 3 UIT			\$42.27		DIVIDEND			
738	05/15/2008	0.000	CLAYMORE SECURITIES GLOBAL EXCHANGE & ASSET MGMT PORT SER 3 UIT			\$197.12		ROP/ROC			
739	05/15/2008	24.451	CLAYMORE SECURITIES GLOBAL EXCHANGE & ASSET MGMT PORT SER 3 UIT	\$8.061	\$197.12			REINVEST			
740	05/15/2008	5.243	CLAYMORE SECURITIES GLOBAL EXCHANGE & ASSET MGMT PORT SER 3 UIT	\$8.061	\$42.27			REINVEST			
741	05/16/2008	1498.000	CLAYMORE SECURITIES GLOBAL EXCHANGE & ASSET MGMT PORT SER 3 UIT	\$8.483		\$12,707.98					

Trade Transactions ROI

Account Name: JOHN D CUSTOMER

Case Name: CUSTOMER V SECURITIES BROKERAGE

Account Number: ABC-12345

Date Range: 01/2008 Thru 01/2009

Date	Bot/Rec	Slid/Del	Description	Price	Debit	Credit	Accr Int	Trading Gain/Loss	Income	Net ROI	% ROI
742	05/16/2008		0.998 CLAYMORE SECURITIES GLOBAL EXCHANGE & ASSET MGMT PORT SER 3 UIT	\$8.483		\$8.47					
743	06/25/2008		CLAYMORE SECURITIES GLOBAL EXCHANGE & ASSET MGMT PORT SER 3 UIT			\$0.61		DIVIDEND			
744	06/25/2008	0.081	CLAYMORE SECURITIES GLOBAL EXCHANGE & ASSET MGMT PORT SER 3 UIT	\$7.546	\$0.61			REINVEST			
745	08/17/2008		CLAYMORE SECURITIES GLOBAL EXCHANGE & ASSET MGMT PORT SER 3 UIT			\$0.31		DIVIDEND			
746	08/17/2008	0.047	CLAYMORE SECURITIES GLOBAL EXCHANGE & ASSET MGMT PORT SER 3 UIT	\$6.591	\$0.31			REINVEST			
747	08/18/2008		29.000 CLAYMORE SECURITIES GLOBAL EXCHANGE & ASSET MGMT PORT SER 3 UIT	\$6.621		\$192.01					
748	08/18/2008		0.775 CLAYMORE SECURITIES GLOBAL EXCHANGE & ASSET MGMT PORT SER 3 UIT	\$6.621		\$5.13					
749	09/15/2008		0.000 CLAYMORE SECURITIES GLOBAL EXCHANGE & ASSET MGMT PORT SER 3 UIT			\$0.01		ROP/ROC			
750	09/15/2008	0.002	CLAYMORE SECURITIES GLOBAL EXCHANGE & ASSET MGMT PORT SER 3 UIT	\$6.038	\$0.01			REINVEST			
751	09/16/2008		0.047 CLAYMORE SECURITIES GLOBAL EXCHANGE & ASSET MGMT PORT SER 3 UIT	\$5.806		\$0.27					
752	09/29/2008		0.002 CLAYMORE SECURITIES GLOBAL EXCHANGE & ASSET MGMT PORT SER 3 UIT	\$5.335		\$0.01					
		1,528.822	1,528.822					(\$2,076.60)	\$100.57	(\$1,976.03)	-13.0%
753	03/14/2008	539.000	CLAYMORE SECURITIES UTILITIES PORT SER 8 UIT	\$9.266	\$4,994.16						
754	04/25/2008		CLAYMORE SECURITIES UTILITIES PORT SER 8 UIT			\$14.12		DIVIDEND			
755	04/25/2008	1.493	CLAYMORE SECURITIES UTILITIES PORT SER 8 UIT	\$9.459	\$14.12			REINVEST			
756	05/27/2008		CLAYMORE SECURITIES UTILITIES PORT SER 8 UIT			\$8.76		DIVIDEND			
757	05/27/2008	0.896	CLAYMORE SECURITIES UTILITIES PORT SER 8 UIT	\$9.775	\$8.76			REINVEST			
758	06/25/2008		CLAYMORE SECURITIES UTILITIES PORT SER 8 UIT			\$14.62		DIVIDEND			
759	06/25/2008	1.510	CLAYMORE SECURITIES UTILITIES PORT SER 8 UIT	\$9.681	\$14.62			REINVEST			
760	07/25/2008		CLAYMORE SECURITIES UTILITIES PORT SER 8 UIT			\$14.22		DIVIDEND			
761	07/25/2008	1.531	CLAYMORE SECURITIES UTILITIES PORT SER 8 UIT	\$9.286	\$14.22			REINVEST			
762	08/17/2008		CLAYMORE SECURITIES UTILITIES PORT SER 8 UIT			\$8.55		DIVIDEND			
763	08/17/2008	0.964	CLAYMORE SECURITIES UTILITIES PORT SER 8 UIT	\$8.867	\$8.55			REINVEST			

Trade Transactions ROI

Account Name: JOHN D CUSTOMER

Case Name: CUSTOMER V SECURITIES BROKERAGE

Account Number: ABC-12345

Date Range: 01/2008 Thru 01/2009

Date	Bot/Rec	Slid/Del	Description	Price	Debit	Credit	Accr Int	Trading Gain/Loss	Income	Net ROI	% ROI
764	08/18/2008		544.000 CLAYMORE SECURITIES UTILITIES PORT SER 8 UIT	\$8.786		\$4,779.58					
765	08/18/2008		0.430 CLAYMORE SECURITIES UTILITIES PORT SER 8 UIT	\$8.786		\$3.78					
766	08/27/2008		0.964 CLAYMORE SECURITIES UTILITIES PORT SER 8 UIT	\$9.046		\$8.72					
			545.394					(\$262.35)	\$60.27	(\$202.08)	-4.0%
767	03/14/2008	454.000	FIRST TRUST GLOBAL COMMODITIES PORT SER 5	\$10.990	\$4,989.51						
768	04/25/2008		FIRST TRUST GLOBAL COMMODITIES PORT SER 5			\$5.90		DIVIDEND			
769	04/25/2008	0.511	FIRST TRUST GLOBAL COMMODITIES PORT SER 5	\$11.547	\$5.90			REINVEST			
770	05/20/2008		254.000 FIRST TRUST GLOBAL COMMODITIES PORT SER 5	\$12.555		\$3,188.97					
771	06/25/2008		FIRST TRUST GLOBAL COMMODITIES PORT SER 5			\$11.89		DIVIDEND			
772	06/25/2008	1.038	FIRST TRUST GLOBAL COMMODITIES PORT SER 5	\$11.453	\$11.89			REINVEST			
773	07/25/2008		FIRST TRUST GLOBAL COMMODITIES PORT SER 5			\$7.52		DIVIDEND			
774	07/25/2008	0.737	FIRST TRUST GLOBAL COMMODITIES PORT SER 5	\$10.207	\$7.52			REINVEST			
775	09/08/2008		FIRST TRUST GLOBAL COMMODITIES PORT SER 5			\$2.97		DIVIDEND			
776	09/08/2008	0.348	FIRST TRUST GLOBAL COMMODITIES PORT SER 5	\$8.525	\$2.97			REINVEST			
777	09/09/2008		202.000 FIRST TRUST GLOBAL COMMODITIES PORT SER 5	\$7.730		\$1,561.36					
778	09/09/2008		0.286 FIRST TRUST GLOBAL COMMODITIES PORT SER 5	\$7.730		\$2.21					
779	09/29/2008		0.348 FIRST TRUST GLOBAL COMMODITIES PORT SER 5	\$7.077		\$2.46					
			456.634					(\$262.79)	\$28.28	(\$234.51)	-4.7%
780	12/31/2007	1222.400	OPEN FIRST TRUST GLOBAL SHIPPING SEL 3 UIT	\$8.290	\$10,133.69			VALUED PER 12/31/07 PORT			
781	01/23/2008		1222.000 FIRST TRUST GLOBAL SHIPPING SEL 3 UIT	\$6.639		\$8,112.98					
782	01/23/2008		0.400 FIRST TRUST GLOBAL SHIPPING SEL 3 UIT	\$6.639		\$2.66					
			1,222.400					(\$2,018.05)	\$0.00	(\$2,018.05)	-19.9%

Trade Transactions ROI

Account Name: JOHN D CUSTOMER
 Account Number: ABC-12345

Case Name: CUSTOMER V SECURITIES BROKERAGE
 Date Range: 01/2008 Thru 01/2009

Date	Bot/Rec	Slid/Del	Description	Price	Debit	Credit	Accr Int	Trading Gain/Loss	Income	Net ROI	% ROI
783	04/29/2008	1120.000	FIRST TRUST PRECIOUS METALS SERIES 10	\$8.925	\$9,996.00						
784	05/20/2008	620.000	FIRST TRUST PRECIOUS METALS SERIES 10	\$9.850		\$6,107.31					
785	05/27/2008		FIRST TRUST PRECIOUS METALS SERIES 10			\$11.20		DIVIDEND			
786	05/27/2008	1.146	FIRST TRUST PRECIOUS METALS SERIES 10	\$9.772	\$11.20			REINVEST			
787	08/17/2008		FIRST TRUST PRECIOUS METALS SERIES 10			\$1.30		DIVIDEND			
788	08/17/2008	0.000	FIRST TRUST PRECIOUS METALS SERIES 10			\$12.23		ROP/ROC			
789	08/17/2008	1.746	FIRST TRUST PRECIOUS METALS SERIES 10	\$7.004	\$12.23			REINVEST			
790	08/17/2008	0.186	FIRST TRUST PRECIOUS METALS SERIES 10	\$7.004	\$1.30			REINVEST			
791	08/18/2008	501.000	FIRST TRUST PRECIOUS METALS SERIES 10	\$6.859		\$3,436.46					
792	08/18/2008	0.146	FIRST TRUST PRECIOUS METALS SERIES 10	\$6.859		\$1.00					
793	09/09/2008	1.000	FIRST TRUST PRECIOUS METALS SERIES 10	\$5.451		\$5.45					
794	09/09/2008	0.932	FIRST TRUST PRECIOUS METALS SERIES 10	\$5.451		\$5.08					
		<u>1,123.078</u>	<u>1,123.078</u>								
								(\$453.20)	\$12.50	(\$440.70)	-4.4%

Note: U = Unsolicited

Open / Close Analysis (by Open)

Account Name: JOHN D CUSTOMER

Case Name: CUSTOMER V SECURITIES BROKERAGE

Account Number: ABC-12345

Date Range: 01/2008 Thru 01/2009

Investment	Quantity	Date	Open Amount	Date	Close Amount	Trading Result	Days Held	Comments
1 BANK OF AMERICA 04-3 1A2 MULTICLASS CMO 5.5% 042534	7,000.0000	12/31/07	(\$7,015.12)	04/30/08	\$5,786.47	(\$1,228.65)	121	VALUED PER 12/31/07 PORT;
2 CALAMOS GROWTH FUND CL A	18.9660	12/31/07	(\$1,112.35)	08/13/08	\$958.90	(\$153.45)	226	VALUED PER 12/31/07 PORT;
3 CALAMOS GROWTH FUND CL A	32.6110	12/31/07	(\$1,912.63)	09/17/08	\$1,383.36	(\$529.27)	261	VALUED PER 12/31/07 PORT;
4 CITICORP MTG 05-3 1A6 SEC INC MULTICLASS CMO CALLABLE 5.5% 042535	5,000.0000	12/31/07	(\$4,286.60)	04/30/08	\$3,983.19	(\$303.41)	121	VALUED PER 12/31/07 PORT;
5 CLAYMORE SECURITIES CHINA OPPORTUNITIES PORT SER 4 UIT	746.0000	12/31/07	(\$6,697.18)	05/16/08	\$6,171.91	(\$525.27)	137	VALUED PER 12/31/07 PORT; ; Incl. ROP &/or CIL of \$3.16
6 CLAYMORE SECURITIES CHINA OPPORTUNITIES PORT SER 4 UIT	1,526.0000	12/31/07	(\$13,659.28)	07/29/08	\$10,693.51	(\$2,965.77)	211	VALUED PER 12/31/07 PORT; ; Incl. ROP &/or CIL of \$6.47
7 CLAYMORE SECURITIES CHINA OPPORTUNITIES PORT SER 4 UIT	0.8460	12/31/07	(\$7.57)	07/29/08	\$5.93	(\$1.64)	211	VALUED PER 12/31/07 PORT; ; Incl. ROP &/or CIL of \$0.01
8 CLAYMORE SECURITIES DELTA GLOBAL AGRICULTURE PORT SER 2 UIT	0.6730	12/31/07	(\$7.36)	02/26/08	\$7.73	\$0.37	57	VALUED PER 12/31/07 PORT;
9 CLAYMORE SECURITIES GLOBAL EXCHANGE & ASSET MGMT PORT SER 3 UIT	1,498.0000	12/31/07	(\$14,881.76)	05/16/08	\$12,901.13	(\$1,980.63)	137	VALUED PER 12/31/07 PORT; ; Incl. ROP &/or CIL of \$193.15
10 CLAYMORE SECURITIES GLOBAL EXCHANGE & ASSET MGMT PORT SER 3 UIT	0.9980	12/31/07	(\$9.92)	05/16/08	\$8.60	(\$1.32)	137	VALUED PER 12/31/07 PORT; ; Incl. ROP &/or CIL of \$0.13
11 CLAYMORE SECURITIES GLOBAL EXCHANGE & ASSET MGMT PORT SER 3 UIT	29.0000	12/31/07	(\$287.76)	08/18/08	\$195.75	(\$92.01)	231	VALUED PER 12/31/07 PORT; ; Incl. ROP &/or CIL of \$3.74
12 CLAYMORE SECURITIES GLOBAL EXCHANGE & ASSET MGMT PORT SER 3 UIT	0.7750	12/31/07	(\$7.69)	08/18/08	\$5.23	(\$2.46)	231	VALUED PER 12/31/07 PORT; ; Incl. ROP &/or CIL of \$0.1
13 CLAYMORE SECURITIES GLOBAL EXCHANGE & ASSET MGMT PORT SER 3 UIT	0.0470	12/31/07	(\$0.46)	09/16/08	\$0.29	(\$0.17)	260	VALUED PER 12/31/07 PORT; ; Incl. ROP &/or CIL of \$0.02
14 CLAYMORE SECURITIES GLOBAL EXCHANGE & ASSET MGMT PORT SER 3 UIT	0.0020	12/31/07	(\$0.02)	09/29/08	\$0.00	(\$0.02)	273	VALUED PER 12/31/07 PORT; ; Incl. ROP &/or CIL of \$-0.01
15 DEERFIELD CAPITAL CORP	200.0000	12/31/07	(\$1,600.00)	01/23/08	\$1,385.38	(\$214.62)	23	VALUED PER 12/31/07 PORT; COMM CALC (Bonds @ 2.5%)
16 DEERFIELD CAPITAL CORP	100.0000	12/31/07	(\$800.00)	01/23/08	\$691.73	(\$108.27)	23	VALUED PER 12/31/07 PORT; COMM CALC (Bonds @ 2.5%)

Open / Close Analysis (by Open)

Account Name: JOHN D CUSTOMER

Case Name: CUSTOMER V SECURITIES BROKERAGE

Account Number: ABC-12345

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Investment	Quantity	Date	Open Amount	Date	Close Amount	Trading Result	Days Held	Comments
17 FHLMC 2873 BB REMIC MULTICLASS CMO 5.5% 041534	4,000.0000	12/31/07	(\$3,801.24)	04/25/08	\$3,882.28	\$81.04	116	VALUED PER 12/31/07 PORT;
18 FHLMC 2881 BB RETAIL LOTTERY BOND REMIC MULTICLASS CMO 5.5% 011534	5,000.0000	12/31/07	(\$4,780.60)	04/18/08	\$4,770.69	(\$9.91)	109	VALUED PER 12/31/07 PORT;
19 FHLMC 2928 EE RETAIL LOTTERY REMIC MULTICLASS CMO 5.0% 041534	5,000.0000	12/31/07	(\$4,513.20)	04/25/08	\$4,554.86	\$41.66	116	VALUED PER 12/31/07 PORT;
20 FHLMC 2996 HH RETAIL LOTTERY REMIC MULTICLASS CMO 5.0% 101533	1,000.0000	12/31/07	(\$947.42)	04/15/08	\$1,000.00	\$52.58	106	VALUED PER 12/31/07 PORT; REDEEM
21 FHLMC 2996 HH RETAIL LOTTERY REMIC MULTICLASS CMO 5.0% 101533	3,000.0000	12/31/07	(\$2,842.26)	04/25/08	\$2,822.92	(\$19.34)	116	VALUED PER 12/31/07 PORT;
22 FHLMC 3029 TA REMIC MULTICLASS CMO 5.0% 091535	9,000.0000	12/31/07	(\$6,242.00)	04/18/08	\$5,995.40	(\$246.60)	109	VALUED PER 12/31/07 PORT; ; Incl. ROP &/or CIL of \$31.19
23 FHLMC 3075 CB REMIC MULTICLASS CMO 5.5% 051534	10,000.0000	12/31/07	(\$4,920.85)	07/31/08	\$4,494.21	(\$426.64)	213	VALUED PER 12/31/07 PORT; ; Incl. ROP &/or CIL of \$183.27
24 FIRST TRUST GLOBAL SHIPPING SEL 3 UIT	1,222.0000	12/31/07	(\$10,130.37)	01/23/08	\$8,112.98	(\$2,017.39)	23	VALUED PER 12/31/07 PORT;
25 FIRST TRUST GLOBAL SHIPPING SEL 3 UIT	0.4000	12/31/07	(\$3.32)	01/23/08	\$2.66	(\$0.66)	23	VALUED PER 12/31/07 PORT;
26 FNMA 92-31M PAC REMIC MULTICLASS CMO 7.75% 032522	21,000.0000	12/31/07	(\$1,510.01)	01/07/09	\$1,535.51	\$25.50	373	VALUED PER 12/31/07 PORT; VALUED PER STMT TRANS; Incl. ROP &/or CIL of \$243.65
27 FNMA 93-77L PAC 1 MULTICLASS CMO 7.0% 062523	7,000.0000	12/31/07	(\$3,761.43)	01/07/09	\$3,815.55	\$54.12	373	VALUED PER 12/31/07 PORT; VALUED PER STMT TRANS; Incl. ROP &/or CIL of \$507.55
28 FNMA G 92-35E PAC MULTICLASS CMO 7.5% 072522	34,000.0000	12/31/07	(\$4,146.62)	01/07/09	\$4,269.78	\$123.16	373	VALUED PER 12/31/07 PORT; VALUED PER STMT TRANS; Incl. ROP &/or CIL of \$663.11
29 FNMA PASS THRU POOL 545882 7.0% 050132	199,000.0000	12/31/07	(\$13,612.97)	01/07/09	\$13,687.13	\$74.16	373	VALUED PER 12/31/07 PORT; VALUED PER STMT TRANS; Incl. ROP &/or CIL of \$2040.57
30 GNMA 06-56 YD REMIC MULTICLASS CMO 5.5% 042036	7,000.0000	12/31/07	(\$6,081.06)	08/21/08	\$5,792.88	(\$288.18)	234	VALUED PER 12/31/07 PORT; ; Incl. ROP &/or CIL of \$952.91
31 GNMA II PASS THRU POOL 3081 7.0% 052031	250,000.0000	12/31/07	(\$7,842.10)	01/07/09	\$7,920.75	\$78.65	373	VALUED PER 12/31/07 PORT; VALUED PER STMT TRANS; Incl. ROP &/or CIL of \$1408.73
32 GNMA II PASS THRU POOL 581490 5.5% 021533	25,471.0000	12/31/07	(\$9,274.31)	07/31/08	\$8,894.08	(\$380.23)	213	VALUED PER 12/31/07 PORT; ; Incl. ROP &/or CIL of \$127.42
33 GOLDMAN SACHS TR BRIC FUND CL A	306.9750	12/31/07	(\$5,795.68)	01/17/08	\$5,316.81	(\$478.87)	17	VALUED PER 12/31/07 PORT;

Open / Close Analysis (by Open)

Account Name: JOHN D CUSTOMER

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Investment	Quantity	Date	Open Amount	Date	Close Amount	Trading Result	Days Held	Comments
34 HARRIS ASSOC INVT TR OAKMARK EQUITY & INCOME FUND CL I	7.0570	12/31/07	(\$189.69)	04/11/08	\$193.07	\$3.38	102	VALUED PER 12/31/07 PORT;
35 HARRIS ASSOC INVT TR OAKMARK EQUITY & INCOME FUND CL I	221.0000	12/31/07	(\$5,940.47)	05/23/08	\$6,210.10	\$269.63	144	VALUED PER 12/31/07 PORT;
36 HARRIS ASSOC INVT TR OAKMARK EQUITY & INCOME FUND CL I	71.1840	12/31/07	(\$1,913.42)	07/01/08	\$1,958.98	\$45.56	183	VALUED PER 12/31/07 PORT;
37 HARRIS ASSOC INVT TR OAKMARK EQUITY & INCOME FUND CL I	129.6430	12/31/07	(\$3,484.80)	09/17/08	\$3,407.02	(\$77.78)	261	VALUED PER 12/31/07 PORT;
38 ISHARES MSCI BRAZIL INDEX	100.0000	12/31/07	(\$8,070.00)	01/17/08	\$6,863.89	(\$1,206.11)	17	VALUED PER 12/31/07 PORT; COMM CALC (Bonds @ 2.5%)
39 ISHARES MSCI SINGAPORE INDEX	200.0000	12/31/07	(\$2,758.00)	01/17/08	\$2,318.52	(\$439.48)	17	VALUED PER 12/31/07 PORT; COMM CALC (Bonds @ 2.5%)
40 JENNISON NATURAL RESOURCES FUND CL A	165.0000	12/31/07	(\$9,896.70)	04/23/08	\$10,804.20	\$907.50	114	VALUED PER 12/31/07 PORT;
41 JENNISON NATURAL RESOURCES FUND CL A	107.4860	12/31/07	(\$6,190.45)	01/07/09	\$2,951.57	(\$3,238.88)	373	VALUED PER 12/31/07 PORT; BLOOMBERG CLOSE
42 LEGG MASON PARTNERS AGGRESSIVE GROWTH FUND CL I	7.5690	12/31/07	(\$929.24)	08/13/08	\$826.22	(\$103.02)	226	VALUED PER 12/31/07 PORT;
43 LEGG MASON PARTNERS AGGRESSIVE GROWTH FUND CL I	15.1770	12/31/07	(\$1,863.28)	09/17/08	\$1,403.87	(\$459.41)	261	VALUED PER 12/31/07 PORT;
44 LEHMAN BROS HLDG FLOATING RATE PERPETUAL CALLABLE	200.0000	12/31/07	(\$3,728.00)	01/15/08	\$4,163.93	\$435.93	15	VALUED PER 12/31/07 PORT; COMM CALC (Bonds @ 2.5%)
45 NUVEEN INVT TR NWQ MULTI-CAP VALUE FUND CL R	85.1790	12/31/07	(\$1,862.01)	06/30/08	\$1,575.81	(\$286.20)	182	VALUED PER 12/31/07 PORT;
46 NUVEEN INVT TR NWQ MULTI-CAP VALUE FUND CL R	39.1810	12/31/07	(\$856.49)	06/30/08	\$724.85	(\$131.64)	182	VALUED PER 12/31/07 PORT;
47 OPPENHEIMER GLOBAL FUND CL Y	50.4350	12/31/07	(\$3,673.18)	08/13/08	\$3,180.40	(\$492.78)	226	VALUED PER 12/31/07 PORT;
48 OPPENHEIMER GLOBAL FUND CL Y	99.2170	12/31/07	(\$7,225.97)	09/17/08	\$5,476.78	(\$1,749.19)	261	VALUED PER 12/31/07 PORT;
49 THIRD AVENUE TR VALUE TR FUND	12.9860	12/31/07	(\$789.03)	08/13/08	\$636.04	(\$152.99)	226	VALUED PER 12/31/07 PORT;
50 THIRD AVENUE TR VALUE TR FUND	33.2720	12/31/07	(\$2,021.60)	09/17/08	\$1,459.64	(\$561.96)	261	VALUED PER 12/31/07 PORT;
51 THORNBURG INVT TR GLOBAL OPPORTUNITIES FUND CL I	73.4130	12/31/07	(\$1,399.26)	08/13/08	\$1,174.61	(\$224.65)	226	VALUED PER 12/31/07 PORT;
52 THORNBURG INVT TR GLOBAL OPPORTUNITIES FUND CL I	198.7310	12/31/07	(\$3,787.82)	09/17/08	\$2,603.38	(\$1,184.44)	261	VALUED PER 12/31/07 PORT;
53 TRANSAMERICA INVS INC PREMIER BALANCED FUND INV SHS	298.6190	12/31/07	(\$8,107.41)	06/30/08	\$7,232.55	(\$874.86)	182	VALUED PER 12/31/07 PORT;

Open / Close Analysis (by Open)

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Investment	Quantity	Date	Open Amount	Date	Close Amount	Trading Result	Days Held	Comments
54 TRANSAMERICA INVS INC PREMIER BALANCED FUND INV SHS	134.0510	12/31/07	(\$3,639.44)	09/17/08	\$2,992.02	(\$647.42)	261	VALUED PER 12/31/07 PORT;
55 TWEEDY BROWNE GLOBAL VALUE FUND	58.4880	12/31/07	(\$1,749.37)	08/13/08	\$1,456.34	(\$293.03)	226	VALUED PER 12/31/07 PORT;
56 TWEEDY BROWNE GLOBAL VALUE FUND	125.1550	12/31/07	(\$3,743.37)	09/17/08	\$2,929.88	(\$813.49)	261	VALUED PER 12/31/07 PORT;
57 CHICAGO BRIDGE AND IRON - NEW YORK SHARES	75.0000	01/28/08	(\$3,575.01)	03/11/08	\$3,035.19	(\$539.82)	43	COMM CALC (Bonds @ 2.5%); COMM CALC (Bonds @ 2.5%)
58 CHINA MOBILE LTD SPONS ADR	50.0000	01/28/08	(\$3,937.50)	04/16/08	\$4,109.97	\$172.47	79	COMM CALC (Bonds @ 2.5%); COMM CALC (Bonds @ 2.5%)
59 HEXCEL CORP NEW	100.0000	01/28/08	(\$2,184.99)	04/21/08	\$1,919.20	(\$265.79)	84	COMM CALC (Bonds @ 2.5%); COMM CALC (Bonds @ 2.5%)
60 ISHARES MSCI SINGAPORE INDEX	100.0000	01/28/08	(\$1,331.72)	06/26/08	\$1,142.21	(\$189.51)	150	COMM CALC (Bonds @ 2.5%); COMM CALC (Bonds @ 2.5%)
61 MECHEL OAO SPONS ADR	50.0000	01/28/08	(\$4,602.50)	02/04/08	\$4,786.33	\$183.83	7	COMM CALC (Bonds @ 2.5%); COMM CALC (Bonds @ 2.5%)
62 CLAYMORE CHINA SMALL ETF CAP INDEX	150.0000	01/31/08	(\$3,882.50)	06/10/08	\$3,249.98	(\$632.52)	131	COMM CALC (Bonds @ 2.5%); COMM CALC (Bonds @ 2.5%)
63 MECHEL OAO SPONS ADR	50.0000	01/31/08	(\$4,590.00)	02/04/08	\$4,786.33	\$196.33	4	COMM CALC (Bonds @ 2.5%); COMM CALC (Bonds @ 2.5%)
64 SHIP FINANCE INTL LTD	100.0000	01/31/08	(\$2,711.00)	04/18/08	\$2,829.64	\$118.64	78	COMM CALC (Bonds @ 2.5%); COMM CALC (Bonds @ 2.5%)
65 ASSURED GUARANTY LTD	50.0000	03/04/08	(\$1,376.50)	03/31/08	\$1,077.48	(\$299.02)	27	COMM CALC (Bonds @ 2.5%); COMM CALC (Bonds @ 2.5%)
66 CLAYMORE SECURITIES DELTA GLOBAL AGRICULTURE PORT SER 2 UIT	469.0000	03/13/08	(\$4,880.65)	04/21/08	\$5,446.26	\$565.61	39	;
67 CLAYMORE SECURITIES DELTA GLOBAL AGRICULTURE PORT SER 2 UIT	11.0023	03/13/08	(\$114.50)	05/14/08	\$124.58	\$10.08	62	;
68 CLAYMORE SECURITIES DELTA GLOBAL AGRICULTURE PORT SER 2 UIT	488.9977	03/14/08	(\$4,995.23)	05/14/08	\$5,537.07	\$541.84	61	;
69 CLAYMORE SECURITIES DELTA GLOBAL AGRICULTURE PORT SER 2 UIT	0.1060	03/14/08	(\$1.08)	08/15/08	\$1.01	(\$0.07)	154	;
70 CLAYMORE SECURITIES UTILITIES PORT SER 8 UIT	544.0000	03/14/08	(\$5,041.51)	08/18/08	\$4,779.58	(\$261.93)	157	;
71 CLAYMORE SECURITIES UTILITIES PORT SER 8 UIT	0.4300	03/14/08	(\$3.99)	08/18/08	\$3.78	(\$0.21)	157	;
72 CLAYMORE SECURITIES UTILITIES PORT SER 8 UIT	0.9640	03/14/08	(\$8.93)	08/27/08	\$8.72	(\$0.21)	166	;

Open / Close Analysis (by Open)

Account Name: JOHN D CUSTOMER

Case Name: CUSTOMER V SECURITIES BROKERAGE

Account Number: ABC-12345

Date Range: 01/2008 Thru 01/2009

Investment	Quantity	Date	Open Amount	Date	Close Amount	Trading Result	Days Held	Comments
187 FUEL SYSTEMS SOLUTIONS INC	50.0000	09/16/08	(\$2,539.75)	09/25/08	\$2,568.98	\$29.23	9	COMM CALC (Bonds @ 2.5%); COMM CALC (Bonds @ 2.5%)
188 NUCOR CORP	100.0000	09/16/08	(\$4,723.34)	10/29/08	\$3,609.14	(\$1,114.20)	43	COMM CALC (Bonds @ 2.5%); COMM CALC (Bonds @ 2.5%)
189 WACHOVIA CORP 8.0% NON-CUM PERP PFD 121517	100.0000	09/16/08	(\$1,184.00)	09/29/08	\$640.45	(\$543.55)	13	COMM CALC (Bonds @ 2.5%); COMM CALC (Bonds @ 2.5%)
190 FHLMC 1505Q SCH MULTICLASS CMO 7.0% 051523	50,000.0000	09/17/08	(\$5,172.11)	01/07/09	\$5,371.85	\$199.74	112	; VALUED PER STMT TRANS; Incl. ROP &/or CIL of \$183.6
191 FNMA 92-131KB PAC REMIC MULTICLASS CMO 8.0% 082522	38,000.0000	09/17/08	(\$4,971.14)	01/07/09	\$5,099.54	\$128.40	112	; VALUED PER STMT TRANS; Incl. ROP &/or CIL of \$292.55
192 ALLIANCEBERSTEIN INC FUND	150.0000	09/18/08	(\$1,214.84)	01/07/09	\$1,078.50	(\$136.34)	111	COMM CALC (Bonds @ 2.5%); BLOOMBERG CLOSE
193 APPLE INC	10.0000	09/18/08	(\$1,389.20)	09/22/08	\$1,326.69	(\$62.51)	4	COMM CALC (Bonds @ 2.5%); COMM CALC (Bonds @ 2.5%)
194 BARCLAYS BANK PLC 8.125% NON-CUM PERP PFD 061513	100.0000	09/18/08	(\$1,944.00)	01/07/09	\$1,899.00	(\$45.00)	111	COMM CALC (Bonds @ 2.5%); BLOOMBERG CLOSE
195 BUCKEYE PARTNERS LP	150.0000	09/18/08	(\$6,121.50)	10/07/08	\$4,389.71	(\$1,731.80)	19	COMM CALC (Bonds @ 2.5%); COMM CALC (Bonds @ 2.5%)
196 CITIGROUP 8.5% SER F PFD NON CUM PERP PFD CALL 061513	100.0000	09/18/08	(\$1,631.00)	01/07/09	\$1,812.00	\$181.00	111	COMM CALC (Bonds @ 2.5%); BLOOMBERG CLOSE
197 CONSTELLATION ENERGY GRP 8.625 JR SUB DEB 061568	100.0000	09/18/08	(\$2,185.00)	10/31/08	\$2,215.15	\$30.15	43	COMM CALC (Bonds @ 2.5%); COMM CALC (Bonds @ 2.5%)
198 CREDIT SUISSE 7.9% NON-COM PERP CAP NOTE CALL 032813	100.0000	09/18/08	(\$2,005.00)	01/07/09	\$2,243.00	\$238.00	111	COMM CALC (Bonds @ 2.5%); BLOOMBERG CLOSE
199 FHLMC 2036 PD MULTICLASS CMO REMIC 6.5% 031528	20,000.0000	09/18/08	(\$13,379.03)	01/07/09	\$13,520.07	\$141.04	111	; VALUED PER STMT TRANS; Incl. ROP &/or CIL of \$498.07
200 MERRILL LYNCH 8.625% NON-CUM PERP PFD CALL 052813	100.0000	09/18/08	(\$1,755.00)	01/09/09	\$2,155.00	\$400.00	113	COMM CALC (Bonds @ 2.5%); BLOOMBERG CLOSE
201 PLAINS ALL AMERICAN PIPELINE LP	2.4085	09/18/08	(\$95.02)	11/21/08	\$58.62	(\$36.40)	64	COMM CALC (Bonds @ 2.5%); COMM CALC (Bonds @ 2.5%)
202 PLAINS ALL AMERICAN PIPELINE LP	100.1830	09/18/08	(\$3,952.25)	11/21/08	\$2,437.65	(\$1,514.60)	64	COMM CALC (Bonds @ 2.5%); COMM CALC (Bonds @ 2.5%)
203 SEMPRA ENERGY	100.0000	09/18/08	(\$5,256.00)	01/07/09	\$4,300.00	(\$956.00)	111	COMM CALC (Bonds @ 2.5%); BLOOMBERG CLOSE
204 SWIFT ENERGY CO	100.0000	09/18/08	(\$4,094.90)	01/07/09	\$1,986.00	(\$2,108.90)	111	COMM CALC (Bonds @ 2.5%); BLOOMBERG CLOSE
205 CONSTELLATION ENERGY GROUP INC	150.0000	09/22/08	(\$4,262.29)	11/19/08	\$3,495.92	(\$766.37)	58	COMM CALC (Bonds @ 2.5%); COMM CALC (Bonds @ 2.5%)

Open / Close Analysis (by Open)

Account Name: JOHN D CUSTOMER

Case Name: CUSTOMER V SECURITIES BROKERAGE

Account Number: ABC-12345

Date Range: 01/2008 Thru 01/2009

Investment	Quantity	Date	Open Amount	Date	Close Amount	Trading Result	Days Held	Comments
206 GOLDMAN SACHS GROUP INC	25.0000	09/22/08	(\$3,225.03)	11/19/08	\$1,412.87	(\$1,812.17)	58	COMM CALC (Bonds @ 2.5%); COMM CALC (Bonds @ 2.5%)
207 MORGAN STANLEY & CO	150.0000	09/22/08	(\$4,339.32)	11/17/08	\$1,698.75	(\$2,640.57)	56	COMM CALC (Bonds @ 2.5%); COMM CALC (Bonds @ 2.5%)
208 GNMA 07-2 BA REMIC MULTICLASS CMO 5.5% 062035	10,000.0000	09/23/08	(\$5,974.54)	01/07/09	\$6,103.83	\$129.29	106	; VALUED PER STMT TRANS; Incl. ROP &/or CIL of \$185.11
209 EXXON MOBIL CORP	25.0000	09/24/08	(\$2,014.67)	11/24/08	\$1,939.46	(\$75.20)	61	COMM CALC (Bonds @ 2.5%); COMM CALC (Bonds @ 2.5%)
210 EXXON MOBIL CORP	50.0000	09/24/08	(\$4,029.33)	01/07/09	\$3,912.50	(\$116.83)	105	COMM CALC (Bonds @ 2.5%); BLOOMBERG CLOSE
211 FUEL SYSTEMS SOLUTIONS INC	50.0000	09/24/08	(\$2,534.25)	09/25/08	\$2,568.98	\$34.73	1	COMM CALC (Bonds @ 2.5%); COMM CALC (Bonds @ 2.5%)
212 FUEL SYSTEMS SOLUTIONS INC	50.0000	09/24/08	(\$2,534.25)	10/24/08	\$1,272.99	(\$1,261.26)	30	COMM CALC (Bonds @ 2.5%); COMM CALC (Bonds @ 2.5%)
213 BARCLAYS BANK PLC 8.125% NON-CUM PERP PFD 061513	100.0000	09/25/08	(\$2,180.50)	01/07/09	\$1,899.00	(\$281.50)	104	COMM CALC (Bonds @ 2.5%); BLOOMBERG CLOSE
214 CITICORP CAP XVI 6.45% 123166	150.0000	09/25/08	(\$2,258.27)	10/21/08	\$2,280.33	\$22.06	26	COMM CALC (Bonds @ 2.5%); COMM CALC (Bonds @ 2.5%)
215 DB CONT CAP TR 6.55% NON-CUM PERP PFD CALL 052317	300.0000	09/25/08	(\$4,681.50)	01/07/09	\$5,013.00	\$331.50	104	; BLOOMBERG CLOSE
216 MORGAN STANLEY & CO	100.0000	09/25/08	(\$2,777.02)	11/17/08	\$1,132.50	(\$1,644.52)	53	COMM CALC (Bonds @ 2.5%); COMM CALC (Bonds @ 2.5%)
217 WACHOVIA CORP 8.0% NON-CUM PERP PFD 121517	300.0000	09/25/08	(\$4,138.50)	09/29/08	\$1,921.35	(\$2,217.15)	4	; COMM CALC (Bonds @ 2.5%)
218 COUNTRYWIDE 04-4 A8 MULTICLASS CMO 5.5% 052534	20,000.0000	09/26/08	(\$4,571.77)	01/07/09	\$3,996.94	(\$574.83)	103	; VALUED PER STMT TRANS
219 FUEL SYSTEMS SOLUTIONS INC	50.0000	09/26/08	(\$2,435.00)	10/24/08	\$1,272.99	(\$1,162.01)	28	COMM CALC (Bonds @ 2.5%); COMM CALC (Bonds @ 2.5%)
220 FUEL SYSTEMS SOLUTIONS INC	100.0000	09/26/08	(\$4,877.50)	10/24/08	\$2,545.98	(\$2,331.53)	28	COMM CALC (Bonds @ 2.5%); COMM CALC (Bonds @ 2.5%)
221 PETROLEO BRASILEIRO SPN ADR - PETROBRAS	50.0000	09/26/08	(\$2,362.50)	01/07/09	\$1,296.50	(\$1,066.00)	103	COMM CALC (Bonds @ 2.5%); BLOOMBERG CLOSE
222 PETROLEO BRASILEIRO SPN ADR - PETROBRAS	100.0000	09/26/08	(\$4,732.50)	01/07/09	\$2,593.00	(\$2,139.50)	103	COMM CALC (Bonds @ 2.5%); BLOOMBERG CLOSE
223 GOLDMAN SACHS GROUP INC	10.0000	09/29/08	(\$1,336.80)	11/19/08	\$565.15	(\$771.65)	51	COMM CALC (Bonds @ 2.5%); COMM CALC (Bonds @ 2.5%)
224 PROSHARES ULTRASHORT ETF OIL & GAS	150.0000	09/29/08	(\$6,345.24)	10/02/08	\$6,565.30	\$220.06	3	COMM CALC (Bonds @ 2.5%); COMM CALC (Bonds @ 2.5%)

Open / Close Analysis (by Open)

Account Name: JOHN D CUSTOMER

Case Name: CUSTOMER V SECURITIES BROKERAGE

Account Number: ABC-12345

Date Range: 01/2008 Thru 01/2009

Investment	Quantity	Date	Open Amount	Date	Close Amount	Trading Result	Days Held	Comments
225 KEELEY FUNDS SMALL CAP VALUE FUND CL A	395.8830	10/01/08	(\$10,007.50)	01/07/09	\$6,539.99	(\$3,467.51)	98	; BLOOMBERG CLOSE
226 GOLDMAN SACHS GROUP INC	15.0000	10/02/08	(\$2,052.49)	11/19/08	\$847.72	(\$1,204.77)	48	COMM CALC (Bonds @ 2.5%); COMM CALC (Bonds @ 2.5%)
227 NUCOR CORP	100.0000	10/06/08	(\$3,299.78)	10/30/08	\$3,641.58	\$341.80	24	COMM CALC (Bonds @ 2.5%); COMM CALC (Bonds @ 2.5%)
228 PROSHARES ULTRASHORT ETF OIL & GAS	150.0000	10/07/08	(\$7,564.98)	10/09/08	\$8,600.95	\$1,035.97	2	COMM CALC (Bonds @ 2.5%); COMM CALC (Bonds @ 2.5%)
229 APPLE INC	75.0000	10/14/08	(\$8,126.00)	01/07/09	\$6,825.75	(\$1,300.25)	85	COMM CALC (Bonds @ 2.5%); BLOOMBERG CLOSE
230 BAIDU.COM ADR	10.0000	10/14/08	(\$2,649.49)	11/17/08	\$1,296.95	(\$1,352.54)	34	COMM CALC (Bonds @ 2.5%); COMM CALC (Bonds @ 2.5%)
231 GOLDMAN SACHS GROUP INC	50.0000	10/15/08	(\$5,934.50)	11/19/08	\$2,825.73	(\$3,108.77)	35	COMM CALC (Bonds @ 2.5%); COMM CALC (Bonds @ 2.5%)
232 VANGUARD NATURAL RESOURCES LLC	265.3783	10/20/08	(\$2,677.26)	01/07/09	\$1,870.92	(\$806.34)	79	COMM CALC (Bonds @ 2.5%); BLOOMBERG CLOSE
233 MORGAN STANLEY & CO	100.0000	10/21/08	(\$2,143.20)	11/17/08	\$1,132.50	(\$1,010.70)	27	COMM CALC (Bonds @ 2.5%); COMM CALC (Bonds @ 2.5%)
234 GENENTECH INC	100.0000	10/22/08	(\$8,398.50)	11/19/08	\$7,823.31	(\$575.20)	28	COMM CALC (Bonds @ 2.5%); COMM CALC (Bonds @ 2.5%)
235 NUCOR CORP	100.0000	10/24/08	(\$3,586.98)	01/07/09	\$4,373.00	\$786.02	75	COMM CALC (Bonds @ 2.5%); BLOOMBERG CLOSE
236 SOHU.COM INC	50.0000	10/27/08	(\$2,234.50)	10/29/08	\$2,596.98	\$362.48	2	COMM CALC (Bonds @ 2.5%); COMM CALC (Bonds @ 2.5%)
237 AT&T INC	50.5543	10/29/08	(\$1,498.97)	11/24/08	\$1,319.69	(\$179.28)	26	COMM CALC (Bonds @ 2.5%); COMM CALC (Bonds @ 2.5%)
238 BAIDU.COM ADR	5.0000	10/29/08	(\$1,190.50)	11/17/08	\$648.48	(\$542.02)	19	COMM CALC (Bonds @ 2.5%); COMM CALC (Bonds @ 2.5%)
239 GENENTECH INC	100.0000	10/30/08	(\$8,426.50)	11/19/08	\$7,823.31	(\$603.20)	20	COMM CALC (Bonds @ 2.5%); COMM CALC (Bonds @ 2.5%)
240 PROSHARES ULTRASHORT QQQ	100.0000	10/31/08	(\$6,493.94)	11/06/08	\$6,919.74	\$425.80	6	COMM CALC (Bonds @ 2.5%); COMM CALC (Bonds @ 2.5%)
241 NUCOR CORP	100.0000	11/03/08	(\$3,568.84)	01/07/09	\$4,373.00	\$804.16	65	COMM CALC (Bonds @ 2.5%); BLOOMBERG CLOSE
242 PETROLEO BRASILEIRO SPN ADR - PETROBRAS	100.0000	11/28/08	(\$2,185.50)	01/07/09	\$2,593.00	\$407.50	40	COMM CALC (Bonds @ 2.5%); BLOOMBERG CLOSE
243 FHLMC 2857 X REMIC MULTICLASS CMO SUPPORT BOND 5.5% 091534	9,000.0000	12/15/08	(\$8,084.07)	01/07/09	\$8,357.07	\$273.00	23	; VALUED PER STMT TRANS

Open / Close Analysis (by Open)

Account Name: JOHN D CUSTOMER

Case Name: CUSTOMER V SECURITIES BROKERAGE

Account Number: ABC-12345

Date Range: 01/2008 Thru 01/2009

Investment	Quantity	Date	Open Amount	Date	Close Amount	Trading Result	Days Held	Comments
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Total:

Average Days Held :	97
Net Trading Result :	(\$145,897.02)
Income :	\$12,597.93
Margin Interest :	(\$2,816.83)
Miscellaneous :	(\$611.33)
Net Loss :	(\$136,727.25)

Realized:

Trading Result :	(\$68,174.37)
Average Days Held :	61

Received, Open: Original Valuation

Delivered, In Acct: Original Valuation

Note: Data has been modified from its original form to match buy and sell transactions on a first-in first-out basis.

Trading Result = Capital Invested Profit/(Loss) no income

Cash Transactions

Account Name: JOHN D CUSTOMER
 Account Number: ABC-12345

Case Name: CUSTOMER V SECURITIES BROKERAGE
 Date Range: 01/2008 Thru 01/2009

Date	Bot/Rec	Slid/Del	Description	Price	Debit	Credit	Comments
SECURITIES RECEIVED IN							
1	09/02/2008	1666.000	RECV BLACKROCK MUNICIPAL INCOME TRUST FUND			\$21,058.24	BLOOMBERG CLOSE; FM 1746-6447
2	09/02/2008	20000.000	RECV BROWARD CO FL HSG FIN AUTH REV 5.4% 040139	\$89.712		\$17,942.40	VALUED PER STMT TRANS; FM 1746-6447
3	09/02/2008	20000.000	RECV COURTLAND AL INDL DEV BRD SOLID WASTE DISP 5.7% 100128	\$101.000		\$20,200.00	VALUED PER STMT TRANS; FM 1746-6447
4	09/02/2008	25000.000	RECV FLORIDA HSG FIN AGY BRITTANY OF ROSEMNT G1 AMBAC 6.25% 070135	\$99.999		\$24,999.75	VALUED PER STMT TRANS; FM 1746-6447
5	09/02/2008	20000.000	RECV FORD MOTOR CO BONDS NON CALL LIFE 7.45% 071631	\$51.500		\$10,300.00	VALUED PER STMT TRANS; FM 1746-6447
6	09/02/2008	25000.000	RECV GENERAL MOTORS DEBENTURE NON CALL LIFE 7.4% 090125	\$44.847		\$11,211.75	VALUED PER STMT TRANS; FM 1746-6447
7	09/02/2008	50000.000	RECV METROPOLITAN PIER & EXPO AUTH ILL TAX REV 5.25% 121528	\$101.497		\$50,748.50	VALUED PER STMT TRANS; FM 1746-6447
8	09/02/2008	2333.000	RECV NUVEEN DIVIDEND ADV MUNICIPAL FUND 3	\$12.940		\$30,189.02	BLOOMBERG CLOSE; FM 1746-6447
9	09/02/2008	6337.231	RECV OPPENHEIMER FUNDS ROCHESTER MUN NAT CL B	\$9.090		\$57,605.43	BLOOMBERG CLOSE; FM 1746-6447
10	09/02/2008	25000.000	RECV PIEDMONT MUN PWR AGY SC ELEC REV REF SER A 5.25% 010121	\$100.495		\$25,123.75	VALUED PER STMT TRANS; FM 1746-6447
11	09/02/2008	6533.000	RECV PIMCO HIGH INCOME FUND	\$11.710		\$76,501.43	BLOOMBERG CLOSE; FM 1746-6447
12	09/02/2008	5000.000	RECV PIMCO MUNI INCOME FUND	\$16.280		\$81,400.00	BLOOMBERG CLOSE; FM 1746-6447
13	09/02/2008	20000.000	RECV WISCONSIN ST HEALTH & EDL FACS AUTH REV 5.125% 081528	\$95.464		\$19,092.80	VALUED PER STMT TRANS; FM 1746-6447
TOTAL FOR SECURITIES RECEIVED IN						\$446,373.07	
DEPOSITS							
14	01/03/2008		US TREASURY 303 SOC SEC			\$1,066.90	
15	01/14/2008		DEPOSIT			\$1,312.50	
16	01/14/2008		DEPOSIT			\$1,618.75	
17	01/22/2008		DEPOSIT			\$7,303.24	
18	01/29/2008		DEPOSIT			\$787.14	
19	02/01/2008		US TREASURY 303 SOC SEC			\$1,066.90	
20	02/12/2008		DEPOSIT			\$1,480.89	
21	02/22/2008		DEPOSIT			\$110.19	
22	02/26/2008		DEPOSIT			\$512.50	
23	03/03/2008		US TREASURY 303 SOC SEC			\$1,066.90	
24	03/10/2008		DEPOSIT			\$73.73	
25	03/11/2008		DEPOSIT			\$2,405.89	
26	03/28/2008		DEPOSIT			\$14.97	
27	04/03/2008		US TREASURY 303 SOC SEC			\$1,066.90	
28	04/22/2008		DEPOSIT			\$2,590.89	
29	05/02/2008		US TREASURY 303 SOC SEC			\$1,066.90	
30	05/06/2008		DEPOSIT			\$586.31	
31	05/13/2008		DEPOSIT			\$1,480.89	
32	05/16/2008		DEPOSIT			\$1,174.00	

Cash Transactions

Account Name: JOHN D CUSTOMER
 Account Number: ABC-12345

Case Name: CUSTOMER V SECURITIES BROKERAGE
 Date Range: 01/2008 Thru 01/2009

Date	Bot/Rec	Slid/Del	Description	Price	Debit	Credit	Comments
33	06/03/2008		US TREASURY 303 SOC SEC			\$1,066.90	
34	06/05/2008		DEPOSIT			\$700.00	
35	06/10/2008		DEPOSIT			\$1,480.86	
36	06/25/2008		DEPOSIT			\$1,912.50	
37	07/03/2008		US TREASURY 303 SOC SEC			\$1,066.90	
38	07/07/2008		DEPOSIT			\$700.00	
39	07/10/2008		DEPOSIT			\$2,903.56	
40	07/23/2008		DEPOSIT			\$745.00	
41	08/01/2008		US TREASURY 303 SOC SEC			\$1,066.90	
42	08/05/2008		DEPOSIT			\$700.00	
43	08/13/2008		DEPOSIT			\$1,466.06	
44	08/26/2008		DEPOSIT			\$67.73	
45	09/03/2008		US TREASURY 303 SOC SEC			\$1,066.90	
46	09/10/2008		DEPOSIT			\$700.00	
47	09/10/2008		DEPOSIT			\$2,391.06	
48	09/26/2008		DEPOSIT			\$116.46	
49	10/03/2008		US TREASURY 303 SOC SEC			\$1,066.90	
50	10/06/2008		DEPOSIT			\$700.00	
51	11/03/2008		US TREASURY 303 SOC SEC			\$1,066.90	
52	11/06/2008		DEPOSIT			\$700.00	
53	11/26/2008		DEPOSIT			\$2,125.37	
54	12/03/2008		US TREASURY 303 SOC SEC			\$1,066.90	
55	12/08/2008		DEPOSIT			\$700.00	
56	01/05/2009		US TREASURY 303 SOC SEC			\$1,135.00	
TOTAL FOR DEPOSITS						\$53,498.29	
CASH TRANSFERRED IN							
57	05/30/2008		FM 7414-7823			\$0.01	
58	09/02/2008		EVERGREEN INST MUN MONEY MKT FUND CL I			\$6,020.66	FM 1746-6447
59	09/02/2008		FM 1746-6447			\$5,019.50	
60	09/22/2008		EVERGREEN INST MUN MONEY MKT FUND CL I			\$9.49	FM 1746-6447
61	12/02/2008		FM 4722-3001			\$15,000.00	
TOTAL FOR CASH TRANSFERRED IN						\$26,049.66	
DIVIDENDS							
62	01/02/2008		ISHARES MSCI SINGAPORE INDEX			\$101.89	DIVIDEND
63	01/02/2008		TRANSAMERICA INVS INC PREMIER BALANCED FUND INV SHS			\$156.81	DIVIDEND

Cash Transactions

Account Name: JOHN D CUSTOMER
 Account Number: ABC-12345

Case Name: CUSTOMER V SECURITIES BROKERAGE
 Date Range: 01/2008 Thru 01/2009

Date	Bot/Rec	Slid/Del	Description	Price	Debit	Credit	Comments
64	01/02/2008		TRANSAMERICA INVS INC PREMIER BALANCED FUND INV SHS			\$420.15	DIVIDEND LTG
65	01/02/2008		TWEEDY BROWNE GLOBAL VALUE FUND			\$78.60	DIVIDEND
66	01/02/2008		TWEEDY BROWNE GLOBAL VALUE FUND			\$474.93	DIVIDEND LTG
67	01/03/2008		ISHARES MSCI BRAZIL INDEX			\$30.05	DIVIDEND
68	01/04/2008		ISHARES MSCI BRAZIL INDEX			\$12.83	DIVIDEND STG
69	01/04/2008		ISHARES MSCI BRAZIL INDEX			\$77.43	DIVIDEND
70	01/15/2008		LEHMAN BROS HLDG FLOATING RATE PERPETUAL CALLABLE			\$23.27	DIVIDEND
71	01/28/2008		CLAYMORE SECURITIES CHINA OPPORTUNITIES PORT SER 4 UIT			\$4.04	DIVIDEND
72	01/28/2008		CLAYMORE SECURITIES GLOBAL EXCHANGE & ASSET MGMT PORT SER 3 UIT			\$5.52	DIVIDEND
73	01/29/2008		DEERFIELD CAPITAL CORP			\$126.00	DIVIDEND
74	02/26/2008		CLAYMORE SECURITIES CHINA OPPORTUNITIES PORT SER 4 UIT			\$3.81	DIVIDEND
75	02/26/2008		CLAYMORE SECURITIES GLOBAL EXCHANGE & ASSET MGMT PORT SER 3 UIT			\$11.64	DIVIDEND
76	03/10/2008		SHIP FINANCE INTL LTD			\$55.00	DIVIDEND
77	03/25/2008		CLAYMORE SECURITIES CHINA OPPORTUNITIES PORT SER 4 UIT			\$9.43	DIVIDEND
78	03/25/2008		CLAYMORE SECURITIES GLOBAL EXCHANGE & ASSET MGMT PORT SER 3 UIT			\$16.88	DIVIDEND
79	04/25/2008		CLAYMORE SECURITIES CHINA OPPORTUNITIES PORT SER 4 UIT			\$8.53	DIVIDEND
80	04/25/2008		CLAYMORE SECURITIES GLOBAL EXCHANGE & ASSET MGMT PORT SER 3 UIT			\$23.34	DIVIDEND
81	04/25/2008		CLAYMORE SECURITIES UTILITIES PORT SER 8 UIT			\$14.12	DIVIDEND
82	04/25/2008		FIRST TRUST GLOBAL COMMODITIES PORT SER 5			\$5.90	DIVIDEND
83	05/13/2008		CLAYMORE SECURITIES DELTA GLOBAL AGRICULTURE PORT SER 2 UIT			\$1.20	DIVIDEND
84	05/15/2008		CLAYMORE SECURITIES GLOBAL EXCHANGE & ASSET MGMT PORT SER 3 UIT			\$42.27	DIVIDEND
85	05/27/2008		CLAYMORE SECURITIES CHINA OPPORTUNITIES PORT SER 4 UIT			\$67.17	DIVIDEND
86	05/27/2008		CLAYMORE SECURITIES UTILITIES PORT SER 8 UIT			\$8.76	DIVIDEND
87	05/27/2008		FIRST TRUST PRECIOUS METALS SERIES 10			\$11.20	DIVIDEND
88	06/02/2008		CARLISLE COMPANIES INC			\$14.50	DIVIDEND
89	06/25/2008		CLAYMORE SECURITIES CHINA OPPORTUNITIES PORT SER 4 UIT			\$66.55	DIVIDEND
90	06/25/2008		CLAYMORE SECURITIES GLOBAL EXCHANGE & ASSET MGMT PORT SER 3 UIT			\$0.61	DIVIDEND
91	06/25/2008		CLAYMORE SECURITIES UTILITIES PORT SER 8 UIT			\$14.62	DIVIDEND
92	06/25/2008		FIRST TRUST GLOBAL COMMODITIES PORT SER 5			\$11.89	DIVIDEND
93	06/30/2008		ISHARES MSCI SINGAPORE INDEX			\$13.33	DIVIDEND
94	06/30/2008		THORNBURG INVT TR GLOBAL OPPORTUNITIES FUND CL I			\$39.78	DIVIDEND
95	07/08/2008		MASSEY ENERGY COMPANY			\$5.00	DIVIDEND

Cash Transactions

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96	07/25/2008		CLAYMORE SECURITIES CHINA OPPORTUNITIES PORT SER 4 UIT			\$62.24	DIVIDEND
97	07/25/2008		CLAYMORE SECURITIES UTILITIES PORT SER 8 UIT			\$14.22	DIVIDEND
98	07/25/2008		FIRST TRUST GLOBAL COMMODITIES PORT SER 5			\$7.52	DIVIDEND
99	08/01/2008		FREEPORT-MCMORAN COPPER & GOLD INC CL B			\$43.75	DIVIDEND
100	08/12/2008		PIONEER SOUTHWEST ENERGY PARTNERS LP			\$46.50	DIVIDEND
101	08/12/2008		PIONEER SOUTHWEST ENERGY PARTNERS LP			\$46.50	DIVIDEND
102	08/14/2008		ATLAS PIPELINE PARTNERS M L P UNITS			\$72.00	DIVIDEND
103	08/14/2008		BREITBURN ENERGY PRTNRS LP			\$52.00	DIVIDEND
104	08/14/2008		PENN VIRGINIA RESOURCE PARTNERS			\$46.00	DIVIDEND
105	08/14/2008		PIONEER SOUTHWEST ENERGY PARTNERS LP		\$46.50		DIVIDEND ADJUST
106	08/14/2008		TARGA RESRCS PRTNRS LP			\$51.25	DIVIDEND
107	08/17/2008		CLAYMORE SECURITIES GLOBAL EXCHANGE & ASSET MGMT PORT SER 3 UIT			\$0.31	DIVIDEND
108	08/17/2008		CLAYMORE SECURITIES UTILITIES PORT SER 8 UIT			\$8.55	DIVIDEND
109	08/17/2008		FIRST TRUST PRECIOUS METALS SERIES 10			\$1.30	DIVIDEND
110	08/19/2008		ALLIANCE HOLDINGS GP LP			\$52.88	DIVIDEND
111	09/02/2008		SCHNITZER STEEL INDUSTRIES INC CL A			\$2.55	DIVIDEND
112	09/08/2008		FIRST TRUST GLOBAL COMMODITIES PORT SER 5			\$2.97	DIVIDEND
113	09/10/2008		EXXON MOBIL CORP			\$20.00	DIVIDEND
114	09/10/2008		NORFOLK SOUTHERN CORP			\$32.00	DIVIDEND
115	09/18/2008		OPPENHEIMER FUNDS ROCHESTER MUN NAT CL B			\$243.96	DIVIDEND
116	09/30/2008		POWERSHARES ETF PREFERRED PORTFOLIO			\$35.28	DIVIDEND
117	10/01/2008		BANK OF AMERICA CORP PFD SUPER-REGIONAL BANKS			\$82.81	DIVIDEND
118	10/13/2008		CREDIT SUISSE 7.9% NON-COM PERP CAP NOTE CALL 032813			\$98.75	DIVIDEND
119	10/15/2008		SEMPRA ENERGY			\$122.50	DIVIDEND
120	10/15/2008		WINDSTREAM CORP			\$62.50	DIVIDEND
121	10/17/2008		ALLIANCEBERSTEIN INC FUND			\$25.00	DIVIDEND
122	10/27/2008		GENERAL ELECTRIC CO			\$46.50	DIVIDEND
123	10/31/2008		MORGAN STANLEY & CO			\$67.50	DIVIDEND
124	10/31/2008		POWERSHARES ETF PREFERRED PORTFOLIO			\$26.88	DIVIDEND
125	11/03/2008		AT&T INC			\$60.00	DIVIDEND
126	11/11/2008		NUCOR CORP			\$20.00	DIVIDEND
127	11/11/2008		NUCOR CORP			\$32.00	DIVIDEND
128	11/12/2008		PIONEER SOUTHWEST ENERGY PARTNERS LP			\$75.00	DIVIDEND
129	11/14/2008		BREITBURN ENERGY PRTNRS LP			\$52.00	DIVIDEND
130	11/14/2008		PENN VIRGINIA RESOURCE PARTNERS			\$47.00	DIVIDEND
131	11/14/2008		PLAINS ALL AMERICAN PIPELINE LP			\$178.50	DIVIDEND
132	11/14/2008		TARGA RESRCS PRTNRS LP			\$103.50	DIVIDEND

Cash Transactions

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Date	Bot/Rec	Slid/Del	Description	Price	Debit	Credit	Comments
133	11/14/2008		VANGUARD NATURAL RESOURCES LLC			\$375.00	DIVIDEND
134	11/21/2008		ALLIANCEBERSTEIN INC FUND			\$25.00	DIVIDEND
135	11/24/2008		DB CONT CAP TR 6.55% NON-CUM PERP PFD CALL 052317			\$122.81	DIVIDEND
136	11/24/2008		GOLDMAN SACHS GROUP INC			\$35.00	DIVIDEND
137	11/28/2008		MERRILL LYNCH 8.625% NON-CUM PERP PFD CALL 052813			\$134.78	DIVIDEND
138	11/28/2008		POWERSHARES ETF PREFERRED PORTFOLIO			\$44.39	DIVIDEND
139	12/01/2008		JP MORGAN CHASE 8.625% NON-CUM PERP PFD CALL 090113			\$119.79	DIVIDEND
140	12/05/2008		JENNISON NATURAL RESOURCES FUND CL A			\$18.21	DIVIDEND STG
141	12/05/2008		JENNISON NATURAL RESOURCES FUND CL A			\$53.85	DIVIDEND
142	12/05/2008		JENNISON NATURAL RESOURCES FUND CL A			\$69.95	DIVIDEND LTG
143	12/05/2008		TEVA PHARMACEUTICAL ADR INDS LTD			\$11.15	DIVIDEND
144	12/10/2008		EXXON MOBIL CORP			\$60.00	DIVIDEND
145	12/15/2008		BARCLAYS BANK PLC 8.125% NON-CUM PERP PFD 061513			\$152.34	DIVIDEND
146	12/15/2008		CITIGROUP 8.5% SER F PFD NON CUM PERP PFD CALL 061513			\$132.81	DIVIDEND
147	12/15/2008		CREDIT SUISSE 7.9% NON-COM PERP CAP NOTE CALL 032813			\$98.75	DIVIDEND
148	12/19/2008		ALLIANCEBERSTEIN INC FUND			\$25.00	DIVIDEND
149	12/31/2008		POWERSHARES ETF PREFERRED PORTFOLIO			\$42.02	DIVIDEND
150	01/15/2009		FHLMC 1505Q SCH MULTICLASS CMO 7.0% 051523			\$28.60	DIVIDEND
151	01/15/2009		SEMPRA ENERGY			\$122.50	DIVIDEND
152	01/15/2009		WINDSTREAM CORP			\$62.50	DIVIDEND
153	01/23/2009		ALLIANCEBERSTEIN INC FUND			\$12.50	DIVIDEND
154	01/23/2009		ALLIANCEBERSTEIN INC FUND			\$25.00	DIVIDEND
155	01/26/2009		GENERAL ELECTRIC CO			\$46.50	DIVIDEND
TOTAL FOR DIVIDENDS						\$5,671.22	
INTEREST INCOME							
156	01/15/2008		FHLMC 2873 BB REMIC MULTICLASS CMO 5.5% 041534			\$18.33	INTEREST
157	01/15/2008		FHLMC 2881 BB RETAIL LOTTERY BOND REMIC MULTICLASS CMO 5.5% 011534			\$22.92	INTEREST
158	01/15/2008		FHLMC 2928 EE RETAIL LOTTERY REMIC MULTICLASS CMO 5.0% 041534			\$20.83	INTEREST
159	01/15/2008		FHLMC 2996 HH RETAIL LOTTERY REMIC MULTICLASS CMO 5.0% 101533			\$16.67	INTEREST
160	01/15/2008		FHLMC 3029 TA REMIC MULTICLASS CMO 5.0% 091535			\$25.96	INTEREST
161	01/15/2008		FHLMC 3075 CB REMIC MULTICLASS CMO 5.5% 051534			\$22.79	INTEREST
162	01/15/2008		GNMA II PASS THRU POOL 581490 5.5% 021533			\$42.04	INTEREST
163	01/22/2008		GNMA 06-56 YD REMIC MULTICLASS CMO 5.5% 042036			\$27.98	INTEREST
164	01/22/2008		GNMA II PASS THRU POOL 3081 7.0% 052031			\$43.79	INTEREST
165	01/25/2008		BANK OF AMERICA 04-3 1A2 MULTICLASS CMO 5.5% 042534			\$32.08	INTEREST

Cash Transactions

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Account Number: ABC-12345

Date Range: 01/2008 Thru 01/2009

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166	01/25/2008		CITICORP MTG 05-3 1A6 SEC INC MULTICLASS CMO CALLABLE 5.5% 042535			\$22.92	INTEREST
167	01/25/2008		FNMA 92-31M PAC REMIC MULTICLASS CMO 7.75% 032522			\$9.26	INTEREST
168	01/25/2008		FNMA 93-77L PAC 1 MULTICLASS CMO 7.0% 062523			\$20.87	INTEREST
169	01/25/2008		FNMA G 92-35E PAC MULTICLASS CMO 7.5% 072522			\$24.78	INTEREST
170	01/25/2008		FNMA PASS THRU POOL 545882 7.0% 050132			\$75.91	INTEREST
171	02/15/2008		FHLMC 2873 BB REMIC MULTICLASS CMO 5.5% 041534			\$18.33	INTEREST
172	02/15/2008		FHLMC 2881 BB RETAIL LOTTERY BOND REMIC MULTICLASS CMO 5.5% 011534			\$22.92	INTEREST
173	02/15/2008		FHLMC 2928 EE RETAIL LOTTERY REMIC MULTICLASS CMO 5.0% 041534			\$20.83	INTEREST
174	02/15/2008		FHLMC 2996 HH RETAIL LOTTERY REMIC MULTICLASS CMO 5.0% 101533			\$16.67	INTEREST
175	02/15/2008		FHLMC 3029 TA REMIC MULTICLASS CMO 5.0% 091535			\$25.93	INTEREST
176	02/15/2008		FHLMC 3075 CB REMIC MULTICLASS CMO 5.5% 051534			\$22.79	INTEREST
177	02/15/2008		GNMA II PASS THRU POOL 581490 5.5% 021533			\$41.95	INTEREST
178	02/20/2008		GNMA 06-56 YD REMIC MULTICLASS CMO 5.5% 042036			\$27.24	INTEREST
179	02/20/2008		GNMA II PASS THRU POOL 3081 7.0% 052031			\$42.87	INTEREST
180	02/25/2008		BANK OF AMERICA 04-3 1A2 MULTICLASS CMO 5.5% 042534			\$32.08	INTEREST
181	02/25/2008		CITICORP MTG 05-3 1A6 SEC INC MULTICLASS CMO CALLABLE 5.5% 042535			\$22.92	INTEREST
182	02/25/2008		FNMA 92-31M PAC REMIC MULTICLASS CMO 7.75% 032522			\$9.02	INTEREST
183	02/25/2008		FNMA 93-77L PAC 1 MULTICLASS CMO 7.0% 062523			\$20.54	INTEREST
184	02/25/2008		FNMA G 92-35E PAC MULTICLASS CMO 7.5% 072522			\$24.53	INTEREST
185	02/25/2008		FNMA PASS THRU POOL 545882 7.0% 050132			\$74.95	INTEREST
186	03/17/2008		FHLMC 2873 BB REMIC MULTICLASS CMO 5.5% 041534			\$18.33	INTEREST
187	03/17/2008		FHLMC 2881 BB RETAIL LOTTERY BOND REMIC MULTICLASS CMO 5.5% 011534			\$22.92	INTEREST
188	03/17/2008		FHLMC 2928 EE RETAIL LOTTERY REMIC MULTICLASS CMO 5.0% 041534			\$20.83	INTEREST
189	03/17/2008		FHLMC 2996 HH RETAIL LOTTERY REMIC MULTICLASS CMO 5.0% 101533			\$16.67	INTEREST
190	03/17/2008		FHLMC 3029 TA REMIC MULTICLASS CMO 5.0% 091535			\$25.90	INTEREST
191	03/17/2008		FHLMC 3075 CB REMIC MULTICLASS CMO 5.5% 051534			\$22.79	INTEREST
192	03/17/2008		GNMA II PASS THRU POOL 581490 5.5% 021533			\$41.87	INTEREST
193	03/20/2008		GNMA 06-56 YD REMIC MULTICLASS CMO 5.5% 042036			\$26.66	INTEREST
194	03/20/2008		GNMA II PASS THRU POOL 3081 7.0% 052031			\$42.52	INTEREST
195	03/25/2008		BANK OF AMERICA 04-3 1A2 MULTICLASS CMO 5.5% 042534			\$32.08	INTEREST
196	03/25/2008		CITICORP MTG 05-3 1A6 SEC INC MULTICLASS CMO CALLABLE 5.5% 042535			\$22.92	INTEREST
197	03/25/2008		FNMA 92-31M PAC REMIC MULTICLASS CMO 7.75% 032522			\$8.95	INTEREST
198	03/25/2008		FNMA 93-77L PAC 1 MULTICLASS CMO 7.0% 062523			\$20.30	INTEREST
199	03/25/2008		FNMA G 92-35E PAC MULTICLASS CMO 7.5% 072522			\$24.30	INTEREST

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Date Range: 01/2008 Thru 01/2009

Date	Bot/Rec	Slid/Del	Description	Price	Debit	Credit	Comments
271	09/25/2008		FNMA 92-31M PAC REMIC MULTICLASS CMO 7.75% 032522			\$8.14	INTEREST
272	09/25/2008		FNMA 93-77L PAC 1 MULTICLASS CMO 7.0% 062523			\$19.17	INTEREST
273	09/25/2008		FNMA G 92-35E PAC MULTICLASS CMO 7.5% 072522			\$21.87	INTEREST
274	09/25/2008		FNMA PASS THRU POOL 545882 7.0% 050132			\$66.69	INTEREST
275	09/26/2008		COUNTRYWIDE 04-4 A8 MULTICLASS CMO 5.5% 052534		\$19.56		ACCR INT
276	10/13/2008		CREDIT SUISSE 7.9% NON-COM PERP CAP NOTE CALL 032813		\$98.75		INTEREST ADJUST
277	10/15/2008		FHLMC 1505Q SCH MULTICLASS CMO 7.0% 051523			\$29.43	INTEREST
278	10/15/2008		FHLMC 2036 PD MULTICLASS CMO REMIC 6.5% 031528			\$69.68	INTEREST
279	10/20/2008		GNMA 07-2 BA REMIC MULTICLASS CMO 5.5% 062035			\$28.23	INTEREST
280	10/20/2008		GNMA II PASS THRU POOL 3081 7.0% 052031			\$37.75	INTEREST
281	10/27/2008		COUNTRYWIDE 04-4 A8 MULTICLASS CMO 5.5% 052534			\$27.94	INTEREST
282	10/27/2008		FNMA 92-131KB PAC REMIC MULTICLASS CMO 8.0% 082522			\$30.83	INTEREST
283	10/27/2008		FNMA 92-31M PAC REMIC MULTICLASS CMO 7.75% 032522			\$7.95	INTEREST
284	10/27/2008		FNMA 93-77L PAC 1 MULTICLASS CMO 7.0% 062523			\$18.96	INTEREST
285	10/27/2008		FNMA G 92-35E PAC MULTICLASS CMO 7.5% 072522			\$21.65	INTEREST
286	10/27/2008		FNMA PASS THRU POOL 545882 7.0% 050132			\$66.11	INTEREST
287	11/17/2008		FHLMC 1505Q SCH MULTICLASS CMO 7.0% 051523			\$29.24	INTEREST
288	11/17/2008		FHLMC 2036 PD MULTICLASS CMO REMIC 6.5% 031528			\$68.82	INTEREST
289	11/17/2008		USB CAPITAL VII 5.875% 081535			\$73.44	INTEREST
290	11/20/2008		GNMA 07-2 BA REMIC MULTICLASS CMO 5.5% 062035			\$27.85	INTEREST
291	11/20/2008		GNMA II PASS THRU POOL 3081 7.0% 052031			\$37.20	INTEREST
292	11/25/2008		COUNTRYWIDE 04-4 A8 MULTICLASS CMO 5.5% 052534			\$27.94	INTEREST
293	11/25/2008		FNMA 92-131KB PAC REMIC MULTICLASS CMO 8.0% 082522			\$30.32	INTEREST
294	11/25/2008		FNMA 92-31M PAC REMIC MULTICLASS CMO 7.75% 032522			\$7.82	INTEREST
295	11/25/2008		FNMA 93-77L PAC 1 MULTICLASS CMO 7.0% 062523			\$18.82	INTEREST
296	11/25/2008		FNMA G 92-35E PAC MULTICLASS CMO 7.5% 072522			\$21.39	INTEREST
297	11/25/2008		FNMA PASS THRU POOL 545882 7.0% 050132			\$65.45	INTEREST
298	12/15/2008		FHLMC 1505Q SCH MULTICLASS CMO 7.0% 051523			\$28.87	INTEREST
299	12/15/2008		FHLMC 2036 PD MULTICLASS CMO REMIC 6.5% 031528			\$68.08	INTEREST
300	12/15/2008		FHLMC 2857 X REMIC MULTICLASS CMO SUPPORT BOND 5.5% 091534		\$5.31		ACCR INT
301	12/22/2008		GNMA 07-2 BA REMIC MULTICLASS CMO 5.5% 062035			\$27.80	INTEREST
302	12/22/2008		GNMA II PASS THRU POOL 3081 7.0% 052031			\$36.80	INTEREST
303	12/26/2008		COUNTRYWIDE 04-4 A8 MULTICLASS CMO 5.5% 052534			\$27.94	INTEREST
304	12/26/2008		FNMA 92-131KB PAC REMIC MULTICLASS CMO 8.0% 082522			\$29.92	INTEREST
305	12/26/2008		FNMA 92-31M PAC REMIC MULTICLASS CMO 7.75% 032522			\$7.78	INTEREST
306	12/26/2008		FNMA 93-77L PAC 1 MULTICLASS CMO 7.0% 062523			\$18.37	INTEREST
307	12/26/2008		FNMA G 92-35E PAC MULTICLASS CMO 7.5% 072522			\$21.08	INTEREST

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308	12/26/2008		FNMA PASS THRU POOL 545882 7.0% 050132			\$65.02	INTEREST
309	01/15/2009		FHLMC 2036 PD MULTICLASS CMO REMIC 6.5% 031528			\$67.63	INTEREST
310	01/15/2009		FHLMC 2857 X REMIC MULTICLASS CMO SUPPORT BOND 5.5% 091534			\$39.80	INTEREST
311	01/20/2009		GNMA 07-2 BA REMIC MULTICLASS CMO 5.5% 062035			\$27.80	INTEREST
312	01/20/2009		GNMA II PASS THRU POOL 3081 7.0% 052031			\$36.42	INTEREST
313	01/26/2009		COUNTRYWIDE 04-4 A8 MULTICLASS CMO 5.5% 052534			\$27.94	INTEREST
314	01/26/2009		FNMA 92-131KB PAC REMIC MULTICLASS CMO 8.0% 082522			\$29.55	INTEREST
315	01/26/2009		FNMA 92-31M PAC REMIC MULTICLASS CMO 7.75% 032522			\$7.73	INTEREST
316	01/26/2009		FNMA 93-77L PAC 1 MULTICLASS CMO 7.0% 062523			\$18.22	INTEREST
317	01/26/2009		FNMA G 92-35E PAC MULTICLASS CMO 7.5% 072522			\$20.88	INTEREST
318	01/26/2009		FNMA PASS THRU POOL 545882 7.0% 050132			\$64.59	INTEREST
TOTAL FOR INTEREST INCOME						\$6,705.89	
DEBITS TRANSFERRED OUT							
319	01/07/2009		TRANSFER DEBIT BALANCE			\$107.04	
320	01/07/2009		TRANSFER DEBIT BALANCE			\$1,977.69	
TOTAL FOR DEBITS TRANSFERRED OUT						\$2,084.73	
SECURITIES DELIVERED OUT							
321	01/07/2009	DELV	500.000 ALLIANCEBERSTEIN INC FUND	\$7.190		\$3,595.00	BLOOMBERG CLOSE
322	01/07/2009	DELV	75.000 APPLE INC	\$91.010		\$6,825.75	BLOOMBERG CLOSE
323	01/07/2009	DELV	300.000 BARCLAYS BANK PLC 8.125% NON-CUM PERP PFD 061513	\$18.990		\$5,697.00	BLOOMBERG CLOSE
324	01/07/2009	DELV	106.000 BREITBURN ENERGY PRTNRS LP	\$8.390		\$889.34	BLOOMBERG CLOSE
325	01/07/2009	DELV	250.000 CITIGROUP 8.5% SER F PFD NON CUM PERP PFD CALL 061513	\$18.120		\$4,530.00	BLOOMBERG CLOSE
326	01/07/2009	DELV	20000.000 COUNTRYWIDE 04-4 A8 MULTICLASS CMO 5.5% 052534	\$65.570		\$3,996.94	VALUED PER STMT TRANS
327	01/07/2009	DELV	200.000 CREDIT SUISSE 7.9% NON-COM PERP CAP NOTE CALL 032813	\$22.430		\$4,486.00	BLOOMBERG CLOSE
328	01/07/2009	DELV	300.000 DB CONT CAP TR 6.55% NON-CUM PERP PFD CALL 052317	\$16.710		\$5,013.00	BLOOMBERG CLOSE
329	01/07/2009	DELV	50.000 EXXON MOBIL CORP	\$78.250		\$3,912.50	BLOOMBERG CLOSE
330	01/07/2009	DELV	50000.000 FHLMC 1505Q SCH MULTICLASS CMO 7.0% 051523	\$105.815		\$5,188.25	VALUED PER STMT TRANS
331	01/07/2009	DELV	20000.000 FHLMC 2036 PD MULTICLASS CMO REMIC 6.5% 031528	\$104.291		\$13,022.00	VALUED PER STMT TRANS
332	01/07/2009	DELV	9000.000 FHLMC 2857 X REMIC MULTICLASS CMO SUPPORT BOND 5.5% 091534	\$96.230		\$8,357.07	VALUED PER STMT TRANS
333	01/07/2009	DELV	38000.000 FNMA 92-131KB PAC REMIC MULTICLASS CMO 8.0% 082522	\$108.431		\$4,806.99	VALUED PER STMT TRANS
334	01/07/2009	DELV	21000.000 FNMA 92-31M PAC REMIC MULTICLASS CMO 7.75% 032522	\$107.956		\$1,291.86	VALUED PER STMT TRANS
335	01/07/2009	DELV	7000.000 FNMA 93-77L PAC 1 MULTICLASS CMO 7.0% 062523	\$105.891		\$3,308.00	VALUED PER STMT TRANS
336	01/07/2009	DELV	34000.000 FNMA G 92-35E PAC MULTICLASS CMO 7.5% 072522	\$107.966		\$3,606.67	VALUED PER STMT TRANS
337	01/07/2009	DELV	199000.000 FNMA PASS THRU POOL 545882 7.0% 050132	\$105.182		\$11,646.56	VALUED PER STMT TRANS

Cash Transactions

Account Name: JOHN D CUSTOMER
 Account Number: ABC-12345

Case Name: CUSTOMER V SECURITIES BROKERAGE
 Date Range: 01/2008 Thru 01/2009

Date	Bot/Rec	Std/Del	Description	Price	Debit	Credit	Comments
338	01/07/2009	DELV	150.000 GENERAL ELECTRIC CO	\$16.110		\$2,416.50	BLOOMBERG CLOSE
339	01/07/2009	DELV	10000.000 GNMA 07-2 BA REMIC MULTICLASS CMO 5.5% 062035	\$97.576		\$5,918.72	VALUED PER STMT TRANS
340	01/07/2009	DELV	250000.000 GNMA II PASS THRU POOL 3081 7.0% 052031	\$104.289		\$6,512.02	VALUED PER STMT TRANS
341	01/07/2009	DELV	107.486 JENNISON NATURAL RESOURCES FUND CL A	\$27.460		\$2,951.57	BLOOMBERG CLOSE
342	01/07/2009	DELV	395.883 KEELEY FUNDS SMALL CAP VALUE FUND CL A	\$16.520		\$6,539.99	BLOOMBERG CLOSE
343	01/07/2009	DELV	200.000 NUCOR CORP	\$43.730		\$8,746.00	BLOOMBERG CLOSE
344	01/07/2009	DELV	103.000 PENN VIRGINIA RESOURCE PARTNERS	\$13.290		\$1,368.87	BLOOMBERG CLOSE
345	01/07/2009	DELV	400.000 PETROLEO BRASILEIRO SPN ADR - PETROBRAS	\$25.930		\$10,372.00	BLOOMBERG CLOSE
346	01/07/2009	DELV	150.000 PIONEER SOUTHWEST ENERGY PARTNERS LP	\$14.450		\$2,167.50	BLOOMBERG CLOSE
347	01/07/2009	DELV	358.000 POWERSHARES ETF PREFERRED PORTFOLIO	\$13.700		\$4,904.60	BLOOMBERG CLOSE
348	01/07/2009	DELV	350.000 SEMPRA ENERGY	\$43.000		\$15,050.00	BLOOMBERG CLOSE
349	01/07/2009	DELV	300.000 SWIFT ENERGY CO	\$19.860		\$5,958.00	BLOOMBERG CLOSE
350	01/07/2009	DELV	210.000 TARGA RESRCS PRTNRS LP	\$9.120		\$1,915.20	BLOOMBERG CLOSE
351	01/07/2009	DELV	796.000 VANGUARD NATURAL RESOURCES LLC	\$7.050		\$5,611.80	BLOOMBERG CLOSE
352	01/07/2009	DELV	250.000 WINDSTREAM CORP	\$8.990		\$2,247.50	BLOOMBERG CLOSE
353	01/09/2009	DELV	250.000 BANK OF AMERICA 8.625% NON CUMULATIVE PFD CALL 052813	\$21.550		\$5,387.50	BLOOMBERG CLOSE
TOTAL FOR SECURITIES DELIVERED OUT						\$178,240.70	
CASH WITHDRAWN							
354	01/31/2008		MONTHLY CHECKING ACTIVITY		\$12,121.59		
355	01/31/2008		MONTHLY ETF ACTIVITY		\$740.39		
356	02/28/2008		MONTHLY CHECKING ACTIVITY		\$9,164.56		
357	02/28/2008		MONTHLY ETF ACTIVITY		\$683.94		
358	03/31/2008		MONTHLY CHECKING ACTIVITY		\$13,379.58		
359	03/31/2008		MONTHLY ETF ACTIVITY		\$504.51		
360	04/30/2008		MONTHLY CHECKING ACTIVITY		\$11,062.80		
361	04/30/2008		MONTHLY ETF ACTIVITY		\$523.66		
362	05/31/2008		MONTHLY CHECKING ACTIVITY		\$14,137.98		
363	05/31/2008		MONTHLY ETF ACTIVITY		\$581.31		
364	06/30/2008		MONTHLY CHECKING ACTIVITY		\$10,112.71		
365	06/30/2008		MONTHLY ETF ACTIVITY		\$605.76		
366	07/31/2008		MONTHLY CHECKING ACTIVITY		\$19,564.73		
367	07/31/2008		MONTHLY ETF ACTIVITY		\$646.72		
368	08/05/2008		MONTHLY ETF ACTIVITY		\$501.99		
369	08/31/2008		MONTHLY CHECKING ACTIVITY		\$8,972.62		
370	09/28/2008		MONTHLY CHECKING ACTIVITY		\$8,765.54		
371	09/30/2008		MONTHLY ETF ACTIVITY		\$725.78		

Cash Transactions

Account Name: JOHN D CUSTOMER
 Account Number: ABC-12345

Case Name: CUSTOMER V SECURITIES BROKERAGE
 Date Range: 01/2008 Thru 01/2009

Date	Bot/Rec	Slid/Del	Description	Price	Debit	Credit	Comments
372	10/07/2008		MONTHLY ETF ACTIVITY		\$979.35		
373	10/31/2008		MONTHLY CHECKING ACTIVITY		\$9,289.41		
374	11/30/2008		MONTHLY CHECKING ACTIVITY		\$18,422.18		
375	11/30/2008		MONTHLY ETF ACTIVITY		\$1,029.61		
376	12/31/2008		MONTHLY CHECKING ACTIVITY		\$10,611.60		
377	12/31/2008		MONTHLY ETF ACTIVITY		\$727.69		
378	01/09/2009		TRANSFER CASH BALANCE		\$41.99		
379	01/09/2009		TRANSFER CASH BALANCE		\$1,147.04		
380	01/20/2009		TRANSFER CASH BALANCE		\$481.64		
381	01/22/2009		TRANSFER CASH BALANCE		\$302.39		
382	01/27/2009		TRANSFER CASH BALANCE		\$37.50		
383	01/29/2009		TRANSFER CASH BALANCE		\$516.90		
TOTAL FOR CASH WITHDRAWN						(\$156,383.47)	
CASH TRANSFERRED OUT							
384	09/08/2008		TO 2453-4954		\$100,000.00		
385	09/08/2008		TO 4864-3420		\$100,000.00		
386	09/18/2008		TO 4722-3001		\$2,928.14		
387	09/18/2008		TO 4722-3001		\$47,071.86		
TOTAL FOR CASH TRANSFERRED OUT						(\$250,000.00)	
INTEREST CHARGES							
388	01/30/2008		MARGIN INTEREST		\$263.20		
389	02/28/2008		MARGIN INTEREST		\$168.28		
390	03/28/2008		MARGIN INTEREST		\$226.74		
391	04/29/2008		MARGIN INTEREST		\$329.60		
392	05/29/2008		MARGIN INTEREST		\$132.47		
393	06/27/2008		MARGIN INTEREST		\$176.33		
394	07/30/2008		MARGIN INTEREST		\$438.17		
395	08/28/2008		MARGIN INTEREST		\$358.84		
396	09/26/2008		MARGIN INTEREST		\$153.19		
397	10/30/2008		MARGIN INTEREST		\$307.49		
398	11/26/2008		MARGIN INTEREST		\$247.72		
399	12/30/2008		MARGIN INTEREST		\$12.04		
400	01/29/2009		MARGIN INTEREST		\$2.76		
TOTAL FOR INTEREST CHARGES						(\$2,816.83)	

Cash Transactions

Account Name: JOHN D CUSTOMER
 Account Number: ABC-12345

Case Name: CUSTOMER V SECURITIES BROKERAGE
 Date Range: 01/2008 Thru 01/2009

Date	Bot/Rec	Slid/Del	Description	Price	Debit	Credit	Comments
MISCELLANEOUS CHARGES							
401	01/11/2008		FINDSOURCE FEE - QUARTERLY FEE		\$208.45		
402	04/11/2008		FUNDSOURCE FEE - QUARTERLY FEE		\$193.07		
403	07/11/2008		FUNDSOURCE FEE - QUARTERLY FEE		\$123.40		
404	09/19/2008		TERM FUNDSOURCE FEE			\$16.09	ADJUST
405	09/24/2008		EVERGREEN INST MUN MONEY MKT FUND CL I		\$7.50		REDEMPTION FEE
406	12/31/2008		TERMINATION FEE		\$95.00		
TOTAL FOR MISCELLANEOUS CHARGES						(\$611.33)	
MONEY MARKET FUND INCOME							
407	01/02/2008		GOLDMAN SACHS TR FINL SQUARE MMKT FD CL I			\$2.23	DIVIDEND
408	01/04/2008		BANK DEPOSIT SWEEP			\$0.07	DIVIDEND
409	01/15/2008		BANK DEPOSIT SWEEP			\$0.16	DIVIDEND
410	01/23/2008		BANK DEPOSIT SWEEP			\$0.25	DIVIDEND
411	01/30/2008		BANK DEPOSIT SWEEP			\$0.03	DIVIDEND
412	02/01/2008		GOLDMAN SACHS TR FINL SQUARE MMKT FD CL I			\$1.70	DIVIDEND
413	02/04/2008		BANK DEPOSIT SWEEP			\$0.11	DIVIDEND
414	02/13/2008		BANK DEPOSIT SWEEP			\$0.02	DIVIDEND
415	02/25/2008		BANK DEPOSIT SWEEP			\$0.03	DIVIDEND
416	02/27/2008		BANK DEPOSIT SWEEP			\$0.03	DIVIDEND
417	03/03/2008		GOLDMAN SACHS TR FINL SQUARE MMKT FD CL I			\$1.05	DIVIDEND
418	03/04/2008		BANK DEPOSIT SWEEP			\$0.03	DIVIDEND
419	03/12/2008		BANK DEPOSIT SWEEP			\$0.07	DIVIDEND
420	04/01/2008		GOLDMAN SACHS TR FINL SQUARE MMKT FD CL I			\$0.19	DIVIDEND
421	04/04/2008		BANK DEPOSIT SWEEP			\$0.02	DIVIDEND
422	04/23/2008		BANK DEPOSIT SWEEP			\$0.13	DIVIDEND
423	04/30/2008		BANK DEPOSIT SWEEP			\$126.03	DIVIDEND
424	05/05/2008		BANK DEPOSIT SWEEP			\$0.04	DIVIDEND
425	05/14/2008		BANK DEPOSIT SWEEP			\$0.02	DIVIDEND
426	05/19/2008		BANK DEPOSIT SWEEP			\$0.01	DIVIDEND
427	05/29/2008		BANK DEPOSIT SWEEP			\$0.16	DIVIDEND
428	06/04/2008		BANK DEPOSIT SWEEP			\$0.01	DIVIDEND
429	06/11/2008		BANK DEPOSIT SWEEP			\$0.02	DIVIDEND
430	06/26/2008		BANK DEPOSIT SWEEP			\$0.09	DIVIDEND
431	07/07/2008		BANK DEPOSIT SWEEP			\$0.04	DIVIDEND
432	07/11/2008		BANK DEPOSIT SWEEP			\$0.04	DIVIDEND
433	07/24/2008		BANK DEPOSIT SWEEP			\$0.01	DIVIDEND

Cash Transactions

Account Name: JOHN D CUSTOMER
 Account Number: ABC-12345

Case Name: CUSTOMER V SECURITIES BROKERAGE
 Date Range: 01/2008 Thru 01/2009

Date	Bot/Rec	Slid/Del	Description	Price	Debit	Credit	Comments
434	07/31/2008		BANK DEPOSIT SWEEP			\$47.56	DIVIDEND
435	08/04/2008		BANK DEPOSIT SWEEP			\$0.02	DIVIDEND
436	08/12/2008		BANK DEPOSIT SWEEP			\$0.01	DIVIDEND
437	08/14/2008		BANK DEPOSIT SWEEP			\$0.07	DIVIDEND
438	08/31/2008		BANK DEPOSIT SWEEP				DIVIDEND
439	09/02/2008		GOLDMAN SACHS TR FINL SQUARE MMKT FD CL I			\$0.37	DIVIDEND
440	09/04/2008		BANK DEPOSIT SWEEP			\$0.01	DIVIDEND
441	09/22/2008		BANK DEPOSIT SWEEP			\$26.63	DIVIDEND
442	09/25/2008		EVERGREEN INST MUN MONEY MKT FUND CL I			\$10.22	DIVIDEND
443	09/29/2008		BANK DEPOSIT SWEEP			\$0.02	DIVIDEND
444	10/01/2008		GOLDMAN SACHS TR FINL SQUARE MMKT FD CL I			\$0.37	DIVIDEND
445	10/07/2008		BANK DEPOSIT SWEEP			\$0.07	DIVIDEND
446	11/04/2008		BANK DEPOSIT SWEEP			\$0.01	DIVIDEND
447	11/07/2008		BANK DEPOSIT SWEEP			\$0.04	DIVIDEND
448	11/28/2008		BANK DEPOSIT SWEEP			\$0.36	DIVIDEND
449	12/16/2008		BANK DEPOSIT SWEEP			\$2.47	DIVIDEND
TOTAL FOR MONEY MARKET FUND INCOME						\$220.82	
SECURITIES OPEN IN ACCOUNT							
450	12/31/2007	7000.000	OPEN	BANK OF AMERICA 04-3 1A2 MULTICLASS CMO 5.5% 042534	\$100.216	\$7,015.12	VALUED PER 12/31/07 PORT
451	12/31/2007	51.577	OPEN	CALAMOS GROWTH FUND CL A	\$58.650	\$3,024.98	VALUED PER 12/31/07 PORT
452	12/31/2007	5000.000	OPEN	CITICORP MTG 05-3 1A6 SEC INC MULTICLASS CMO CALLABLE 5.5% 042535	\$85.732	\$4,286.60	VALUED PER 12/31/07 PORT
453	12/31/2007	2241.940	OPEN	CLAYMORE SECURITIES CHINA OPPORTUNITIES PORT SER 4 UIT	\$8.980	\$20,132.62	VALUED PER 12/31/07 PORT
454	12/31/2007	0.673	OPEN	CLAYMORE SECURITIES DELTA GLOBAL AGRICULTURE PORT SER 2 UIT	\$10.940	\$7.36	VALUED PER 12/31/07 PORT
455	12/31/2007	1491.974	OPEN	CLAYMORE SECURITIES GLOBAL EXCHANGE & ASSET MGMT PORT SER 3 UIT	\$9.980	\$14,889.90	VALUED PER 12/31/07 PORT
456	12/31/2007	300.000	OPEN	DEERFIELD CAPITAL CORP	\$8.000	\$2,400.00	VALUED PER 12/31/07 PORT
457	12/31/2007	4000.000	OPEN	FHLMC 2873 BB REMIC MULTICLASS CMO 5.5% 041534	\$95.031	\$3,801.24	VALUED PER 12/31/07 PORT
458	12/31/2007	5000.000	OPEN	FHLMC 2881 BB RETAIL LOTTERY BOND REMIC MULTICLASS CMO 5.5% 011534	\$95.612	\$4,780.60	VALUED PER 12/31/07 PORT
459	12/31/2007	5000.000	OPEN	FHLMC 2928 EE RETAIL LOTTERY REMIC MULTICLASS CMO 5.0% 041534	\$90.264	\$4,513.20	VALUED PER 12/31/07 PORT
460	12/31/2007	4000.000	OPEN	FHLMC 2996 HH RETAIL LOTTERY REMIC MULTICLASS CMO 5.0% 101533	\$94.742	\$3,789.68	VALUED PER 12/31/07 PORT
461	12/31/2007	9000.000	OPEN	FHLMC 3029 TA REMIC MULTICLASS CMO 5.0% 091535	\$100.171	\$6,242.00	VALUED PER 12/31/07 PORT
462	12/31/2007	10000.000	OPEN	FHLMC 3075 CB REMIC MULTICLASS CMO 5.5% 051534	\$98.978	\$4,920.85	VALUED PER 12/31/07 PORT
463	12/31/2007	1222.400	OPEN	FIRST TRUST GLOBAL SHIPPING SEL 3 UIT	\$8.290	\$10,133.69	VALUED PER 12/31/07 PORT
464	12/31/2007	21000.000	OPEN	FNMA 92-31M PAC REMIC MULTICLASS CMO 7.75% 032522	\$105.328	\$1,510.01	VALUED PER 12/31/07 PORT

Cash Transactions

Account Name: JOHN D CUSTOMER

Case Name: CUSTOMER V SECURITIES BROKERAGE

Account Number: ABC-12345

Date Range: 01/2008 Thru 01/2009

Date	Bot/Rec	Std/Del	Description	Price	Debit	Credit	Comments
465	12/31/2007	7000.000	OPEN FNMA 93-77L PAC 1 MULTICLASS CMO 7.0% 062523	\$105.131		\$3,761.43	VALUED PER 12/31/07 PORT
466	12/31/2007	34000.000	OPEN FNMA G 92-35E PAC MULTICLASS CMO 7.5% 072522	\$104.597		\$4,146.62	VALUED PER 12/31/07 PORT
467	12/31/2007	199000.000	OPEN FNMA PASS THRU POOL 545882 7.0% 050132	\$104.611		\$13,612.97	VALUED PER 12/31/07 PORT
468	12/31/2007	7000.000	OPEN GNMA 06-56 YD REMIC MULTICLASS CMO 5.5% 042036	\$99.605		\$6,081.06	VALUED PER 12/31/07 PORT
469	12/31/2007	250000.000	OPEN GNMA II PASS THRU POOL 3081 7.0% 052031	\$104.473		\$7,842.10	VALUED PER 12/31/07 PORT
470	12/31/2007	25471.000	OPEN GNMA II PASS THRU POOL 581490 5.5% 021533	\$101.122		\$9,274.31	VALUED PER 12/31/07 PORT
471	12/31/2007	306.975	OPEN GOLDMAN SACHS TR BRIC FUND CL A	\$18.880		\$5,795.68	VALUED PER 12/31/07 PORT
472	12/31/2007	428.884	OPEN HARRIS ASSOC INVT TR OAKMARK EQUITY & INCOME FUND CL I	\$26.880		\$11,528.39	VALUED PER 12/31/07 PORT
473	12/31/2007	100.000	OPEN ISHARES MSCI BRAZIL INDEX	\$80.700		\$8,070.00	VALUED PER 12/31/07 PORT
474	12/31/2007	200.000	OPEN ISHARES MSCI SINGAPORE INDEX	\$13.790		\$2,758.00	VALUED PER 12/31/07 PORT
475	12/31/2007	265.841	OPEN JENNISON NATURAL RESOURCES FUND CL A	\$59.980		\$15,945.14	VALUED PER 12/31/07 PORT
476	12/31/2007	22.746	OPEN LEGG MASON PARTNERS AGGRESSIVE GROWTH FUND CL I	\$122.770		\$2,792.52	VALUED PER 12/31/07 PORT
477	12/31/2007	200.000	OPEN LEHMAN BROS HLDG FLOATING RATE PERPETUAL CALLABLE	\$18.640		\$3,728.00	VALUED PER 12/31/07 PORT
478	12/31/2007	124.360	OPEN NUVEEN INVT TR NWQ MULTI-CAP VALUE FUND CL R	\$21.860		\$2,718.50	VALUED PER 12/31/07 PORT
479	12/31/2007	149.652	OPEN OPPENHEIMER GLOBAL FUND CL Y	\$72.830		\$10,899.15	VALUED PER 12/31/07 PORT
480	12/31/2007	46.258	OPEN THIRD AVENUE TR VALUE TR FUND	\$60.760		\$2,810.63	VALUED PER 12/31/07 PORT
481	12/31/2007	269.775	OPEN THORNBURG INVT TR GLOBAL OPPORTUNITIES FUND CL I	\$19.080		\$5,147.30	VALUED PER 12/31/07 PORT
482	12/31/2007	411.566	OPEN TRANSAMERICA INVS INC PREMIER BALANCED FUND INV SHS	\$27.140		\$11,169.89	VALUED PER 12/31/07 PORT
483	12/31/2007	165.136	OPEN TWEEDY BROWNE GLOBAL VALUE FUND	\$29.910		\$4,939.21	VALUED PER 12/31/07 PORT
TOTAL FOR SECURITIES OPEN IN ACCOUNT						\$224,468.75	
TAXES WITHHELD							
484	12/05/2008		TEVA PHARMACEUTICAL ADR INDS LTD		\$1.84		
TOTAL FOR TAXES WITHHELD						(\$1.84)	

Trade Transactions Chronology

Account Name: JOHN D CUSTOMER

Case Name: CUSTOMER V SECURITIES BROKERAGE

Account Number: ABC-12345

Date Range: 01/2008 Thru 01/2009

Date	Bot/Rec	Std/Del	Description	Price	Debit	Credit	Accr Int	Commission	Comments
1 12/31/2007	7000.000	OPEN	BANK OF AMERICA 04-3 1A2 MULTICLASS CMO 5.5% 042534	\$100.216	\$7,015.12			\$0.00	VALUED PER 12/31/07 PORT
2 12/31/2007	51.577	OPEN	CALAMOS GROWTH FUND CL A	\$58.650	\$3,024.98			\$0.00	VALUED PER 12/31/07 PORT
3 12/31/2007	5000.000	OPEN	CITICORP MTG 05-3 1A6 SEC INC MULTICLASS CMO CALLABLE 5.5% 042535	\$85.732	\$4,286.60			\$0.00	VALUED PER 12/31/07 PORT
4 12/31/2007	2241.940	OPEN	CLAYMORE SECURITIES CHINA OPPORTUNITIES PORT SER 4 UIT	\$8.980	\$20,132.62			\$0.00	VALUED PER 12/31/07 PORT
5 12/31/2007	0.673	OPEN	CLAYMORE SECURITIES DELTA GLOBAL AGRICULTURE PORT SER 2 UIT	\$10.940	\$7.36			\$0.00	VALUED PER 12/31/07 PORT
6 12/31/2007	1491.974	OPEN	CLAYMORE SECURITIES GLOBAL EXCHANGE & ASSET MGMT PORT SER 3 UIT	\$9.980	\$14,889.90			\$0.00	VALUED PER 12/31/07 PORT
7 12/31/2007	300.000	OPEN	DEERFIELD CAPITAL CORP	\$8.000	\$2,400.00			\$0.00	VALUED PER 12/31/07 PORT
8 12/31/2007	4000.000	OPEN	FHLMC 2873 BB REMIC MULTICLASS CMO 5.5% 041534	\$95.031	\$3,801.24			\$0.00	VALUED PER 12/31/07 PORT
9 12/31/2007	5000.000	OPEN	FHLMC 2881 BB RETAIL LOTTERY BOND REMIC MULTICLASS CMO 5.5% 011534	\$95.612	\$4,780.60			\$0.00	VALUED PER 12/31/07 PORT
10 12/31/2007	5000.000	OPEN	FHLMC 2928 EE RETAIL LOTTERY REMIC MULTICLASS CMO 5.0% 041534	\$90.264	\$4,513.20			\$0.00	VALUED PER 12/31/07 PORT
11 12/31/2007	4000.000	OPEN	FHLMC 2996 HH RETAIL LOTTERY REMIC MULTICLASS CMO 5.0% 101533	\$94.742	\$3,789.68			\$0.00	VALUED PER 12/31/07 PORT
12 12/31/2007	9000.000	OPEN	FHLMC 3029 TA REMIC MULTICLASS CMO 5.0% 091535	\$100.171	\$6,242.00			\$0.00	VALUED PER 12/31/07 PORT
13 12/31/2007	10000.000	OPEN	FHLMC 3075 CB REMIC MULTICLASS CMO 5.5% 051534	\$98.978	\$4,920.85			\$0.00	VALUED PER 12/31/07 PORT
14 12/31/2007	1222.400	OPEN	FIRST TRUST GLOBAL SHIPPING SEL 3 UIT	\$8.290	\$10,133.69			\$0.00	VALUED PER 12/31/07 PORT
15 12/31/2007	21000.000	OPEN	FNMA 92-31M PAC REMIC MULTICLASS CMO 7.75% 032522	\$105.328	\$1,510.01			\$0.00	VALUED PER 12/31/07 PORT
16 12/31/2007	7000.000	OPEN	FNMA 93-77L PAC 1 MULTICLASS CMO 7.0% 062523	\$105.131	\$3,761.43			\$0.00	VALUED PER 12/31/07 PORT
17 12/31/2007	34000.000	OPEN	FNMA G 92-35E PAC MULTICLASS CMO 7.5% 072522	\$104.597	\$4,146.62			\$0.00	VALUED PER 12/31/07 PORT
18 12/31/2007	199000.000	OPEN	FNMA PASS THRU POOL 545882 7.0% 050132	\$104.611	\$13,612.97			\$0.00	VALUED PER 12/31/07 PORT
19 12/31/2007	7000.000	OPEN	GNMA 06-56 YD REMIC MULTICLASS CMO 5.5% 042036	\$99.605	\$6,081.06			\$0.00	VALUED PER 12/31/07 PORT
20 12/31/2007	250000.000	OPEN	GNMA II PASS THRU POOL 3081 7.0% 052031	\$104.473	\$7,842.10			\$0.00	VALUED PER 12/31/07 PORT
21 12/31/2007	25471.000	OPEN	GNMA II PASS THRU POOL 581490 5.5% 021533	\$101.122	\$9,274.31			\$0.00	VALUED PER 12/31/07 PORT
22 12/31/2007	306.975	OPEN	GOLDMAN SACHS TR BRIC FUND CL A	\$18.880	\$5,795.68			\$0.00	VALUED PER 12/31/07 PORT
23 12/31/2007	428.884	OPEN	HARRIS ASSOC INVT TR OAKMARK EQUITY & INCOME FUND CL I	\$26.880	\$11,528.39			\$0.00	VALUED PER 12/31/07 PORT
24 12/31/2007	100.000	OPEN	ISHARES MSCI BRAZIL INDEX	\$80.700	\$8,070.00			\$0.00	VALUED PER 12/31/07 PORT
25 12/31/2007	200.000	OPEN	ISHARES MSCI SINGAPORE INDEX	\$13.790	\$2,758.00			\$0.00	VALUED PER 12/31/07 PORT

Trade Transactions Chronology

Account Name: JOHN D CUSTOMER

Case Name: CUSTOMER V SECURITIES BROKERAGE

Account Number: ABC-12345

Date Range: 01/2008 Thru 01/2009

Date	Bot/Rec	Std/Del	Description	Price	Debit	Credit	Accr Int	Commission	Comments
26	12/31/2007	265.841	OPEN JENNISON NATURAL RESOURCES FUND CL A	\$59.980	\$15,945.14			\$0.00	VALUED PER 12/31/07 PORT
27	12/31/2007	22.746	OPEN LEGG MASON PARTNERS AGGRESSIVE GROWTH FUND CL I	\$122.770	\$2,792.52			\$0.00	VALUED PER 12/31/07 PORT
28	12/31/2007	200.000	OPEN LEHMAN BROS HLDG FLOATING RATE PERPETUAL CALLABLE	\$18.640	\$3,728.00			\$0.00	VALUED PER 12/31/07 PORT
29	12/31/2007	124.360	OPEN NUVEEN INVT TR NWQ MULTI-CAP VALUE FUND CL R	\$21.860	\$2,718.50			\$0.00	VALUED PER 12/31/07 PORT
30	12/31/2007	149.652	OPEN OPPENHEIMER GLOBAL FUND CL Y	\$72.830	\$10,899.15			\$0.00	VALUED PER 12/31/07 PORT
31	12/31/2007	46.258	OPEN THIRD AVENUE TR VALUE TR FUND	\$60.760	\$2,810.63			\$0.00	VALUED PER 12/31/07 PORT
32	12/31/2007	269.775	OPEN THORNBURG INVT TR GLOBAL OPPORTUNITIES FUND CL I	\$19.080	\$5,147.30			\$0.00	VALUED PER 12/31/07 PORT
33	12/31/2007	411.566	OPEN TRANSAMERICA INVS INC PREMIER BALANCED FUND INV SHS	\$27.140	\$11,169.89			\$0.00	VALUED PER 12/31/07 PORT
34	12/31/2007	165.136	OPEN TWEEDY BROWNE GLOBAL VALUE FUND	\$29.910	\$4,939.21			\$0.00	VALUED PER 12/31/07 PORT
35	01/02/2008	15.368	TRANSAMERICA INVS INC PREMIER BALANCED FUND INV SHS	\$27.340	\$420.15			\$0.00	REINVEST
36	01/02/2008	5.736	TRANSAMERICA INVS INC PREMIER BALANCED FUND INV SHS	\$27.340	\$156.81			\$0.00	REINVEST
37	01/02/2008	15.879	TWEEDY BROWNE GLOBAL VALUE FUND	\$29.910	\$474.93			\$0.00	REINVEST
38	01/02/2008	2.628	TWEEDY BROWNE GLOBAL VALUE FUND	\$29.910	\$78.60			\$0.00	REINVEST
39	01/03/2008		0.000 CLAYMORE SECURITIES CHINA OPPORTUNITIES PORT SER 4 UIT			\$9.64		\$0.00	ROP/ROC
40	01/03/2008	1.107	CLAYMORE SECURITIES CHINA OPPORTUNITIES PORT SER 4 UIT	\$8.707	\$9.64			\$0.00	REINVEST
41	01/15/2008		0.000 FHLMC 3029 TA REMIC MULTICLASS CMO 5.0% 091535			\$7.75		\$0.00	ROP/ROC
42	01/15/2008		0.000 GNMA II PASS THRU POOL 581490 5.5% 021533			\$17.83		\$0.00	ROP/ROC
43	01/15/2008	200.000	LEHMAN BROS HLDG FLOATING RATE PERPETUAL CALLABLE	\$21.420		\$4,163.93		\$120.07	COMM CALC (Bonds @ 2.5%)
44	01/17/2008	306.975	GOLDMAN SACHS TR BRIC FUND CL A	\$17.320		\$5,316.81		\$0.00	
45	01/17/2008	100.000	ISHARES MSCI BRAZIL INDEX	\$69.640		\$6,863.89		\$100.11	COMM CALC (Bonds @ 2.5%)
46	01/17/2008	200.000	ISHARES MSCI SINGAPORE INDEX	\$12.093		\$2,318.52		\$100.04	COMM CALC (Bonds @ 2.5%)
47	01/22/2008		0.000 GNMA 06-56 YD REMIC MULTICLASS CMO 5.5% 042036			\$161.50		\$0.00	ROP/ROC
48	01/22/2008		0.000 GNMA II PASS THRU POOL 3081 7.0% 052031			\$157.33		\$0.00	ROP/ROC
49	01/23/2008	200.000	DEERFIELD CAPITAL CORP	\$7.280		\$1,385.38		\$70.62	COMM CALC (Bonds @ 2.5%)
50	01/23/2008	100.000	DEERFIELD CAPITAL CORP	\$7.270		\$691.73		\$35.27	COMM CALC (Bonds @ 2.5%)
51	01/23/2008	1222.000	FIRST TRUST GLOBAL SHIPPING SEL 3 UIT	\$6.639		\$8,112.98		\$0.00	
52	01/23/2008	0.400	FIRST TRUST GLOBAL SHIPPING SEL 3 UIT	\$6.639		\$2.66		\$0.00	

Trade Transactions Chronology

Account Name: JOHN D CUSTOMER

Case Name: CUSTOMER V SECURITIES BROKERAGE

Account Number: ABC-12345

Date Range: 01/2008 Thru 01/2009

Date	Bot/Rec	Sld/Del	Description	Price	Debit	Credit	Accr Int	Commission	Comments
53	01/25/2008		0.000 FNMA 92-31M PAC REMIC MULTICLASS CMO 7.75% 032522			\$36.46		\$0.00	ROP/ROC
54	01/25/2008		0.000 FNMA 93-77L PAC 1 MULTICLASS CMO 7.0% 062523			\$56.99		\$0.00	ROP/ROC
55	01/25/2008		0.000 FNMA G 92-35E PAC MULTICLASS CMO 7.5% 072522			\$39.24		\$0.00	ROP/ROC
56	01/25/2008		0.000 FNMA PASS THRU POOL 545882 7.0% 050132			\$163.74		\$0.00	ROP/ROC
57	01/28/2008	75.000	CHICAGO BRIDGE AND IRON - NEW YORK SHARES	\$46.280	\$3,575.01			\$104.01	COMM CALC (Bonds @ 2.5%)
58	01/28/2008	50.000	CHINA MOBILE LTD SPONS ADR	\$76.550	\$3,937.50			\$110.00	COMM CALC (Bonds @ 2.5%)
59	01/28/2008	0.542	CLAYMORE SECURITIES CHINA OPPORTUNITIES PORT SER 4 UIT	\$7.459	\$4.04			\$0.00	REINVEST
60	01/28/2008	0.645	CLAYMORE SECURITIES GLOBAL EXCHANGE & ASSET MGMT PORT SER 3 UIT	\$8.559	\$5.52			\$0.00	REINVEST
61	01/28/2008	100.000	HEXCEL CORP NEW	\$20.900	\$2,184.99			\$95.00	COMM CALC (Bonds @ 2.5%)
62	01/28/2008	100.000	ISHARES MSCI SINGAPORE INDEX	\$12.367	\$1,331.72			\$95.00	COMM CALC (Bonds @ 2.5%)
63	01/28/2008	50.000	MECHEL OAO SPONS ADR	\$89.850	\$4,602.50			\$110.00	COMM CALC (Bonds @ 2.5%)
64	01/31/2008	150.000	CLAYMORE CHINA SMALL ETF CAP INDEX	\$25.150	\$3,882.50			\$110.00	COMM CALC (Bonds @ 2.5%)
65	01/31/2008	50.000	MECHEL OAO SPONS ADR	\$89.600	\$4,590.00			\$110.01	COMM CALC (Bonds @ 2.5%)
66	01/31/2008	100.000	SHIP FINANCE INTL LTD	\$26.160	\$2,711.00			\$95.00	COMM CALC (Bonds @ 2.5%)
67	02/04/2008	100.000	MECHEL OAO SPONS ADR	\$96.828		\$9,572.65		\$110.11	COMM CALC (Bonds @ 2.5%)
68	02/15/2008		0.000 FHLMC 3029 TA REMIC MULTICLASS CMO 5.0% 091535			\$7.78		\$0.00	ROP/ROC
69	02/15/2008		0.000 GNMA II PASS THRU POOL 581490 5.5% 021533			\$18.25		\$0.00	ROP/ROC
70	02/20/2008		0.000 GNMA 06-56 YD REMIC MULTICLASS CMO 5.5% 042036			\$127.02		\$0.00	ROP/ROC
71	02/20/2008		0.000 GNMA II PASS THRU POOL 3081 7.0% 052031			\$60.17		\$0.00	ROP/ROC
72	02/25/2008		0.000 FNMA 92-31M PAC REMIC MULTICLASS CMO 7.75% 032522			\$11.29		\$0.00	ROP/ROC
73	02/25/2008		0.000 FNMA 93-77L PAC 1 MULTICLASS CMO 7.0% 062523			\$40.30		\$0.00	ROP/ROC
74	02/25/2008		0.000 FNMA G 92-35E PAC MULTICLASS CMO 7.5% 072522			\$36.40		\$0.00	ROP/ROC
75	02/25/2008		0.000 FNMA PASS THRU POOL 545882 7.0% 050132			\$224.36		\$0.00	ROP/ROC
76	02/26/2008	0.490	CLAYMORE SECURITIES CHINA OPPORTUNITIES PORT SER 4 UIT	\$7.780	\$3.81			\$0.00	REINVEST
77	02/26/2008	0.673	CLAYMORE SECURITIES DELTA GLOBAL AGRICULTURE PORT SER 2 UIT	\$11.492		\$7.73		\$0.00	
78	02/26/2008	1.360	CLAYMORE SECURITIES GLOBAL EXCHANGE & ASSET MGMT PORT SER 3 UIT	\$8.560	\$11.64			\$0.00	REINVEST

Trade Transactions Chronology

Account Name: JOHN D CUSTOMER

Case Name: CUSTOMER V SECURITIES BROKERAGE

Account Number: ABC-12345

Date Range: 01/2008 Thru 01/2009

Date	Bot/Rec	Std/Del	Description	Price	Debit	Credit	Accr Int	Commission	Comments
503	01/07/2009	DELV	300.000	DB CONT CAP TR 6.55% NON-CUM PERP PFD CALL 052317	\$16.710	\$5,013.00		\$0.00	BLOOMBERG CLOSE
504	01/07/2009	DELV	50.000	EXXON MOBIL CORP	\$78.250	\$3,912.50		\$0.00	BLOOMBERG CLOSE
505	01/07/2009	DELV	50000.000	FHLMC 1505Q SCH MULTICLASS CMO 7.0% 051523	\$105.815	\$5,188.25		\$0.00	VALUED PER STMT TRANS
506	01/07/2009	DELV	20000.000	FHLMC 2036 PD MULTICLASS CMO REMIC 6.5% 031528	\$104.291	\$13,022.00		\$0.00	VALUED PER STMT TRANS
507	01/07/2009	DELV	9000.000	FHLMC 2857 X REMIC MULTICLASS CMO SUPPORT BOND 5.5% 091534	\$96.230	\$8,357.07		\$0.00	VALUED PER STMT TRANS
508	01/07/2009	DELV	38000.000	FNMA 92-131KB PAC REMIC MULTICLASS CMO 8.0% 082522	\$108.431	\$4,806.99		\$0.00	VALUED PER STMT TRANS
509	01/07/2009	DELV	21000.000	FNMA 92-31M PAC REMIC MULTICLASS CMO 7.75% 032522	\$107.956	\$1,291.86		\$0.00	VALUED PER STMT TRANS
510	01/07/2009	DELV	7000.000	FNMA 93-77L PAC 1 MULTICLASS CMO 7.0% 062523	\$105.891	\$3,308.00		\$0.00	VALUED PER STMT TRANS
511	01/07/2009	DELV	34000.000	FNMA G 92-35E PAC MULTICLASS CMO 7.5% 072522	\$107.966	\$3,606.67		\$0.00	VALUED PER STMT TRANS
512	01/07/2009	DELV	199000.000	FNMA PASS THRU POOL 545882 7.0% 050132	\$105.182	\$11,646.56		\$0.00	VALUED PER STMT TRANS
513	01/07/2009	DELV	150.000	GENERAL ELECTRIC CO	\$16.110	\$2,416.50		\$0.00	BLOOMBERG CLOSE
514	01/07/2009	DELV	10000.000	GNMA 07-2 BA REMIC MULTICLASS CMO 5.5% 062035	\$97.576	\$5,918.72		\$0.00	VALUED PER STMT TRANS
515	01/07/2009	DELV	250000.000	GNMA II PASS THRU POOL 3081 7.0% 052031	\$104.289	\$6,512.02		\$0.00	VALUED PER STMT TRANS
516	01/07/2009	DELV	107.486	JENNISON NATURAL RESOURCES FUND CL A	\$27.460	\$2,951.57		\$0.00	BLOOMBERG CLOSE
517	01/07/2009	DELV	395.883	KEELEY FUNDS SMALL CAP VALUE FUND CL A	\$16.520	\$6,539.99		\$0.00	BLOOMBERG CLOSE
518	01/07/2009	DELV	200.000	NUCOR CORP	\$43.730	\$8,746.00		\$0.00	BLOOMBERG CLOSE
519	01/07/2009	DELV	103.000	PENN VIRGINIA RESOURCE PARTNERS	\$13.290	\$1,368.87		\$0.00	BLOOMBERG CLOSE
520	01/07/2009	DELV	400.000	PETROLEO BRASILEIRO SPN ADR - PETROBRAS	\$25.930	\$10,372.00		\$0.00	BLOOMBERG CLOSE
521	01/07/2009	DELV	150.000	PIONEER SOUTHWEST ENERGY PARTNERS LP	\$14.450	\$2,167.50		\$0.00	BLOOMBERG CLOSE
522	01/07/2009	DELV	358.000	POWERSHARES ETF PREFERRED PORTFOLIO	\$13.700	\$4,904.60		\$0.00	BLOOMBERG CLOSE
523	01/07/2009	DELV	350.000	SEMPRA ENERGY	\$43.000	\$15,050.00		\$0.00	BLOOMBERG CLOSE
524	01/07/2009	DELV	300.000	SWIFT ENERGY CO	\$19.860	\$5,958.00		\$0.00	BLOOMBERG CLOSE
525	01/07/2009	DELV	210.000	TARGA RESRCES PRTRNS LP	\$9.120	\$1,915.20		\$0.00	BLOOMBERG CLOSE
526	01/07/2009	DELV	796.000	VANGUARD NATURAL RESOURCES LLC	\$7.050	\$5,611.80		\$0.00	BLOOMBERG CLOSE
527	01/07/2009	DELV	250.000	WINDSTREAM CORP	\$8.990	\$2,247.50		\$0.00	BLOOMBERG CLOSE
528	01/09/2009	DELV	250.000	BANK OF AMERICA 8.625% NON CUMULATIVE PFD CALL 052813	\$21.550	\$5,387.50		\$0.00	BLOOMBERG CLOSE
529	01/15/2009		0.000	FHLMC 1505Q SCH MULTICLASS CMO 7.0% 051523		\$40.77		\$0.00	ROP/ROC

Trade Transactions Chronology

Account Name: JOHN D CUSTOMER
 Account Number: ABC-12345

Case Name: CUSTOMER V SECURITIES BROKERAGE
 Date Range: 01/2008 Thru 01/2009

Date	Bot/Rec	Sld/Del	Description	Price	Debit	Credit	Accr Int	Commission	Comments	
530	01/15/2009		0.000 FHLMC 2036 PD MULTICLASS CMO REMIC 6.5% 031528			\$119.84		\$0.00	ROP/ROC	
531	01/20/2009		0.000 GNMA 07-2 BA REMIC MULTICLASS CMO 5.5% 062035			\$91.56		\$0.00	ROP/ROC	
532	01/20/2009		0.000 GNMA II PASS THRU POOL 3081 7.0% 052031			\$146.61		\$0.00	ROP/ROC	
533	01/26/2009		0.000 FNMA 92-131KB PAC REMIC MULTICLASS CMO 8.0% 082522			\$101.45		\$0.00	ROP/ROC	
534	01/26/2009		0.000 FNMA 92-31M PAC REMIC MULTICLASS CMO 7.75% 032522			\$6.68		\$0.00	ROP/ROC	
535	01/26/2009		0.000 FNMA 93-77L PAC 1 MULTICLASS CMO 7.0% 062523			\$53.67		\$0.00	ROP/ROC	
536	01/26/2009		0.000 FNMA G 92-35E PAC MULTICLASS CMO 7.5% 072522			\$39.29		\$0.00	ROP/ROC	
537	01/26/2009		0.000 FNMA PASS THRU POOL 545882 7.0% 050132			\$100.40		\$0.00	ROP/ROC	
					NET TRADING RESULT:		(\$145,897.02)		\$21,358.78	

Note: U = Unsolicited

Cash Transactions Chronology

Account Name: JOHN D CUSTOMER

Case Name: CUSTOMER V SECURITIES BROKERAGE

Account Number: ABC-12345

Date Range: 01/2008 Thru 01/2009

Date	Bot/Rec	Slid/Del	Description	Price	Debit	Credit	Comments
1 12/31/2007	250000.000	OPEN	GNMA II PASS THRU POOL 3081 7.0% 052031	\$104.473		\$7,842.10	VALUED PER 12/31/07 PORT
2 12/31/2007	199000.000	OPEN	FNMA PASS THRU POOL 545882 7.0% 050132	\$104.611		\$13,612.97	VALUED PER 12/31/07 PORT
3 12/31/2007	34000.000	OPEN	FNMA G 92-35E PAC MULTICLASS CMO 7.5% 072522	\$104.597		\$4,146.62	VALUED PER 12/31/07 PORT
4 12/31/2007	25471.000	OPEN	GNMA II PASS THRU POOL 581490 5.5% 021533	\$101.122		\$9,274.31	VALUED PER 12/31/07 PORT
5 12/31/2007	21000.000	OPEN	FNMA 92-31M PAC REMIC MULTICLASS CMO 7.75% 032522	\$105.328		\$1,510.01	VALUED PER 12/31/07 PORT
6 12/31/2007	10000.000	OPEN	FHLMC 3075 CB REMIC MULTICLASS CMO 5.5% 051534	\$98.978		\$4,920.85	VALUED PER 12/31/07 PORT
7 12/31/2007	9000.000	OPEN	FHLMC 3029 TA REMIC MULTICLASS CMO 5.0% 091535	\$100.171		\$6,242.00	VALUED PER 12/31/07 PORT
8 12/31/2007	7000.000	OPEN	FNMA 93-77L PAC 1 MULTICLASS CMO 7.0% 062523	\$105.131		\$3,761.43	VALUED PER 12/31/07 PORT
9 12/31/2007	7000.000	OPEN	GNMA 06-56 YD REMIC MULTICLASS CMO 5.5% 042036	\$99.605		\$6,081.06	VALUED PER 12/31/07 PORT
10 12/31/2007	7000.000	OPEN	BANK OF AMERICA 04-3 1A2 MULTICLASS CMO 5.5% 042534	\$100.216		\$7,015.12	VALUED PER 12/31/07 PORT
11 12/31/2007	5000.000	OPEN	FHLMC 2881 BB RETAIL LOTTERY BOND REMIC MULTICLASS CMO 5.5% 011534	\$95.612		\$4,780.60	VALUED PER 12/31/07 PORT
12 12/31/2007	5000.000	OPEN	CITICORP MTG 05-3 1A6 SEC INC MULTICLASS CMO CALLABLE 5.5% 042535	\$85.732		\$4,286.60	VALUED PER 12/31/07 PORT
13 12/31/2007	5000.000	OPEN	FHLMC 2928 EE RETAIL LOTTERY REMIC MULTICLASS CMO 5.0% 041534	\$90.264		\$4,513.20	VALUED PER 12/31/07 PORT
14 12/31/2007	4000.000	OPEN	FHLMC 2996 HH RETAIL LOTTERY REMIC MULTICLASS CMO 5.0% 101533	\$94.742		\$3,789.68	VALUED PER 12/31/07 PORT
15 12/31/2007	4000.000	OPEN	FHLMC 2873 BB REMIC MULTICLASS CMO 5.5% 041534	\$95.031		\$3,801.24	VALUED PER 12/31/07 PORT
16 12/31/2007	2241.940	OPEN	CLAYMORE SECURITIES CHINA OPPORTUNITIES PORT SER 4 UIT	\$8.980		\$20,132.62	VALUED PER 12/31/07 PORT
17 12/31/2007	1491.974	OPEN	CLAYMORE SECURITIES GLOBAL EXCHANGE & ASSET MGMT PORT SER 3 UIT	\$9.980		\$14,889.90	VALUED PER 12/31/07 PORT
18 12/31/2007	1222.400	OPEN	FIRST TRUST GLOBAL SHIPPING SEL 3 UIT	\$8.290		\$10,133.69	VALUED PER 12/31/07 PORT
19 12/31/2007	428.884	OPEN	HARRIS ASSOC INVT TR OAKMARK EQUITY & INCOME FUND CL I	\$26.880		\$11,528.39	VALUED PER 12/31/07 PORT
20 12/31/2007	411.566	OPEN	TRANSAMERICA INVS INC PREMIER BALANCED FUND INV SHS	\$27.140		\$11,169.89	VALUED PER 12/31/07 PORT
21 12/31/2007	306.975	OPEN	GOLDMAN SACHS TR BRIC FUND CL A	\$18.880		\$5,795.68	VALUED PER 12/31/07 PORT
22 12/31/2007	300.000	OPEN	DEERFIELD CAPITAL CORP	\$8.000		\$2,400.00	VALUED PER 12/31/07 PORT
23 12/31/2007	269.775	OPEN	THORNBURG INVT TR GLOBAL OPPORTUNITIES FUND CL I	\$19.080		\$5,147.30	VALUED PER 12/31/07 PORT
24 12/31/2007	265.841	OPEN	JENNISON NATURAL RESOURCES FUND CL A	\$59.980		\$15,945.14	VALUED PER 12/31/07 PORT
25 12/31/2007	200.000	OPEN	ISHARES MSCI SINGAPORE INDEX	\$13.790		\$2,758.00	VALUED PER 12/31/07 PORT
26 12/31/2007	200.000	OPEN	LEHMAN BROS HLDG FLOATING RATE PERPETUAL CALLABLE	\$18.640		\$3,728.00	VALUED PER 12/31/07 PORT
27 12/31/2007	165.136	OPEN	TWEEDY BROWNE GLOBAL VALUE FUND	\$29.910		\$4,939.21	VALUED PER 12/31/07 PORT
28 12/31/2007	149.652	OPEN	OPPENHEIMER GLOBAL FUND CL Y	\$72.830		\$10,899.15	VALUED PER 12/31/07 PORT
29 12/31/2007	124.360	OPEN	NUVEEN INVT TR NWQ MULTI-CAP VALUE FUND CL R	\$21.860		\$2,718.50	VALUED PER 12/31/07 PORT
30 12/31/2007	100.000	OPEN	ISHARES MSCI BRAZIL INDEX	\$80.700		\$8,070.00	VALUED PER 12/31/07 PORT

Cash Transactions Chronology

Account Name: JOHN D CUSTOMER
Account Number: ABC-12345

Case Name: CUSTOMER V SECURITIES BROKERAGE
Date Range: 01/2008 Thru 01/2009

Date	Bot/Rec	Slid/Del	Description	Price	Debit	Credit	Comments
31	12/31/2007	51.577	OPEN CALAMOS GROWTH FUND CL A	\$58.650		\$3,024.98	VALUED PER 12/31/07 PORT
32	12/31/2007	46.258	OPEN THIRD AVENUE TR VALUE TR FUND	\$60.760		\$2,810.63	VALUED PER 12/31/07 PORT
33	12/31/2007	22.746	OPEN LEGG MASON PARTNERS AGGRESSIVE GROWTH FUND CL I	\$122.770		\$2,792.52	VALUED PER 12/31/07 PORT
34	12/31/2007	0.673	OPEN CLAYMORE SECURITIES DELTA GLOBAL AGRICULTURE PORT SER 2 UIT	\$10.940		\$7.36	VALUED PER 12/31/07 PORT
35	12/31/2007		BEGINNING CASH BALANCE		\$31,121.24		
36	01/02/2008		TRANSAMERICA INVS INC PREMIER BALANCED FUND INV SHS			\$156.81	DIVIDEND
37	01/02/2008		ISHARES MSCI SINGAPORE INDEX			\$101.89	DIVIDEND
38	01/02/2008		TWEEDY BROWNE GLOBAL VALUE FUND			\$474.93	DIVIDEND LTG
39	01/02/2008		TWEEDY BROWNE GLOBAL VALUE FUND			\$78.60	DIVIDEND
40	01/02/2008		TRANSAMERICA INVS INC PREMIER BALANCED FUND INV SHS			\$420.15	DIVIDEND LTG
41	01/02/2008		GOLDMAN SACHS TR FINL SQUARE MMKT FD CL I			\$2.23	DIVIDEND
42	01/03/2008		ISHARES MSCI BRAZIL INDEX			\$30.05	DIVIDEND
43	01/03/2008		US TREASURY 303 SOC SEC			\$1,066.90	
44	01/04/2008		ISHARES MSCI BRAZIL INDEX			\$77.43	DIVIDEND
45	01/04/2008		BANK DEPOSIT SWEEP			\$0.07	DIVIDEND
46	01/04/2008		ISHARES MSCI BRAZIL INDEX			\$12.83	DIVIDEND STG
47	01/11/2008		FINDSOURCE FEE - QUARTERLY FEE		\$208.45		
48	01/14/2008		DEPOSIT			\$1,618.75	
49	01/14/2008		DEPOSIT			\$1,312.50	
50	01/15/2008		FHLMC 2881 BB RETAIL LOTTERY BOND REMIC MULTICLASS CMO 5.5% 011534			\$22.92	INTEREST
51	01/15/2008		BANK DEPOSIT SWEEP			\$0.16	DIVIDEND
52	01/15/2008		LEHMAN BROS HLDG FLOATING RATE PERPETUAL CALLABLE			\$23.27	DIVIDEND
53	01/15/2008		FHLMC 2873 BB REMIC MULTICLASS CMO 5.5% 041534			\$18.33	INTEREST
54	01/15/2008		FHLMC 3029 TA REMIC MULTICLASS CMO 5.0% 091535			\$25.96	INTEREST
55	01/15/2008		FHLMC 2928 EE RETAIL LOTTERY REMIC MULTICLASS CMO 5.0% 041534			\$20.83	INTEREST
56	01/15/2008		FHLMC 2996 HH RETAIL LOTTERY REMIC MULTICLASS CMO 5.0% 101533			\$16.67	INTEREST
57	01/15/2008		GNMA II PASS THRU POOL 581490 5.5% 021533			\$42.04	INTEREST
58	01/15/2008		FHLMC 3075 CB REMIC MULTICLASS CMO 5.5% 051534			\$22.79	INTEREST
59	01/22/2008		GNMA 06-56 YD REMIC MULTICLASS CMO 5.5% 042036			\$27.98	INTEREST
60	01/22/2008		DEPOSIT			\$7,303.24	
61	01/22/2008		GNMA II PASS THRU POOL 3081 7.0% 052031			\$43.79	INTEREST

Cash Transactions Chronology

Account Name: JOHN D CUSTOMER
 Account Number: ABC-12345

Case Name: CUSTOMER V SECURITIES BROKERAGE
 Date Range: 01/2008 Thru 01/2009

Date	Bot/Rec	Slid/Del	Description	Price	Debit	Credit	Comments
62	01/23/2008		BANK DEPOSIT SWEEP			\$0.25	DIVIDEND
63	01/25/2008		BANK OF AMERICA 04-3 1A2 MULTICLASS CMO 5.5% 042534			\$32.08	INTEREST
64	01/25/2008		FNMA G 92-35E PAC MULTICLASS CMO 7.5% 072522			\$24.78	INTEREST
65	01/25/2008		FNMA PASS THRU POOL 545882 7.0% 050132			\$75.91	INTEREST
66	01/25/2008		CITICORP MTG 05-3 1A6 SEC INC MULTICLASS CMO CALLABLE 5.5% 042535			\$22.92	INTEREST
67	01/25/2008		FNMA 92-31M PAC REMIC MULTICLASS CMO 7.75% 032522			\$9.26	INTEREST
68	01/25/2008		FNMA 93-77L PAC 1 MULTICLASS CMO 7.0% 062523			\$20.87	INTEREST
69	01/28/2008		CLAYMORE SECURITIES GLOBAL EXCHANGE & ASSET MGMT PORT SER 3 UIT			\$5.52	DIVIDEND
70	01/28/2008		CLAYMORE SECURITIES CHINA OPPORTUNITIES PORT SER 4 UIT			\$4.04	DIVIDEND
71	01/29/2008		DEPOSIT			\$787.14	
72	01/29/2008		DEERFIELD CAPITAL CORP			\$126.00	DIVIDEND
73	01/30/2008		MARGIN INTEREST		\$263.20		
74	01/30/2008		BANK DEPOSIT SWEEP			\$0.03	DIVIDEND
75	01/31/2008		MONTHLY CHECKING ACTIVITY		\$12,121.59		
76	01/31/2008		MONTHLY ETF ACTIVITY		\$740.39		
77	02/01/2008		GOLDMAN SACHS TR FINL SQUARE MMKT FD CL I			\$1.70	DIVIDEND
78	02/01/2008		US TREASURY 303 SOC SEC			\$1,066.90	
79	02/04/2008		BANK DEPOSIT SWEEP			\$0.11	DIVIDEND
80	02/12/2008		DEPOSIT			\$1,480.89	
81	02/13/2008		BANK DEPOSIT SWEEP			\$0.02	DIVIDEND
82	02/15/2008		FHLMC 3029 TA REMIC MULTICLASS CMO 5.0% 091535			\$25.93	INTEREST
83	02/15/2008		GNMA II PASS THRU POOL 581490 5.5% 021533			\$41.95	INTEREST
84	02/15/2008		FHLMC 2996 HH RETAIL LOTTERY REMIC MULTICLASS CMO 5.0% 101533			\$16.67	INTEREST
85	02/15/2008		FHLMC 2928 EE RETAIL LOTTERY REMIC MULTICLASS CMO 5.0% 041534			\$20.83	INTEREST
86	02/15/2008		FHLMC 3075 CB REMIC MULTICLASS CMO 5.5% 051534			\$22.79	INTEREST
87	02/15/2008		FHLMC 2881 BB RETAIL LOTTERY BOND REMIC MULTICLASS CMO 5.5% 011534			\$22.92	INTEREST
88	02/15/2008		FHLMC 2873 BB REMIC MULTICLASS CMO 5.5% 041534			\$18.33	INTEREST
89	02/20/2008		GNMA II PASS THRU POOL 3081 7.0% 052031			\$42.87	INTEREST
90	02/20/2008		GNMA 06-56 YD REMIC MULTICLASS CMO 5.5% 042036			\$27.24	INTEREST
91	02/22/2008		DEPOSIT			\$110.19	

Cash Transactions Chronology

Account Name: JOHN D CUSTOMER
 Account Number: ABC-12345

Case Name: CUSTOMER V SECURITIES BROKERAGE
 Date Range: 01/2008 Thru 01/2009

Date	Bot/Rec	Slid/Del	Description	Price	Debit	Credit	Comments
463	01/09/2009		TRANSFER CASH BALANCE		\$41.99		
464	01/09/2009		TRANSFER CASH BALANCE		\$1,147.04		
465	01/15/2009		FHLMC 2857 X REMIC MULTICLASS CMO SUPPORT BOND 5.5% 091534			\$39.80	INTEREST
466	01/15/2009		FHLMC 2036 PD MULTICLASS CMO REMIC 6.5% 031528			\$67.63	INTEREST
467	01/15/2009		SEMPRA ENERGY			\$122.50	DIVIDEND
468	01/15/2009		FHLMC 1505Q SCH MULTICLASS CMO 7.0% 051523			\$28.60	DIVIDEND
469	01/15/2009		WINDSTREAM CORP			\$62.50	DIVIDEND
470	01/20/2009		TRANSFER CASH BALANCE		\$481.64		
471	01/20/2009		GNMA II PASS THRU POOL 3081 7.0% 052031			\$36.42	INTEREST
472	01/20/2009		GNMA 07-2 BA REMIC MULTICLASS CMO 5.5% 062035			\$27.80	INTEREST
473	01/22/2009		TRANSFER CASH BALANCE		\$302.39		
474	01/23/2009		ALLIANCEBERSTEIN INC FUND			\$12.50	DIVIDEND
475	01/23/2009		ALLIANCEBERSTEIN INC FUND			\$25.00	DIVIDEND
476	01/26/2009		COUNTRYWIDE 04-4 A8 MULTICLASS CMO 5.5% 052534			\$27.94	INTEREST
477	01/26/2009		FNMA 92-131KB PAC REMIC MULTICLASS CMO 8.0% 082522			\$29.55	INTEREST
478	01/26/2009		FNMA G 92-35E PAC MULTICLASS CMO 7.5% 072522			\$20.88	INTEREST
479	01/26/2009		FNMA PASS THRU POOL 545882 7.0% 050132			\$64.59	INTEREST
480	01/26/2009		FNMA 93-77L PAC 1 MULTICLASS CMO 7.0% 062523			\$18.22	INTEREST
481	01/26/2009		GENERAL ELECTRIC CO			\$46.50	DIVIDEND
482	01/26/2009		FNMA 92-31M PAC REMIC MULTICLASS CMO 7.75% 032522			\$7.73	INTEREST
483	01/27/2009		TRANSFER CASH BALANCE		\$37.50		
484	01/29/2009		MARGIN INTEREST		\$2.76		
485	01/29/2009		TRANSFER CASH BALANCE		\$516.90		

Income Summary

Account Name: JOHN D CUSTOMER
 Account Number: ABC-12345

Case Name: CUSTOMER V SECURITIES BROKERAGE
 Date Range: 01/2008 Thru 01/2009

Date	Description	Debit	Credit	Total
1 01/02/2008	GOLDMAN SACHS TR FINL SQUARE MMKT FD CL I	DIVIDEND	\$2.23	
2 01/04/2008	BANK DEPOSIT SWEEP	DIVIDEND	\$0.07	
3 01/15/2008	BANK DEPOSIT SWEEP	DIVIDEND	\$0.16	
4 01/23/2008	BANK DEPOSIT SWEEP	DIVIDEND	\$0.25	
5 01/30/2008	BANK DEPOSIT SWEEP	DIVIDEND	\$0.03	
6 02/01/2008	GOLDMAN SACHS TR FINL SQUARE MMKT FD CL I	DIVIDEND	\$1.70	
7 02/04/2008	BANK DEPOSIT SWEEP	DIVIDEND	\$0.11	
8 02/13/2008	BANK DEPOSIT SWEEP	DIVIDEND	\$0.02	
9 02/25/2008	BANK DEPOSIT SWEEP	DIVIDEND	\$0.03	
10 02/27/2008	BANK DEPOSIT SWEEP	DIVIDEND	\$0.03	
11 03/03/2008	GOLDMAN SACHS TR FINL SQUARE MMKT FD CL I	DIVIDEND	\$1.05	
12 03/04/2008	BANK DEPOSIT SWEEP	DIVIDEND	\$0.03	
13 03/12/2008	BANK DEPOSIT SWEEP	DIVIDEND	\$0.07	
14 04/01/2008	GOLDMAN SACHS TR FINL SQUARE MMKT FD CL I	DIVIDEND	\$0.19	
15 04/04/2008	BANK DEPOSIT SWEEP	DIVIDEND	\$0.02	
16 04/23/2008	BANK DEPOSIT SWEEP	DIVIDEND	\$0.13	
17 04/30/2008	BANK DEPOSIT SWEEP	DIVIDEND	\$126.03	
18 05/05/2008	BANK DEPOSIT SWEEP	DIVIDEND	\$0.04	
19 05/14/2008	BANK DEPOSIT SWEEP	DIVIDEND	\$0.02	
20 05/19/2008	BANK DEPOSIT SWEEP	DIVIDEND	\$0.01	
21 05/29/2008	BANK DEPOSIT SWEEP	DIVIDEND	\$0.16	
22 06/04/2008	BANK DEPOSIT SWEEP	DIVIDEND	\$0.01	
23 06/11/2008	BANK DEPOSIT SWEEP	DIVIDEND	\$0.02	
24 06/26/2008	BANK DEPOSIT SWEEP	DIVIDEND	\$0.09	
25 07/07/2008	BANK DEPOSIT SWEEP	DIVIDEND	\$0.04	
26 07/11/2008	BANK DEPOSIT SWEEP	DIVIDEND	\$0.04	
27 07/24/2008	BANK DEPOSIT SWEEP	DIVIDEND	\$0.01	
28 07/31/2008	BANK DEPOSIT SWEEP	DIVIDEND	\$47.56	
29 08/04/2008	BANK DEPOSIT SWEEP	DIVIDEND	\$0.02	
30 08/12/2008	BANK DEPOSIT SWEEP	DIVIDEND	\$0.01	
31 08/14/2008	BANK DEPOSIT SWEEP	DIVIDEND	\$0.07	

Income Summary

Account Name: JOHN D CUSTOMER
 Account Number: ABC-12345

Case Name: CUSTOMER V SECURITIES BROKERAGE
 Date Range: 01/2008 Thru 01/2009

Date	Description	Debit	Credit	Total
32 08/31/2008	BANK DEPOSIT SWEEP			
	DIVIDEND			
33 09/02/2008	GOLDMAN SACHS TR FINL SQUARE MMKT FD CL I		\$0.37	
34 09/04/2008	BANK DEPOSIT SWEEP		\$0.01	
35 09/22/2008	BANK DEPOSIT SWEEP		\$26.63	
36 09/25/2008	EVERGREEN INST MUN MONEY MKT FUND CL I		\$10.22	
37 09/29/2008	BANK DEPOSIT SWEEP		\$0.02	
38 10/01/2008	GOLDMAN SACHS TR FINL SQUARE MMKT FD CL I		\$0.37	
39 10/07/2008	BANK DEPOSIT SWEEP		\$0.07	
40 11/04/2008	BANK DEPOSIT SWEEP		\$0.01	
41 11/07/2008	BANK DEPOSIT SWEEP		\$0.04	
42 11/28/2008	BANK DEPOSIT SWEEP		\$0.36	
43 12/16/2008	BANK DEPOSIT SWEEP		\$2.47	
				\$220.82
44 08/19/2008	ALLIANCE HOLDINGS GP LP		\$52.88	
	DIVIDEND			\$52.88
45 10/17/2008	ALLIANCEBERSTEIN INC FUND		\$25.00	
46 11/21/2008	ALLIANCEBERSTEIN INC FUND		\$25.00	
47 12/19/2008	ALLIANCEBERSTEIN INC FUND		\$25.00	
48 01/23/2009	ALLIANCEBERSTEIN INC FUND		\$12.50	
49 01/23/2009	ALLIANCEBERSTEIN INC FUND		\$25.00	
				\$112.50
50 11/03/2008	AT&T INC		\$60.00	
	DIVIDEND			\$60.00
51 08/14/2008	ATLAS PIPELINE PARTNERS M L P UNITS		\$72.00	
	DIVIDEND			\$72.00
52 01/25/2008	BANK OF AMERICA 04-3 1A2 MULTICLASS CMO 5.5% 042534		\$32.08	
53 02/25/2008	BANK OF AMERICA 04-3 1A2 MULTICLASS CMO 5.5% 042534		\$32.08	
54 03/25/2008	BANK OF AMERICA 04-3 1A2 MULTICLASS CMO 5.5% 042534		\$32.08	
55 04/25/2008	BANK OF AMERICA 04-3 1A2 MULTICLASS CMO 5.5% 042534		\$32.08	
56 04/30/2008	BANK OF AMERICA 04-3 1A2 MULTICLASS CMO 5.5% 042534		\$23.53	
	ACCR INT			\$151.85

Income Summary

Account Name: JOHN D CUSTOMER

Case Name: CUSTOMER V SECURITIES BROKERAGE

Account Number: ABC-12345

Date Range: 01/2008 Thru 01/2009

Date	Description	Debit	Credit	Total
57 10/01/2008	BANK OF AMERICA CORP PFD SUPER-REGIONAL BANKS		\$82.81	
				\$82.81
58 12/15/2008	BARCLAYS BANK PLC 8.125% NON-CUM PERP PFD 061513		\$152.34	
				\$152.34
59 08/14/2008	BREITBURN ENERGY PRTNRS LP		\$52.00	
60 11/14/2008	BREITBURN ENERGY PRTNRS LP		\$52.00	
				\$104.00
61 09/16/2008	BROWARD CO FL HSG FIN AUTH REV 5.4% 040139		\$504.00	
				\$504.00
62 06/02/2008	CARLISLE COMPANIES INC		\$14.50	
				\$14.50
63 01/25/2008	CITICORP MTG 05-3 1A6 SEC INC MULTICLASS CMO CALLABLE 5.5% 042535		\$22.92	
64 02/25/2008	CITICORP MTG 05-3 1A6 SEC INC MULTICLASS CMO CALLABLE 5.5% 042535		\$22.92	
65 03/25/2008	CITICORP MTG 05-3 1A6 SEC INC MULTICLASS CMO CALLABLE 5.5% 042535		\$22.92	
66 04/25/2008	CITICORP MTG 05-3 1A6 SEC INC MULTICLASS CMO CALLABLE 5.5% 042535		\$22.92	
67 04/30/2008	CITICORP MTG 05-3 1A6 SEC INC MULTICLASS CMO CALLABLE 5.5% 042535		\$16.81	
				\$108.49
68 12/15/2008	CITIGROUP 8.5% SER F PFD NON CUM PERP PFD CALL 061513		\$132.81	
				\$132.81
69 01/28/2008	CLAYMORE SECURITIES CHINA OPPORTUNITIES PORT SER 4 UIT		\$4.04	
70 02/26/2008	CLAYMORE SECURITIES CHINA OPPORTUNITIES PORT SER 4 UIT		\$3.81	
71 03/25/2008	CLAYMORE SECURITIES CHINA OPPORTUNITIES PORT SER 4 UIT		\$9.43	
72 04/25/2008	CLAYMORE SECURITIES CHINA OPPORTUNITIES PORT SER 4 UIT		\$8.53	
73 05/27/2008	CLAYMORE SECURITIES CHINA OPPORTUNITIES PORT SER 4 UIT		\$67.17	
74 06/25/2008	CLAYMORE SECURITIES CHINA OPPORTUNITIES PORT SER 4 UIT		\$66.55	
75 07/25/2008	CLAYMORE SECURITIES CHINA OPPORTUNITIES PORT SER 4 UIT		\$62.24	
				\$221.77
76 05/13/2008	CLAYMORE SECURITIES DELTA GLOBAL AGRICULTURE PORT SER 2 UIT		\$1.20	
				\$1.20
77 01/28/2008	CLAYMORE SECURITIES GLOBAL EXCHANGE & ASSET MGMT PORT SER 3 UIT		\$5.52	

Income Summary

Account Name: JOHN D CUSTOMER

Case Name: CUSTOMER V SECURITIES BROKERAGE

Account Number: ABC-12345

Date Range: 01/2008 Thru 01/2009

Date	Description		Debit	Credit	Total
78 02/26/2008	CLAYMORE SECURITIES GLOBAL EXCHANGE & ASSET MGMT PORT SER 3 UIT	DIVIDEND		\$11.64	
79 03/25/2008	CLAYMORE SECURITIES GLOBAL EXCHANGE & ASSET MGMT PORT SER 3 UIT	DIVIDEND		\$16.88	
80 04/25/2008	CLAYMORE SECURITIES GLOBAL EXCHANGE & ASSET MGMT PORT SER 3 UIT	DIVIDEND		\$23.34	
81 05/15/2008	CLAYMORE SECURITIES GLOBAL EXCHANGE & ASSET MGMT PORT SER 3 UIT	DIVIDEND		\$42.27	
82 06/25/2008	CLAYMORE SECURITIES GLOBAL EXCHANGE & ASSET MGMT PORT SER 3 UIT	DIVIDEND		\$0.61	
83 08/17/2008	CLAYMORE SECURITIES GLOBAL EXCHANGE & ASSET MGMT PORT SER 3 UIT	DIVIDEND		\$0.31	
					\$100.57
84 04/25/2008	CLAYMORE SECURITIES UTILITIES PORT SER 8 UIT	DIVIDEND		\$14.12	
85 05/27/2008	CLAYMORE SECURITIES UTILITIES PORT SER 8 UIT	DIVIDEND		\$8.76	
86 06/25/2008	CLAYMORE SECURITIES UTILITIES PORT SER 8 UIT	DIVIDEND		\$14.62	
87 07/25/2008	CLAYMORE SECURITIES UTILITIES PORT SER 8 UIT	DIVIDEND		\$14.22	
88 08/17/2008	CLAYMORE SECURITIES UTILITIES PORT SER 8 UIT	DIVIDEND		\$8.55	
					\$60.27
89 09/26/2008	COUNTRYWIDE 04-4 A8 MULTICLASS CMO 5.5% 052534	ACCR INT	\$19.56		
90 10/27/2008	COUNTRYWIDE 04-4 A8 MULTICLASS CMO 5.5% 052534	INTEREST		\$27.94	
91 11/25/2008	COUNTRYWIDE 04-4 A8 MULTICLASS CMO 5.5% 052534	INTEREST		\$27.94	
92 12/26/2008	COUNTRYWIDE 04-4 A8 MULTICLASS CMO 5.5% 052534	INTEREST		\$27.94	
93 01/26/2009	COUNTRYWIDE 04-4 A8 MULTICLASS CMO 5.5% 052534	INTEREST		\$27.94	
					\$92.20
94 09/03/2008	COURTLAND AL INDL DEV BRD SOLID WASTE DISP 5.7% 100128	ACCR INT		\$497.17	
					\$497.17
95 09/15/2008	CREDIT SUISSE 7.9% NON-COM PERP CAP NOTE CALL 032813	INTEREST		\$98.75	
96 10/13/2008	CREDIT SUISSE 7.9% NON-COM PERP CAP NOTE CALL 032813	INTEREST ADJUST	\$98.75		
97 10/13/2008	CREDIT SUISSE 7.9% NON-COM PERP CAP NOTE CALL 032813	DIVIDEND		\$98.75	
98 12/15/2008	CREDIT SUISSE 7.9% NON-COM PERP CAP NOTE CALL 032813	DIVIDEND		\$98.75	
					\$197.50
99 11/24/2008	DB CONT CAP TR 6.55% NON-CUM PERP PFD CALL 052317	DIVIDEND		\$122.81	
					\$122.81
100 01/29/2008	DEERFIELD CAPITAL CORP	DIVIDEND		\$126.00	
					\$126.00

Income Summary

Account Name: JOHN D CUSTOMER
 Account Number: ABC-12345

Case Name: CUSTOMER V SECURITIES BROKERAGE
 Date Range: 01/2008 Thru 01/2009

Date	Description	Debit	Credit	Total
				\$120.31
257 01/02/2008	ISHARES MSCI SINGAPORE INDEX		\$101.89	
258 06/30/2008	ISHARES MSCI SINGAPORE INDEX		\$13.33	
				\$115.22
259 12/05/2008	JENNISON NATURAL RESOURCES FUND CL A		\$53.85	
260 12/05/2008	JENNISON NATURAL RESOURCES FUND CL A		\$18.21	
261 12/05/2008	JENNISON NATURAL RESOURCES FUND CL A		\$69.95	
				\$142.01
262 12/01/2008	JP MORGAN CHASE 8.625% NON-CUM PERP PFD CALL 090113		\$119.79	
				\$119.79
263 01/15/2008	LEHMAN BROS HLDG FLOATING RATE PERPETUAL CALLABLE		\$23.27	
				\$23.27
264 07/08/2008	MASSEY ENERGY COMPANY		\$5.00	
				\$5.00
265 11/28/2008	MERRILL LYNCH 8.625% NON-CUM PERP PFD CALL 052813		\$134.78	
				\$134.78
266 09/03/2008	METROPOLITAN PIER & EXPO AUTH ILL TAX REV 5.25% 121528		\$605.21	
				\$605.21
267 10/31/2008	MORGAN STANLEY & CO		\$67.50	
				\$67.50
268 09/10/2008	NORFOLK SOUTHERN CORP		\$32.00	
				\$32.00
269 11/11/2008	NUCOR CORP		\$20.00	
270 11/11/2008	NUCOR CORP		\$32.00	
				\$52.00
271 09/18/2008	OPPENHEIMER FUNDS ROCHESTER MUN NAT CL B		\$243.96	
				\$243.96
272 08/14/2008	PENN VIRGINIA RESOURCE PARTNERS		\$46.00	
273 11/14/2008	PENN VIRGINIA RESOURCE PARTNERS		\$47.00	
				\$93.00
274 09/03/2008	PIEDMONT MUN PWR AGY SC ELEC REV REF SER A 5.25% 010121		\$244.27	
				\$244.27

Income Summary

Account Name: JOHN D CUSTOMER

Case Name: CUSTOMER V SECURITIES BROKERAGE

Account Number: ABC-12345

Date Range: 01/2008 Thru 01/2009

Date	Description		Debit	Credit	Total
275 08/12/2008	PIONEER SOUTHWEST ENERGY PARTNERS LP	DIVIDEND		\$46.50	
276 08/12/2008	PIONEER SOUTHWEST ENERGY PARTNERS LP	DIVIDEND		\$46.50	
277 08/14/2008	PIONEER SOUTHWEST ENERGY PARTNERS LP	DIVIDEND ADJUST	\$46.50		
278 11/12/2008	PIONEER SOUTHWEST ENERGY PARTNERS LP	DIVIDEND		\$75.00	
					\$121.50
279 11/14/2008	PLAINS ALL AMERICAN PIPELINE LP	DIVIDEND		\$178.50	
					\$178.50
280 09/30/2008	POWERSHARES ETF PREFERRED PORTFOLIO	DIVIDEND		\$35.28	
281 10/31/2008	POWERSHARES ETF PREFERRED PORTFOLIO	DIVIDEND		\$26.88	
282 11/28/2008	POWERSHARES ETF PREFERRED PORTFOLIO	DIVIDEND		\$44.39	
283 12/31/2008	POWERSHARES ETF PREFERRED PORTFOLIO	DIVIDEND		\$42.02	
					\$148.57
284 09/02/2008	SCHNITZER STEEL INDUSTRIES INC CL A	DIVIDEND		\$2.55	
					\$2.55
285 10/15/2008	SEMPRA ENERGY	DIVIDEND		\$122.50	
286 01/15/2009	SEMPRA ENERGY	DIVIDEND		\$122.50	
					\$245.00
287 03/10/2008	SHIP FINANCE INTL LTD	DIVIDEND		\$55.00	
					\$55.00
288 08/14/2008	TARGA RESRCES PRTNRS LP	DIVIDEND		\$51.25	
289 11/14/2008	TARGA RESRCES PRTNRS LP	DIVIDEND		\$103.50	
					\$154.75
290 12/05/2008	TEVA PHARMACEUTICAL ADR INDS LTD	DIVIDEND		\$11.15	
					\$11.15
291 06/30/2008	THORNBURG INVT TR GLOBAL OPPORTUNITIES FUND CL I	DIVIDEND		\$39.78	
					\$39.78
292 01/02/2008	TRANSAMERICA INVS INC PREMIER BALANCED FUND INV SHS	DIVIDEND		\$156.81	
293 01/02/2008	TRANSAMERICA INVS INC PREMIER BALANCED FUND INV SHS	DIVIDEND LTG		\$420.15	
					\$576.96
294 01/02/2008	TWEEDY BROWNE GLOBAL VALUE FUND	DIVIDEND		\$78.60	
295 01/02/2008	TWEEDY BROWNE GLOBAL VALUE FUND	DIVIDEND LTG		\$474.93	
					\$553.53

Income Summary

Account Name: JOHN D CUSTOMER
 Account Number: ABC-12345

Case Name: CUSTOMER V SECURITIES BROKERAGE
 Date Range: 01/2008 Thru 01/2009

Date	Description	Debit	Credit	Total
296 11/17/2008	USB CAPITAL VII 5.875% 081535		\$73.44	\$73.44
297 11/14/2008	VANGUARD NATURAL RESOURCES LLC		\$375.00	\$375.00
298 10/15/2008	WINDSTREAM CORP		\$62.50	
299 01/15/2009	WINDSTREAM CORP		\$62.50	\$125.00
300 09/03/2008	WISCONSIN ST HEALTH & EDL FACS AUTH REV 5.125% 081528		\$65.49	\$65.49
			TRADE DIVIDENDS AND INTEREST	\$12,377.11
			MONEY MARKET FUND INCOME	\$220.82
			TOTAL INCOME	\$12,597.93

Monthly Profit / (Loss)

Account Name: JOHN D CUSTOMER

Case Name: CUSTOMER V SECURITIES BROKERAGE

Account Number: ABC-12345

Date Range: 01/2008 Thru 01/2009

Month End	Mkt Val Sec Recvd	Deposits	Cash Tfr In	Mkt Val Sec Delv	Cash Withdrawn	Cash Tfr Out	Cum CR/DR	Month End Equity	Month P & L	Cum P & L	Margin Interest	Income	Misc CR/DR
1 01/31/2008	\$224,468.75	(\$19,032.71)			(\$12,861.98)		\$192,574.06	\$184,306.96	(\$8,267.10)	(\$8,267.10)	(\$263.20)	\$1,941.39	(\$208.45)
2 02/28/2008		\$3,170.48			(\$9,848.50)		\$185,896.04	\$178,239.50	\$610.56	(\$7,656.54)	(\$168.28)	\$440.91	
3 03/31/2008		\$3,561.49			(\$13,884.09)		\$175,573.44	\$163,535.15	(\$4,381.75)	(\$12,038.29)	(\$226.74)	\$503.14	
4 04/30/2008		\$3,657.79			(\$11,586.46)		\$167,644.77	\$160,529.64	\$4,923.16	(\$7,115.13)	(\$329.60)	\$721.70	(\$193.07)
5 05/31/2008		\$4,308.10	\$0.01		(\$14,719.29)		\$157,233.59	\$154,203.59	\$4,085.13	(\$3,030.00)	(\$132.47)	\$384.09	
6 06/30/2008		\$5,160.26			(\$10,718.47)		\$151,675.38	\$141,706.10	(\$6,939.28)	(\$9,969.28)	(\$176.33)	\$410.57	
7 07/31/2008		\$5,415.46			(\$20,211.45)		\$136,879.39	\$110,973.71	(\$15,936.40)	(\$25,905.68)	(\$438.17)	\$427.54	(\$123.40)
8 08/31/2008		\$3,300.69			(\$9,474.61)		\$130,705.47	\$97,971.90	(\$6,827.89)	(\$32,733.57)	(\$358.84)	\$579.67	
9 09/30/2008	\$446,373.07	\$4,274.42	\$11,049.65		(\$9,491.32)	(\$250,000.00)	\$332,911.29	\$239,194.03	(\$60,983.69)	(\$93,717.26)	(\$153.19)	\$2,951.73	\$8.59
10 10/31/2008		\$1,766.90			(\$10,268.76)		\$324,409.43	\$200,572.20	(\$30,119.97)	(\$123,837.23)	(\$307.49)	\$772.66	
11 11/30/2008		\$3,892.27			(\$19,451.79)		\$308,849.91	\$161,821.01	(\$23,191.67)	(\$147,028.90)	(\$247.72)	\$1,713.68	
12 12/31/2008		\$1,766.90	\$15,000.00		(\$11,341.13)		\$314,275.68	\$170,121.85	\$2,875.07	(\$144,153.83)	(\$12.04)	\$1,112.69	(\$95.00)
13 01/31/2009		\$1,135.00	\$2,084.73	(\$178,240.70)	(\$2,527.46)		\$136,727.25	\$0.00	\$7,426.58	(\$136,727.25)	(\$2.76)	\$638.16	
	\$670,841.82	\$22,377.05	\$28,134.39	(\$178,240.70)	(\$156,385.31)	(\$250,000.00)			(\$136,727.25)	(\$136,727.25)	(\$2,816.83)	\$12,597.93	(\$611.33)

NOTE: Deposits include Beginning Cash Balance and Credit Adjustments.
Cash Withdrawn includes Taxes Withheld and Debit Adjustments.

Turnover

Account Name: JOHN D CUSTOMER

Case Name: CUSTOMER V SECURITIES BROKERAGE

Account Number: ABC-12345

Date Range: 01/2008 Thru 01/2009

	Account	Date Range	Open Total	Avg. Mkt.Val.	Avg. Equity	Entire Date Range		Annualized		
						By Equity	By Market	By Equity	By Market	
1	ABC-12345	JOHN D CUSTOMER	01/2008 - 01/2009	\$608,986.78	\$182,303.68	\$151,013.51	4.03	3.34	3.72	3.08
2	ABC-12345	JOHN D CUSTOMER	01/2008 - 12/2008	\$608,986.78	\$197,495.66	\$163,597.97	3.72	3.08	3.72	3.08
3	ABC-12345	JOHN D CUSTOMER	01/2009 - 01/2009	\$0.00	\$0.00	\$0.00	0.00	0.00	0.00	0.00

Turnover Calculations

Account Name: JOHN D CUSTOMER
 Account Number: ABC-12345

Case Name: CUSTOMER V SECURITIES BROKERAGE
 Date Range: 01/2008 Thru 01/2009

	Month End	Market Value	Cash Value	Equity Value	Purchases	Market Value Turnover	Equity Value Turnover
1	01/31/2008	\$213,190.44	(\$28,883.48)	\$184,306.96			
2	02/28/2008	\$203,437.87	(\$25,198.37)	\$178,239.50			
3	03/31/2008	\$215,446.95	(\$51,911.80)	\$163,535.15			
4	04/30/2008	\$188,244.70	(\$27,715.06)	\$160,529.64			
5	05/31/2008	\$176,673.53	(\$22,469.94)	\$154,203.59			
6	06/30/2008	\$192,008.18	(\$50,302.08)	\$141,706.10			
7	07/31/2008	\$163,359.39	(\$52,385.68)	\$110,973.71			
8	08/31/2008	\$159,831.35	(\$61,859.45)	\$97,971.90			
9	09/30/2008	\$273,493.08	(\$34,299.05)	\$239,194.03			
10	10/31/2008	\$249,647.31	(\$49,075.11)	\$200,572.20			
11	11/30/2008	\$162,465.31	(\$644.30)	\$161,821.01			
12	12/31/2008	\$172,149.79	(\$2,027.94)	\$170,121.85			
Year End Totals:					\$609,125.66	3.08	3.72
13	01/31/2009	\$0.00	\$0.00	\$0.00			
Year End Totals:					\$0.00	0.00	0.00
						Average Annualized Market Value Turnover	3.08
						Average Annualized Equity Value Turnover	3.72

Cost Maintenance Factor

Account Name: JOHN D CUSTOMER

Case Name: CUSTOMER V SECURITIES BROKERAGE

Account Number: ABC-12345

Date Range: 01/2008 Thru 01/2009

	Account	Date Range	Commissions	Mrg.Int.&Fees	Avg. Mkt.Val.	Avg. Equity	Entire Date Range		Annualized	
							By Equity	By Market	By Equity	By Market
1	ABC-12345 JOHN D CUSTOMER	01/2008 - 01/2009	\$21,358.78	\$3,428.16	\$182,303.68	\$151,013.51	16.41%	13.60%	15.15%	12.55%
2	ABC-12345 JOHN D CUSTOMER	01/2008 - 12/2008	\$21,358.78	\$3,425.40	\$197,495.66	\$163,597.97	15.15%	12.55%	15.15%	12.55%
3	ABC-12345 JOHN D CUSTOMER	01/2009 - 01/2009	\$0.00	\$2.76	\$0.00	\$0.00	0.00%	0.00%	0.00%	0.00%

Cost Maintenance Factor

Account Name: JOHN D CUSTOMER
 Account Number: ABC-12345

Case Name: CUSTOMER V SECURITIES BROKERAGE
 Date Range: 01/2008 Thru 01/2009

	Month Ended	Market Value	Equity	Commissions	Margin Interest & Fees
1	01/31/2008	\$213,190.44	\$184,306.96	\$1,255.13	\$471.65
2	02/28/2008	\$203,437.87	\$178,239.50	\$110.11	\$168.28
3	03/31/2008	\$215,446.95	\$163,535.15	\$288.33	\$226.74
4	04/30/2008	\$188,244.70	\$160,529.64	\$655.07	\$522.67
5	05/31/2008	\$176,673.53	\$154,203.59	\$1,412.19	\$132.47
6	06/30/2008	\$192,008.18	\$141,706.10	\$1,695.33	\$176.33
7	07/31/2008	\$163,359.39	\$110,973.71	\$1,061.70	\$561.57
8	08/31/2008	\$159,831.35	\$97,971.90	\$971.36	\$358.84
9	09/30/2008	\$273,493.08	\$239,194.03	\$8,839.69	\$144.60
10	10/31/2008	\$249,647.31	\$200,572.20	\$3,463.11	\$307.49
11	11/30/2008	\$162,465.31	\$161,821.01	\$1,606.76	\$247.72
12	12/31/2008	\$172,149.79	\$170,121.85	\$0.00	\$107.04
13	01/31/2009	\$0.00	\$0.00	\$0.00	\$2.76
Total Months:	13	\$2,369,947.90	\$1,963,175.64	\$21,358.78	\$3,428.16

Avg Equity: \$ 151,013.51

<u>Commission to Market Value Ratio</u>	10.81%
<u>Commission to Equity Ratio</u>	13.06%

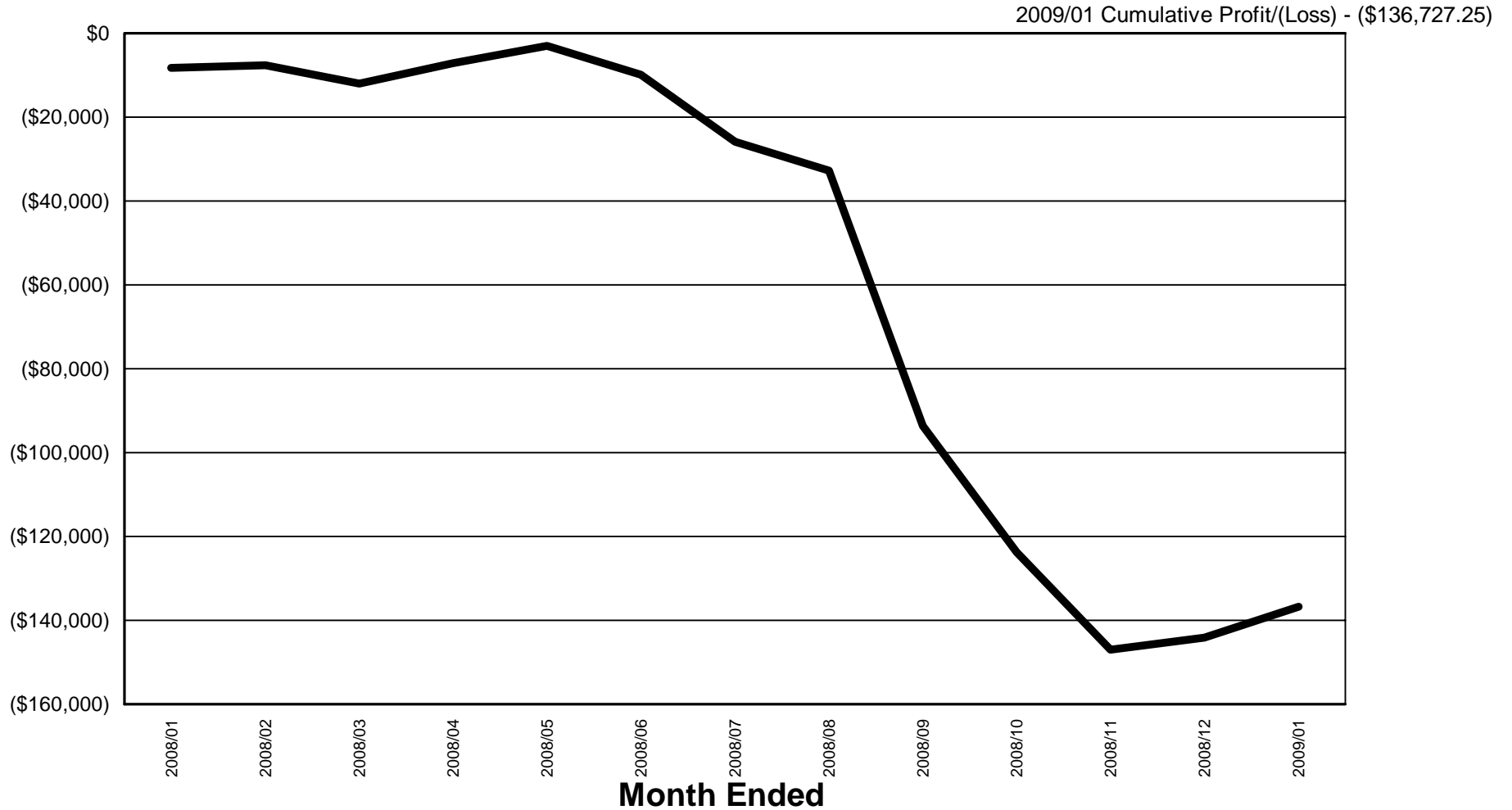
<u>Cost to Market Value Ratio</u>	12.55%
<u>Cost to Equity Ratio</u>	15.15%

Cumulative Profit / (Loss)

Account Name: JOHN D CUSTOMER
Account Number: ABC-12345

Case Name: CUSTOMER V SECURITIES BROKERAGE
Date Range: 01/2008 Thru 01/2009

Value



Month End Equities

Account Name: JOHN D CUSTOMER
Account Number: ABC-12345

Case Name: CUSTOMER V SECURITIES BROKERAGE
Date Range: 01/2008 Thru 01/2009

Value

